

**To:** TJPA Board of Directors  
**From:** Mark Zabaneh, Executive Director  
**Date:** For the Board Meeting of September 13, 2018  
**Re:** Transbay Joint Powers Authority **Quarterly Financial Reports**

---

The following reports have been prepared as information items for the TJPA Board:

1. FY 2017-18 Fourth Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of June 30, 2018
3. Inception to June 30, 2018 Capital Expenditures and Funding Sources (Unaudited)

This memorandum describes the reports, which are provided for information only; no Board action is required.

### **1. FY 2017-18 Fourth Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2017-18 capital and operating budgets in June 2017. There have been three amendments to each of the fiscal year budgets, approved by the Executive Director under TJPA Budget Policy. The amendments to the operating budget take into account the change in the schedule for transit center operations and reduced the original FY 2017-18 operating budget by a total of approximately \$4.1 million.

Accounting reports have been summarized to show budget versus actual amounts for capital expenditures, operating expenses, and corresponding funding sources and revenues through the full fiscal year 2017-18 (July 1, 2017 through June 30, 2018), unaudited, for the capital and operating budgets. The FY 2017-18 fourth quarter budget versus actual financial report is Attachment 1.

### **2. Status of Purchase Orders and Contracts Greater than \$25,000 as of June 30, 2018**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures and expenses as of June 30, 2018, are within the contract certification amounts. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. With minimal amounts of FTA grants remaining for new contract awards, TJPA does not have a target DBE Participation Level for the three Federal Fiscal Years October 1, 2016 through September 30, 2019 but does have an SBE goal for the Program of 14.3%. The DBE commitment for all contracts awarded thus far in the period was 8% and the SBE commitment was 15%. \$72 million has been paid to DBEs and SBEs for the Federal Fiscal Years 2016-19 (October 1, 2016 – September 30, 2019) thus far.

### **3. Inception to June 30, 2018 Capital Outlay and Funding Sources**

The Inception to Date Capital Outlay and Funding Sources (unaudited) report (Attachment 3) provides an overview of the TJPA's capital outlay and funding sources from the beginning of the program through June 30, 2018, for both program phases. Summary level information is provided by major capital outlay category and funding source. This report does not include operating expenses or revenues.

Additionally, the Fourth Quarter Investment Report was distributed to the Board and posted on the TJPA website under Financial Documents on July 17, 2018. TJPA investments were in compliance with the TJPA Investment Policy.

**Transbay Joint Powers Authority**  
**Fiscal Year 2017-18 Budget vs. Actual (Unaudited)**  
**4th Quarter (July 2017 through June 2018)**  
As of August 19, 2018

	FY 2017-18 Capital Budget Amendment 3 <sup>3</sup>	FY 2017-18 through 4th Quarter Capital Outlay & Funding Sources	Unexpended FY 2017-18 Capital Budget	Percent Expended through Fiscal Year
<b>CAPITAL EXPENDITURES <sup>1</sup></b>				
<b>Salaries &amp; Benefits</b>				
Salaries	2,543,300	1,646,986	896,314	65%
Benefits	873,600	659,531	214,069	75%
<b>Subtotal, Salaries &amp; Benefits</b>	<b>3,416,900</b>	<b>2,306,517</b>	<b>1,110,383</b>	<b>68%</b>
<b>Administration</b>				
Rent	730,000	726,144	3,856	99%
Insurance	82,500	37,044	45,456	45%
Office Expenses	55,000	46,417	8,583	84%
Communications	69,000	33,252	35,748	48%
Information Technology Support	161,000	160,385	615	100%
Travel/Conferences	38,000	6,023	31,977	16%
Professional Development	28,000	10,068	17,932	36%
Board Expenses	16,000	12,516	3,484	78%
Meeting Expenses	8,000	2,786	5,214	35%
Benefits Administration/Payroll Services	20,000	18,767	1,233	94%
Miscellaneous	35,000	5,122	29,878	15%
<b>Subtotal, Administration</b>	<b>1,242,500</b>	<b>1,058,524</b>	<b>183,976</b>	<b>85%</b>
<b>Professional &amp; Specialized Services</b>				
<b>Engineering &amp; Design Services</b>				
Program Management / Program Controls	6,440,400	5,753,130	687,270	89%
Downtown Extension Preliminary Engineering & Design	3,839,400	3,366,241	473,159	88%
Downtown Extension Interagency Coordination	19,100	14,675	4,425	77%
Transit Center & Ramps Engineering & Design	3,450,300	2,944,617	505,683	85%
Transit Center Traffic Signals	279,600	279,600	-	100%
Transit Center Overhead Lines	530,000	499,269	30,731	94%
Transit Center Commissioning Agent	260,000	99,856	160,144	38%
Bus Storage Engineering & Design	699,200	345,088	354,112	49%
Environmental Consultant / Building and Soil Haz. Mat.	50,000	19,756	30,244	40%
Public Art Program	773,800	773,754	46	100%
Other Engineering & Design Services	393,700	168,175	225,525	43%
<b>Permits and Fees</b>				
Transit Center Building & Ramps Permits & Fees (including DBI)	295,200	59,910	235,290	20%
Bus Storage Permits & Fees	294,400	294,326	74	100%
DTX Permits & Fees	2,000	-	2,000	0%
<b>Real Estate Services</b>				
Property Management	9,000	6,657	2,343	74%
ALTA / Design Surveys	30,000	29,138	862	97%
Miscellaneous Real Estate Services	120,000	-	120,000	0%
<b>Construction Management</b>				
Construction Management Oversight for Transit Center	10,139,700	6,708,150	3,431,550	66%
Construction Management for Bus Storage	1,200,500	1,092,066	108,434	91%
Construction Dispute Resolution Services	100,000	52,007	47,993	52%
<b>Construction</b>				
Transit Center Building & Ramps Construction	334,164,500	197,956,189	136,208,311	59%
Base Building / Tenant Improvements	789,900	789,828	72	100%
Bus Storage Construction	23,200,200	18,772,943	4,427,257	81%
<b>Planning &amp; Environmental Analysis</b>				
Community Benefits/Community Facilities Districts Formation	-	(271,502)	271,502	0%
Economic and Real Estate Analysis	39,700	(21,626)	61,326	-54%
<b>Administration</b>				
Outside Legal Counsel & City Attorney	8,549,800	8,549,703	97	100%
Financial & Grant Management	254,800	237,454	17,346	93%
Accounting Software, Installation and Maintenance	40,000	34,889	5,111	87%
Audit Services	48,000	48,000	-	100%
Credit Rating Analysis	27,500	27,500	-	100%
Loan Servicing Fees and Interest	3,271,800	2,096,586	1,175,214	64%
CBD Special Tax Assessment	73,000	71,740	1,260	98%
Trustee Account Services	2,000	1,000	1,000	50%
Community & Public Relations	100,000	94,754	5,246	95%
Legislative Services	268,000	258,000	10,000	96%
Disadvantaged & Small Business Program	25,000	-	25,000	0%
Other Intergovernmental Agreements	30,000	-	30,000	0%
Other Consulting Assistance	20,000	(10,056)	30,056	-50%
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>399,830,500</b>	<b>251,145,587</b>	<b>148,684,913</b>	<b>63%</b>
<b>TOTAL CAPITAL EXPENDITURES</b>	<b>404,489,900</b>	<b>254,510,628</b>	<b>149,979,272</b>	<b>63%</b>

**Transbay Joint Powers Authority**  
**Fiscal Year 2017-18 Budget vs. Actual (Unaudited)**  
**4th Quarter (July 2017 through June 2018)**  
As of August 19, 2018

	FY 2017-18 Capital Budget Amendment 3 <sup>3</sup>	FY 2017-18 through 4th Quarter Capital Outlay & Funding Sources	Unexpended FY 2017-18 Capital Budget	Percent Expended through Fiscal Year
<b>CAPITAL FUNDING SOURCES <sup>2</sup></b>				
<b>Committed Funding Sources</b>				
Federal Bus Facility, Alternatives Analysis and PNRS Grants (FTA)	579,500	363,977	215,523	63%
Regional Measure 2	1,558,600	1,120,094	438,506	72%
AB1171 Bridge Tolls	2,458,500	1,383,060	1,075,440	56%
Proposition K San Francisco Sales Tax	11,969,300	11,099,926	869,374	93%
AC Transit Capital Contribution	-	6,445	(6,445)	0%
One Bay Area Grant	4,668,000	4,588,067	79,933	98%
Land Sales Proceeds	14,338,400	17,143,325	(2,804,925)	120%
Bridge Loan Proceeds	3,316,700	5,062,222	(1,745,522)	153%
TIFIA Proceeds	101,550,700	55,786,403	45,764,297	55%
City Financing Proceeds	141,057,500	70,455,671	70,601,829	50%
Transit Center District CFD Bond Proceeds / Impact Fees	119,025,400	83,173,401	35,851,999	70%
Net Tax Increment Revenue	3,288,800	1,806,148	1,482,652	55%
Lease Income	95,000	225,764	(130,764)	238%
Interest Income	258,500	634,929	(376,429)	246%
Reimbursements by Others (Private Utilities, Adjacent Properties)	325,000	1,957,844	(1,632,844)	602%
<b>Subtotal, Committed Revenue</b>	<b>404,489,900</b>	<b>254,807,275</b>	<b>149,682,625</b>	<b>63%</b>
<b>Other</b>				
Use of (Contribution to) Fund Balance	-	(296,647)	296,647	0%
<b>Subtotal, Other</b>	<b>-</b>	<b>(296,647)</b>	<b>296,647</b>	<b>0%</b>
<b>TOTAL CAPITAL REVENUE + OTHER</b>	<b>404,489,900</b>	<b>254,510,628</b>	<b>149,979,272</b>	<b>63%</b>

1 Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2 Capital revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

3 Capital Budget Amendment 3 approved by TJPA Executive Director under TJPA Budget Policy.

**Transbay Joint Powers Authority**  
**Fiscal Year 2017-18 Budget vs. Actual (Unaudited)**  
**4th Quarter (July 2017 through June 2018)**  
As of August 19, 2018

	FY 2017-18 Operating Budget Amendment 3 <sup>3</sup>	FY 2017-18 through 4th Quarter Operating Expenses & Revenues	Unexpended FY 2017-18 Operating Budget	Percent Expended through Fiscal Year
<b>OPERATING EXPENSES</b>				
<b>Temporary Terminal</b>				
Temporary Terminal Facility Management, Security & Utilities	1,099,800	1,099,799	1	100%
AC Transit Additional Operating Support	1,925,400	1,950,000	(24,600)	101%
Parking Control Officers	130,100	130,084	16	100%
Insurance	59,300	59,300	-	100%
<b>Subtotal, Temporary Terminal</b>	<b>3,214,600</b>	<b>3,239,183</b>	<b>(24,583)</b>	<b>101%</b>
<b>Transit Center</b>				
Transit Center Maintenance	1,103,100	844,818	258,282	77%
Transit Center Janitorial	231,000	211,871	19,129	92%
Transit Center Utilities	2,500	944	1,556	38%
Park Maintenance & Programming	100,000	79,781	20,219	80%
Park Management Fee & Administration	200,000	186,160	13,840	93%
Digital Content Management & Wayfinding Systems	821,300	821,211	89	100%
Marketing & Leasing Commissions	615,000	537,032	77,968	87%
Asset Management Fee & Administration	645,000	467,413	177,587	72%
Community & Public Relations for Transition	140,000	140,000	-	100%
Security	1,000,000	989,608	10,392	99%
Insurance	180,000	179,214	786	100%
<b>Subtotal, Transit Center</b>	<b>5,037,900</b>	<b>4,458,052</b>	<b>579,848</b>	<b>88%</b>
<b>Program</b>				
TJPA Staff Salaries & Benefits	514,000	513,808	192	100%
<b>Subtotal, Program</b>	<b>514,000</b>	<b>513,808</b>	<b>192</b>	<b>100%</b>
<b>Reserves</b>				
Emergency Reserve	500,000	-	500,000	0%
Operating Contingency	-	-	-	0%
O&M Reserve Contributions	2,766,113	2,766,113	-	100%
<b>Subtotal, Reserves</b>	<b>3,266,113</b>	<b>2,766,113</b>	<b>500,000</b>	<b>85%</b>
<b>TOTAL OPERATING EXPENSES</b>	<b>12,032,613</b>	<b>10,977,155</b>	<b>1,055,458</b>	<b>91%</b>
<b>OPERATING REVENUE &amp; FUNDING SOURCES</b>				
Regional Measure 2	7,856,100	8,211,042	(354,942)	105%
Other Regional Contributions	-	-	-	200%
Community Benefit District Park Payments	640,000	-	640,000	0%
Neutral Host DAS fees	1,250,000	1,250,000	-	100%
Naming Rights	1,010,000	1,010,000	-	100%
Temporary Terminal Rents	453,394	453,394	-	100%
Temporary Terminal Advertising	52,719	52,719	-	100%
<b>Subtotal, Operating Revenue</b>	<b>11,262,213</b>	<b>10,977,155</b>	<b>285,058</b>	<b>97%</b>
Use of Fund Balance	500,000	-	500,000	0%
Use of Operating & Maintenance Reserve	270,400	-	270,400	0%
<b>Subtotal, Other Sources</b>	<b>770,400</b>	<b>-</b>	<b>770,400</b>	<b>0%</b>
<b>TOTAL OPERATING SOURCES</b>	<b>12,032,613</b>	<b>10,977,155</b>	<b>1,055,458</b>	<b>91%</b>

<sup>3</sup> Operating Budget Amendment 3 approved by TJPA Executive Director under TJPA Budget Policy.

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through Fourth Quarter (July 2017 to June 2018)**

As of August 22, 2018

Purpose	Vendor	Contract Value	Accrued to June 30, 2018	Term	Funding Source	DBE Advisory	DBE Actual through June 30, 2018	SBE Goal	SBE Actual through June 30, 2018
<b>Professional &amp; Specialized Services</b>									
Program Management / Program Controls	AECOM (formerly URS)	Authorized: \$27,094,555 Total: \$34,030,000	\$26,440,930	7/1/14-12/31/19	AB1171, Bridge loan, CFD impact fees & bonds, developer contribution, Planning, Prop K, TIFIA	n/a	20%	37%	28%
Downtown Extension Preliminary Engineering	Parsons Transportation Group	\$7,480,000	\$6,636,879	7/1/11-6/30/19	CFD impact fees, Planning, Prop K	30%	6%	0%	8%
Bus Storage A&E Services	Jacobs Engineering (formerly Carter & Burgess)	Authorized: \$5,692,378 Total: \$5,733,696	\$5,681,940	5/21/07-Bus Storage Warranty	City Financing, RM-2, RTIP	16%	11%	0%	25%
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	Authorized: \$5,548,071 Total: \$5,593,515	\$5,548,071	9/20/07-Utility Warranty	AB1171, FRA, RM-2	20%	7%	0%	15%
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$153,779,793 Total: \$154,850,000	\$153,155,113	5/16/08-Transit Ctr Warranty	AB1171, AC Transit, bridge loan, CFD bonds, City Financing, developer contrib, FRA, land sales, Prop K, RM-2, RTIP, TIFIA	0%	0%	0%	8%
Commissioning Agent	Enovity Inc.	Authorized: \$687,685 Total: \$828,196	\$541,804	9/10/09-6/30/19	RM-2	5%	0%	90%	85%
Artwork: CityPark Bus Jet Fountain	Ned Kahn Studios	\$100,000	\$100,000	1/6/11-9/30/18	Bridge loan, CFD bonds, Prop K	n/a	n/a	n/a	n/a
Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	\$207,000	\$175,950	3/3/11-9/30/18	Bridge loan, CFD bonds, City Financing, Prop K	n/a	n/a	n/a	n/a
Artwork: Bus Level Enclosure LED Array	Jenny Holzer	\$260,000	\$233,000	9/8/16-n/a	AB1171, City Financing, Prop K	n/a	n/a	n/a	n/a
Lease Agreement (Rent Costs only)	California Mission Street Partners	\$8,629,300	\$6,563,771	12/1/03-3/31/21	Bridge loan, CFD bonds, FTA, RTIP, sublease, TIFIA	n/a	n/a	n/a	n/a
<b>Legal Bench 12-02</b>		<b>Authorized:</b>							
General Counsel	Shute, Mihaly & Weinberger	\$10,034,600	\$9,844,216	9/1/12-8/31/19	CFD Bonds, developer contribution, FTA, Prop K, TIFIA	0%	0%	100%	85%
Construction Counsel	Seyfarth Shaw	\$3,904,000	\$3,586,434	9/19/12-9/18/19	CFD bonds, City Financing, developer contribution, FRA, FTA, Prop K	0%	0%	0%	0%
Financial Counsel	Nixon Peabody	\$685,000	\$663,446	9/21/12-9/20/19	FTA, Prop K, TIFIA	0%	0%	0%	0%
Labor Counsel	Renne Sloan Holtzman Sakai	\$270,773	\$249,483	9/1/12-8/31/19	FTA, Prop K	0%	0%	0%	0%
<b>Total Authorized:</b>		<b>\$14,894,373</b>	<b>Total Bench NTE:</b>		<b>\$15,000,000</b>				

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through Fourth Quarter (July 2017 to June 2018)**  
As of August 22, 2018

Purpose	Vendor	Contract Value	Accrued to June 30, 2018	Term	Funding Source	DBE Advisory	DBE Actual through June 30, 2018	SBE Goal	SBE Actual through June 30, 2018
Legal Counsel	Thompson Coburn	Authorized: \$600,000 Total: \$1,000,000	\$582,172	11/1/10-10/31/18	FTA	0%	0%	0%	0%
Legal Counsel	Jones Day	\$10,700,000	\$8,253,393	12/14/16-12/13/21	City Financing, insurer contributions	n/a	0%	0%	0%
Financial Consulting Services	Sperry Capital (formerly Nancy Whelan Consulting)	\$4,804,920	\$4,004,432	3/1/11-11/30/19	FRA, FTA, Prop K, TIFIA	30%	14%	72%	74%
Public Relations & Community Outreach	Davis & Associates	\$175,000	\$94,754	11/6/17-11/5/19	FTA	n/a	100%	80%	100%
State Advocacy Services	Townsend Public Affairs	\$216,000	\$180,000	11/1/16-10/31/18	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Carpi & Clay	\$320,000	\$214,756	2/1/17-1/31/19	unrestricted revenue	n/a	0%	0%	0%
Integrated Financial System	Tyler Technologies (formerly New World Systems)	\$507,782	\$392,228	2/21/08-6/30/20	Bridge loan, FTA, RM-2, TIFIA	0%	0%	0%	0%
Independent Auditor	Vavrinek, Trine, Day & Co	\$144,000	\$116,000	3/11/16-3/10/19	Bridge loan, CFD bonds, TIFIA	0%	0%	0%	0%
Information Technology Support Services	CyberTrails (formerly Decision Consultants)	Authorized: \$370,000 Total: \$510,000	\$289,278	7/24/13-7/23/19	Bridge loan, FTA, TIFIA	0%	100%	0%	100%
<b>Real Estate Related Professional Services</b>									
On-Call Survey & Mapping Services	F3 & Associates	Authorized: \$220,286 Total: \$390,000	\$204,781	1/20/11-8/31/18	FRA, Prop K	15%	0%	100%	100%
On-Call Survey & Mapping Services	Luk and Associates	Authorized: \$37,717 Total: \$390,000	\$37,717	5/11/11-8/31/18	FRA, Prop K, RM-2	15%	100%	100%	100%
Phase 2 Real Estate Valuation Services	Runde & Partners	\$62,500	\$62,500	10/19/16-10/18/18	CFD impact fees	n/a	0%	0%	0%
<b>Real Estate Bench 11-03</b>									
		<b>Authorized:</b>							
Real Estate Economics Advisory Services	The Concord Group	\$219,993	\$219,993	8/1/11-12/31/18	FTA, Prop K	0%	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$263,963	\$254,496	8/1/11-12/31/18	FTA, Prop K	0%	0%	100%	100%
Real Estate Economics Advisory Services	Jones Lang LaSalle	\$7,050	\$7,050	4/23/12-4/22/17 (closed)	FTA	0%	0%	0%	0%
Real Estate Economics Advisory Services	Keyser Marston	\$8,995	\$8,995	6/4/15 - 6/3/18 (closed)	Prop K	0%	0%	0%	0%
<b>Total Authorized:</b>		<b>\$500,000</b>	<b>Total Bench NTE:</b>	<b>\$500,000</b>					

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through Fourth Quarter (July 2017 to June 2018)**  
As of August 22, 2018

Purpose	Vendor	Contract Value	Accrued to June 30, 2018	Term	Funding Source	DBE Advisory	DBE Actual through June 30, 2018	SBE Goal	SBE Actual through June 30, 2018	
<b>Construction &amp; Construction Management</b>										
Construction Management Oversight	Turner Construction Company	\$75,000,000	\$72,466,263	6/28/10-6/30/19	AB1171, AC Transit, bridge loan, CFD bonds, FRA, land sales, Prop K, TIFIA	35%	41%	35%	45%	
Construction Manager/ General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$30,728,362 Authorized Construction: \$1,382,349,955	\$1,388,678,262	3/12/09-Completion	AB1171, AC Transit, bridge loan, bridge tolls, CFD bonds, City Financing, developer & utility reimbursements, FRA, land sales, OBAG, Prop K, TIFIA	17%	5%	17%	11%	
Bus Storage Construction Management	Townsend Management	\$1,250,000	\$1,207,704	12/1/16-11/30/19	City Financing, RM-2	55%	100%	74%	100%	
Bus Storage Construction	Ghilotti Construction	\$23,342,779	\$21,481,326	5/1/17-Completion	City Financing	0%	17%	32%	22%	
Construction Labor Compliance Software	Elation Systems	\$102,500	\$96,500	9/15/08-12/31/18	AB1171, City Financing, FRA, Prop K, RM-2, TIFIA	0%	32%	0%	45%	
<b>Operations</b>										
Temporary Terminal Facility Manager	ABM Facility Services (formerly Linc)	\$9,500,000	\$8,034,399	8/20/09-10/31/18	RM-2	2%	6%	15%	11%	
Transit Center Asset Manager	LPC West Transit Management	based on services provided	\$5,088,167	5/8/17-5/7/23	CFD bond, City Financing, RM-2, TIFIA	n/a	3%	n/a	3%	
Unarmed Security Guard & Roving Ambassafor Services	Allied Universal	\$18,191,100	\$236,445	1/11/18-6/30/21	RM-2	0%	0%	0%	0%	
Physical Security (PSIM) System & Emergency Communication (ECS/ MNS) Integration Svcs	G4S Technology	\$5,606,664	\$1,854,621	6/8/17-6/7/19	CFD bonds, City Financing, land sales	0%	0%	0%	0%	
IT Cybersecurity	Mosaic451	Authorized: \$152,000 Total: \$2,020,891	\$120,400	3/12/18-3/11/21	RM-2	n/a	0%	n/a	0%	
Two-way Radio	Wireless Voice & Data, Inc.	Total: \$165,079	\$0	7/12/18 7/11/21	To be determined	n/a	n/a	n/a	n/a	
<b>Overall DBE and SBE Participation</b>						<b>DBE Goal</b>	<b>DBE Awarded</b>	<b>SBE Awarded</b>	<b>DBE Payments</b>	<b>SBE Payments</b>
Life of the Transbay Transit Center Program, since 2004*						n/a	8%	15%	\$140,823,503	\$131,063,517
Federal Fiscal Years October 2016 - September 2019						n/a	8%	15%	\$39,218,017	\$33,150,215

\*TJPA began tracking SBEs in FFY08-09

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through Fourth Quarter (July 2017 to June 2018)**  
As of August 22, 2018

Agency	Contract or Budget Value	Accrued to June 30, 2018	Term	Funding Source	Notes				
<b>Interagency Agreements</b>									
California Department of Transportation (Caltrans)	\$300,000	\$268,955	7/15/10-12/31/18	Prop K, RM-2	Design review and oversight services provided by Caltrans for project elements affecting State right-of-way; agreement approved by TJPA Board in resolution 10-023.				
Caltrans	\$500,000	\$325,264	7/3/14-completion of Bus Ramp & Bus Storage	Prop K	Quality assurance and construction oversight services for Bus Ramps elements within or affecting State right-of-way; agreement approved by TJPA Board in resolution 14-019; amended to include Bus Storage Facility in resolution 17-032.				
Caltrans	\$377,018	\$352,133	5/15/17-completion of Bus Storage	City Financing	Bus Storage Facility 25-year Lease Agreement; agreement approved by the TJPA Board in resolution 17-008. TJPA responsible only through construction, then AC Transit assumes responsibility per Sublease Agreement with AC Transit, approved by the TJPA Board in resolution 17-018.				
SF City Attorney	\$2,712,133	\$2,704,154	on-going	Bridge loan, FTA, Prop K	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.				
SF Public Works	Authorized: \$1,969,129 Total: \$2,255,500	\$1,002,370	2/11/08-12/10/18	FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board in resolution 08-005.				
SF Public Works	\$4,491,461	\$1,526,722	1/4/16-Phase 1 Completion	Prop K	Construction Management and Oversight Services provided via agreement approved by TJPA Board in resolution 16-008.				
SF Municipal Transportation Agency	Authorized: \$4,358,718 Total: \$5,393,677	\$4,413,579	8/01/09-6/30/18	Prop K, RM-2, TIFIA	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved by TJPA Board in resolution 10-027; amended to extend and redistribute budget in Board resolution 17-029.				
SF Art Commission	\$950,000	\$938,042	7/1/08-6/30/20	AB1171, FTA, Prop K, RTIP, TIFIA	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.				
SF Department of Building Inspection	Based on services; Authorized: \$4,869,690	\$4,774,643	3/10/10-termination	AB1171, FRA, Prop K	Plan review and field inspection services provided via agreement approved by TJPA Board in resolution 09-036.				
Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
<b>Contracts Completed 4/1/18 - 6/30/18</b>									
Environmental Consulting Services During Construction	Langan (formerly Treadwell & Rollo)	Authorized: \$525,155 Total: \$650,000	\$486,679	6/10/10 - 6/30/18	Prop K	20%	21%	37%	52%
Artwork: Grand Hall Terrazzo Floor	Julie Chang Olcott	\$125,000	\$125,000	1/6/11 - 6/30/18	Bridge loan, CFD bonds, Prop K	n/a	n/a	n/a	n/a

**Transbay Joint Powers Authority**  
**Inception to Date Capital Expenditures and Funding Sources**  
**As of June 30, 2018**  
(Unaudited)

<b>CAPITAL EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<b>Salary and Benefits</b>	<b>27,813,851</b>
<b>Administration</b>	<b>10,892,857</b>
<b>Professional &amp; Specialized Services</b>	
Transit Center/Bus Ramp Engineering & Design	167,889,979
Temporary Terminal Engineering & Design (complete)	3,263,825
Bus Storage Engineering & Design	6,176,980
Utility Relocation Engineering & Design	5,990,409
Downtown Extension Preliminary Engineering & Design	31,649,602
Program Management/Program Controls	110,012,326
Other Professional Services	65,401,759
<b>Subtotal, Professional &amp; Specialized</b>	<b>390,384,879</b>
<b>Construction &amp; Construction Management</b>	
Temporary Terminal Construction Management (complete)	1,749,247
Temporary Terminal Construction (complete)	20,729,570
Transit Center CM/GC Pre-Construction Services and Bonds	43,973,394
Former Terminal and Ramps Demolition (complete)	15,748,484
Construction Management and Construction Management Oversight	75,020,494
Utility Relocation Construction	27,052,728
Transit Center Building Construction	1,319,892,976
Tenant Improvements	1,284,708
Bus Ramp Construction	64,010,119
Bus Storage Construction Management	1,258,648
Bus Storage Construction	21,833,459
<b>Subtotal, Construction &amp; Construction Management</b>	<b>1,592,553,828</b>
<b>Right of Way</b>	
Property Acquisition (complete for Phase 1)	117,982,980
Professional Services (closing costs, relocation, etc.)	5,067,734
<b>Subtotal, Right of Way</b>	<b>123,050,714</b>
<b>Other</b>	
Caltrans Attorney Parking (complete)	411,538
Financing Costs (Bridge Loan, TIFIA, City Financing)	21,279,373
<b>Subtotal, Other</b>	<b>21,690,911</b>
<b>TOTAL ACCRUED CAPITAL EXPENDITURES</b>	<b>2,166,387,039</b>

**CAPITAL FUNDING SOURCES**

FTA: Federal Demonstration Section 1601	8,795,355
FTA: Federal High Priority Project 5309	29,961,020
FTA: Federal Projects of National & Regional Significance	24,382,465
FTA: Federal Congestion Management & Air Quality (One Bay Area Grant)	4,588,067
FRA: Federal High Speed Intercity Passenger Rail	400,000,000
FRA: Federal Rail Relocation Grant	2,650,000
FEMA: Federal Homeland Security Transit Security Grant	100,000
AC Transit Capital Contributions	39,473,919
AB 1171 Bridge Tolls	149,064,665
Regional Measure 1 Bridge Tolls	54,400,000
Regional Measure 2 Bridge Tolls	149,427,862
San Francisco (Proposition K) SF Sales Tax	193,884,028
San Mateo Sales Tax	23,359,514
Regional Transportation Improvement Program (RTIP)	10,153,000
Land Sales	514,326,187
Bridge Loan Proceeds	155,348,515
TIFIA Loan Proceeds	158,579,981
City Financing Proceeds	144,292,510
Mello-Roos Community Facilities District (CFD) Bond Proceeds	83,081,438
Lease, Interest Income & Other Unrestricted Sources	8,858,394
Reimbursements by Others (Private Utilities, Adjacent Property Developers, etc.)	6,500,946
Net Tax Increment	4,037,376
Former SF Redevelopment Agency In-Kind Contribution	798,689
Transit Center District Impact Fees	323,109
<b>TOTAL CAPITAL FUNDING SOURCES</b>	<b>2,166,387,039</b>

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of June 2018, as well as accrued outstanding June 2018 invoices, and accrued interest payable.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of June 30, 2018, as well as receivables related to accrued expenditures.

Report is for capital expenditures/revenues only; does not include operations.