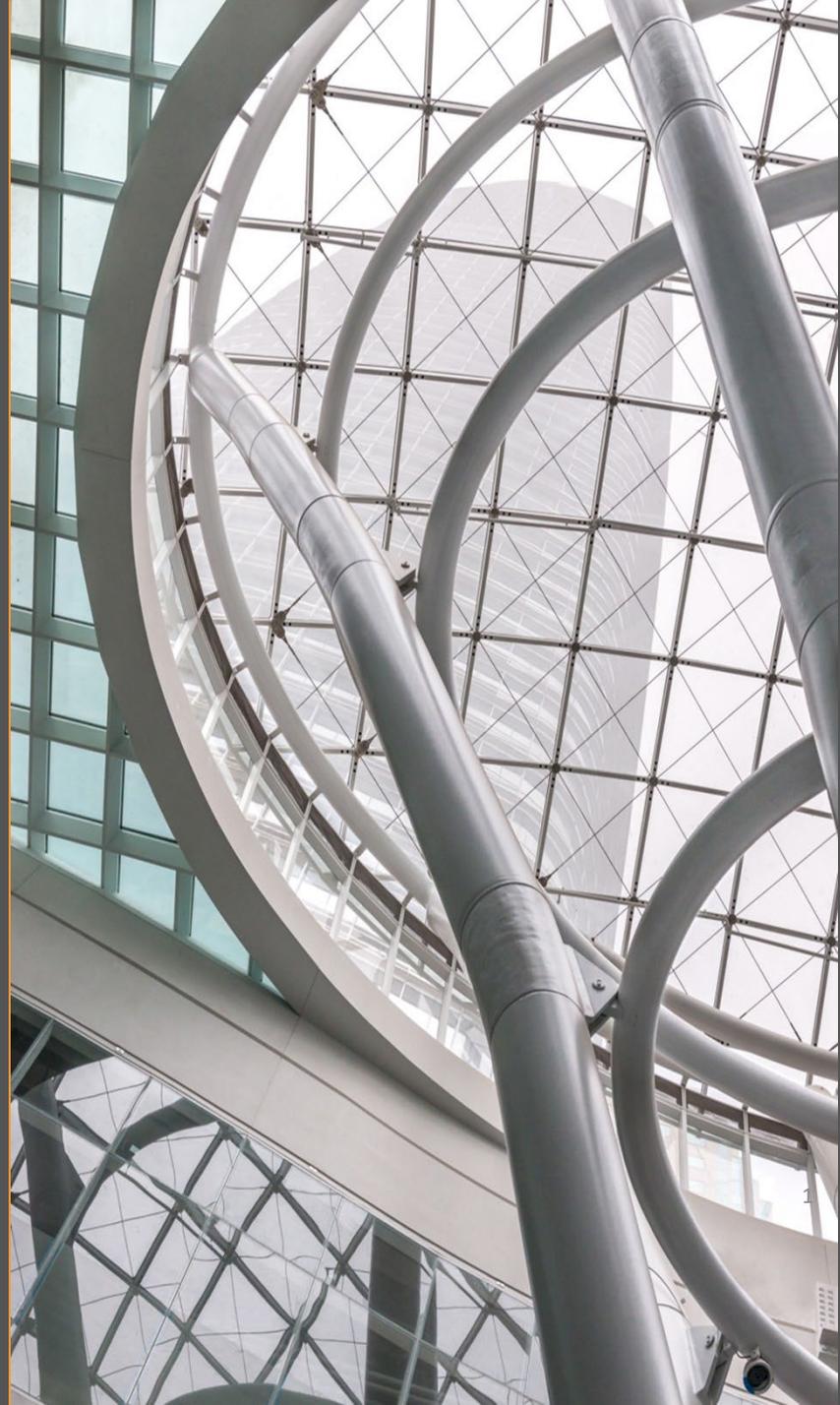


Downtown Rail Extension/The Portal Procurement Schedule and Process

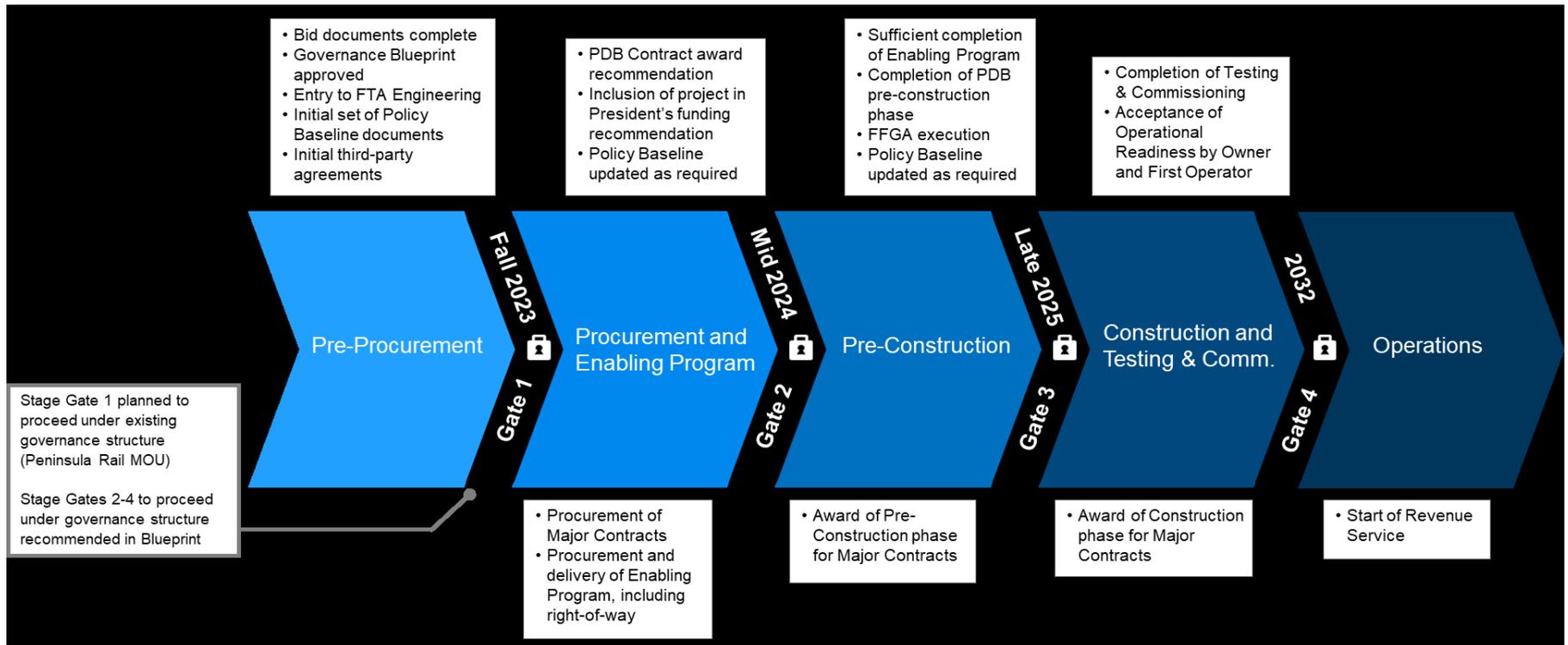
Citizens Advisory Committee
October 10, 2023



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- Governance Blueprint Stage Gate 1 Requirements and Inputs
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- Questions

Governance Blueprint Stage Gate Framework



Governance Blueprint

Stage Gate 1 Requirements and Inputs

- Bid Documents Complete
- Governance Blueprint approved
- Entry to Federal Transit Administration Engineering
- Initial set of Policy Documents
 - Baseline Project Definition
 - Baseline Schedule
 - Baseline Budget
 - Baseline Funding Plan
 - Baseline Risk Matrix
- Initial Third-Party Agreements

Governance Blueprint

Stage Gate 1 Compliance - Fully Met

- **Governance Blueprint Approval:** TJPA Board approval in August 2023
- **Environmental Documents**
 - National Environmental Policy Act (NEPA) Amended Records of Decision in 2019 and 2023 (Phasing Study)
 - California Environmental Quality Act (CEQA) Certification in 2018 and 2023 (Phasing Study)
- **Project Configuration**
 - 30% design plans completed in October 2022 (except 4th and King)
 - 30% draft design plans for 4th and King distributed in August 2023

Governance Blueprint

Stage Gate 1 Compliance: Fully Met

Design Requirements: Design Criteria completed in December 2022

Service Plan: Service Plan documented in April 2023 Technical Memorandum and 20-Year Financial Plan

Master Schedule: Accelerated Work Plan adopted in April 2021, updated monthly but remains consistent with Accelerated Work Plan

Project Delivery Strategy: TJPA Board approval in July 2022, any updates will be considered by the Integrated Program Management Team (IPMT) and approved by TJPA Board

Risk Register: Initial development in September 2020, quarterly IPMT updates and the FTA/Project Management Oversight Consultant (PMOC) Risk workshop in May 2023

Governance Blueprint

Stage Gate 1 Compliance Assessment: Provisionally Met

Bid Documents

- Request for Qualifications (RFQ) development began in July 2023, in final Quality Assurance (QA) process
- Team includes project staff, Counsel, IPMT members Non-Disclosure Agreement (NDA), Program Management/Project Controls (PMPC)
- RFP development under way, added insurance advisor to team
- Stage Gate 1 compliance provisionally met
- Stage Gate 1 full compliance to be demonstrated and approved prior to planned February 2024, release of the RFP

Governance Blueprint Stage Gate 1 Compliance Assessment: Provisionally Met

Entry to Engineering

- All documents submitted to FTA between February 2023 and September 2023
- FTA completing Readiness and Financial reviews towards a December 2023 recommendation
- Governance Blueprint Stage Gate 1 compliance provisionally met

Governance Blueprint

Stage Gate 1 Compliance Assessment: Provisionally Met

Program Budget

- Agreement with FTA/PMOC Risk Workshop output
- TJPA Board approval of 20-Year Financial Plan in August 2023
- Stage Gate 1 compliance provisionally met
- Stage Gate 1 full compliance to be met with FTA entry to Engineering

Governance Blueprint

Stage Gate 1 Compliance Assessment: Provisionally Met

20-Year Financial Plan

- TJPA Board approval in August 2023
- Update planned immediately after FTA decision regarding entry to Engineering
- Stage Gate 1 compliance provisionally met
- Stage Gate 1 full compliance subject to FTA decision regarding entry to Engineering

Governance Blueprint

Stage Gate 1 Compliance Assessment: Provisionally Met

Initial Third-Party Agreements

- TJPA: CCSF Interagency Cooperation Agreement approved and implemented
- TJPA: Caltrain Railyard Sitework Agreement approved and implemented
- TJPA: Caltrain Memorandum of Agreement approved and implemented
- TJPA: Caltrain Master Cooperative Agreement in development
- Stage Gate 1 compliance met

Risk Considerations

Cost

- One year delay at 3.5% inflation estimated at \$263 million
- Delay of the RFQ release expected to cause two-to-five-month delay

Funding

- Infrastructure Investment and Jobs Act (IIJA) grant programs [Federal State Partnership and National Infrastructure Assistance Program (MEGA)] are one-time limited programs

Schedule

- 18-month Pre-construction services duration is aggressive
- Requires completion of design, stakeholder coordination, integration with other contractors, approval for construction, negotiation of construction Notice(s) to Proceed

Reputational

- Contracting community: TJPA has been forecasting October release. Delay could signal reduced owner commitment and diminish bidder interest
- Entry to Engineering: FTA decision could affect the ability to move forward, or change budget and funding assumptions
- Funding: Capital and Operating funding plans are incomplete at this time

Next Steps

- RFQ Release October 2023 / Statement of Qualifications due December 2023
- Continued development of RFP with IPMT
- Recommendation to release RFP at Executive Steering Committee (ESC) in December 2023
- FTA decision regarding entry to Engineering
- Demonstration of full compliance with Stage Gate 1
- Development and release of Design/Bid/Build and Construction Manager/General Contractor RFQs and RFPs in accordance with Governance Blueprint requirements

Next Steps: 40-CT RFP Development Schedule

Working Group	Weekly
Technical Workshop	October 31/ November 1, 2023
TJPA Board update	October 12, 2023
IPMT formal review	November 28, 2023
ESC Recommendation	December 15, 2023
TJPA Board action	January 11, 2024

Next Steps: 40-CT RFP Outline

Part 1- Introduction

- Project Goals
- Exhibits
- Process and Schedule

Part 2 - Instructions to Proposers

- Technical Proposal
- Price Proposal
- Interview
- Submittal Requirements

Part 3 - Evaluation

- Scoring

Part 4 - Legal and Administrative

- Post Evaluation Process and Award Procedure
- Protests

Questions

