

**To:** TJPA Board of Directors  
**From:** Mark Zabaneh, Executive Director | Sara Debord, Chief Financial Officer  
**Date:** For the Board Meeting of December 14, 2017  
**Re:** Transbay Joint Powers Authority **Quarterly Financial Reports**

The following reports have been prepared as information items for the TJPA Board:

1. FY 2017-18 First Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2017
3. Inception to September 30, 2017 Capital Expenditures and Funding Sources (Unaudited)

This memorandum describes the reports, which are provided for information only; no Board action is required.

**1. FY 2017-18 First Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2017-18 capital and operating budgets in June 2017. There have been no amendments to either budget at this time.

Accounting reports have been summarized to show budget versus actual amounts for capital expenditures, operating expenses, and corresponding funding sources and revenues through the first quarter of fiscal year 2017-18 (July 1, 2017 through September 30, 2017), unaudited, for the capital and operating budgets. The FY 2017-18 first quarter budget versus actual financial report is Attachment 1.

**2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2017**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures as of September 30, 2017, are within the contract certification amounts. Additional details for each contract are noted in the report.

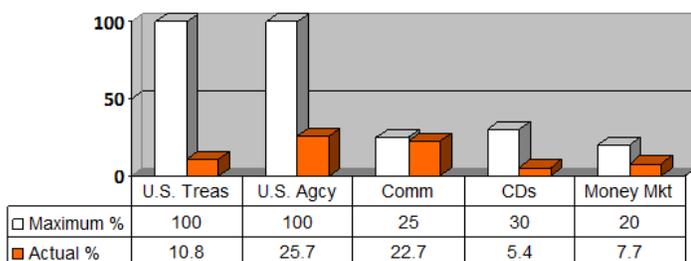
Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. With minimal amounts of FTA grants remaining for new contract awards, TJPA does not have a target DBE Participation Level for the three Federal Fiscal Years October 1, 2016 through September 30, 2019, but does have an SBE goal for the Program of 14.3%. The DBE commitment for all contracts awarded thus far in the period was 7% and the SBE commitment was 32%. Over \$20 million each has been paid to DBEs and SBEs for the Federal Fiscal Years 2016-19 (October 1, 2016 – September 30, 2019) thus far.

**3. Inception to September 30, 2017 Capital Outlay and Funding Sources**

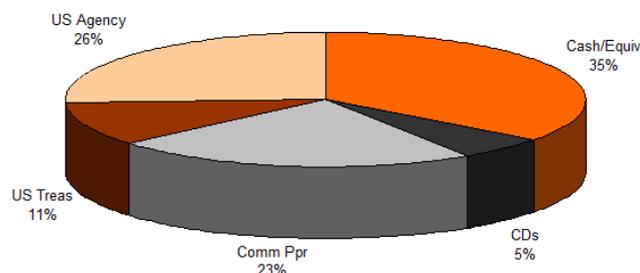
The Inception to Date Capital Outlay and Funding Sources (unaudited) report (Attachment 3) provides an overview of the TJPA’s capital outlay and funding sources from the beginning of the program through September 30, 2017, for both program phases. Summary level information is provided by major capital outlay category and funding source. This report does not include operating expenses or revenues.

Additionally, the First Quarter Investment Report was distributed and posted on the TJPA website under Financial Documents on October 31, 2017. TJPA investments were in compliance with the TJPA Investment Policy, as shown below. Portfolio allocation at September 30, 2017 is also shown below.

**Compliance with Investment Policy Percentages**



**Portfolio Allocation**



**Transbay Joint Powers Authority**  
**Fiscal Year 2017-18 Budget vs. Actual (Unaudited)**  
**1st Quarter (July through September 2017)**  
As of November 7, 2017

	FY 2017-18 Budget	FY 2017-18 1st Quarter Capital Outlay & Funding Sources	Unexpended FY 2017-18 Budget	Percent Expended through Fiscal Year
<b>CAPITAL EXPENDITURES <sup>1</sup></b>				
<b>Salaries &amp; Benefits</b>				
<i>Salaries</i>	2,543,300	455,626	2,087,674	18%
<i>Benefits</i>	873,600	217,716	655,884	25%
<b>Subtotal, Salaries &amp; Benefits</b>	<b>3,416,900</b>	<b>673,341</b>	<b>2,743,559</b>	<b>20%</b>
<b>Administration</b>				
<i>Rent</i>	730,000	177,155	552,845	24%
<i>Insurance</i>	82,500	37,044	45,456	45%
<i>Office Expenses</i>	86,000	7,132	78,868	8%
<i>Communications</i>	69,000	7,798	61,202	11%
<i>Information Technology Support</i>	130,000	16,612	113,388	13%
<i>Travel/Conferences</i>	38,000	757	37,243	2%
<i>Professional Development</i>	28,000	5,470	22,530	20%
<i>Board Expenses</i>	16,000	318	15,682	2%
<i>Meeting Expenses</i>	8,000	711	7,289	9%
<i>Benefits Administration/Payroll Services</i>	20,000	4,642	15,358	23%
<i>Miscellaneous</i>	35,000	3,513	31,487	10%
<b>Subtotal, Administration</b>	<b>1,242,500</b>	<b>261,151</b>	<b>981,349</b>	<b>21%</b>
<b>Professional &amp; Specialized Services</b>				
<b>Engineering &amp; Design Services</b>				
Program Management / Program Controls	6,440,400	943,856	5,496,544	15%
Downtown Extension Preliminary Engineering & Design	3,839,400	1,436,191	2,403,209	37%
Downtown Extension Interagency Coordination	19,100	978	18,122	5%
Transit Center & Ramps Engineering & Design	3,450,300	840,244	2,610,056	24%
Transit Center Traffic Signals	178,100	-	178,100	0%
Transit Center Overhead Lines	530,000	-	530,000	0%
Transit Center Commissioning Agent	260,000	10,402	249,598	4%
Bus Storage Engineering & Design	699,200	-	699,200	0%
Environmental Consultant / Building and Soil Haz. Mat.	50,000	6,731	43,269	13%
Public Art Program	279,600	-	279,600	0%
Other Engineering & Design Services	393,700	-	393,700	0%
<b>Permits and Fees</b>				
Transit Center Building & Ramps Permits & Fees (including DBI)	415,200	20,100	395,100	5%
Bus Storage Permits & Fees	50,000	30,772	19,228	62%
DTX Permits & Fees	2,000	-	2,000	0%
<b>Real Estate Services</b>				
Property Management	9,000	1,500	7,500	17%
ALTA / Design Surveys	30,000	-	30,000	0%
Miscellaneous Real Estate Services	120,000	-	120,000	0%
<b>Construction Management</b>				
Construction Management Oversight for Transit Center	10,139,700	1,637,264	8,502,436	16%
Construction Management for Bus Storage	1,200,500	181,598	1,018,902	15%
Construction Dispute Resolution Services	100,000	6,450	93,550	6%
<b>Construction</b>				
Transit Center Building & Ramps Construction	338,692,300	54,007,814	284,684,486	16%
Bus Storage Construction	23,200,200	3,684,213	19,515,987	16%
<b>Planning &amp; Environmental Analysis</b>				
Economic and Real Estate Analysis	39,700	7,343	32,358	18%
<b>Administration</b>				
Outside Legal Counsel & City Attorney	5,532,000	1,738,868	3,793,132	31%
Financial & Grant Management	254,800	35,991	218,809	14%
Accounting Software, Installation and Maintenance	40,000	20,501	19,499	51%
Audit Services	48,000	-	48,000	0%
Credit Rating Analysis	27,500	-	27,500	0%
Loan Servicing Fees and Interest	3,286,800	344,977	2,941,823	10%
CBD Special Tax Assessment	58,000	3,497	54,503	6%
Trustee Account Services	2,000	250	1,750	13%
Community & Public Relations	100,000	-	100,000	0%
Legislative Services	268,000	55,500	212,500	21%
Disadvantaged & Small Business Program	25,000	-	25,000	0%
Other Intergovernmental Agreements	30,000	-	30,000	0%
Other Consulting Assistance	20,000	-	20,000	0%
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>399,830,500</b>	<b>65,015,040</b>	<b>334,815,460</b>	<b>16%</b>
<b>TOTAL EXPENDITURES</b>	<b>404,489,900</b>	<b>65,949,532</b>	<b>338,540,368</b>	<b>16%</b>

	FY 2017-18 Budget	FY 2017-18 1st Quarter Capital Outlay & Funding Sources	Unexpended FY 2017-18 Budget	Percent Expended through Fiscal Year
<b>CAPITAL FUNDING SOURCES <sup>2</sup></b>				
<b>Committed Funding Sources</b>				
Federal Bus Facility, Alternatives Analysis and PNRS Grants (FTA)	579,500	77,342	502,158	13%
Regional Measure 2	1,558,600	185,184	1,373,416	12%
AB1171 Bridge Tolls	2,458,500	253,999	2,204,502	10%
Proposition K San Francisco Sales Tax	11,969,300	1,662,617	10,306,683	14%
One Bay Area Grant	4,668,000	302,355	4,365,645	6%
Land Sales Proceeds	14,338,400	4,461,215	9,877,185	31%
Bridge Loan Proceeds	3,316,700	1,982,871	1,333,829	60%
TIFIA Proceeds	101,550,700	26,837,742	74,712,958	26%
City Financing Proceeds	141,057,500	29,067,408	111,990,092	21%
Mello-Roos Community Facilities District Impact Fees	2,000	-	2,000	0%
Net Tax Increment Revenue	3,288,800	344,887	2,943,913	10%
Lease Income	95,000	32,905	62,095	35%
Interest Income	258,500	133,389	125,111	52%
Reimbursements by Others (Private Utilities, Adjacent Properties)	325,000	608,499	(283,499)	187%
<b>Subtotal, Committed Revenue</b>	<b>285,466,500</b>	<b>65,950,412</b>	<b>219,516,088</b>	<b>23%</b>
<b>Planned Funding Sources</b>				
Mello-Roos Community Facilities District Bond Proceeds / Impact Fees	119,023,400	-	119,023,400	0%
<b>Subtotal, Planned Revenue</b>	<b>119,023,400</b>	<b>-</b>	<b>119,023,400</b>	<b>0%</b>
<b>Other</b>				
Use of (Contribution to) Fund Balance	-	(879)	879	0%
<b>Subtotal, Other</b>	<b>-</b>	<b>(879)</b>	<b>879</b>	<b>0%</b>
<b>TOTAL REVENUE + OTHER</b>	<b>404,489,900</b>	<b>65,949,532</b>	<b>338,540,368</b>	<b>16%</b>

1 Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2 Capital revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

	FY 2017-18 Operating Budget	FY 2017-18 1st Quarter Operating Expenses & Revenues	Unexpended FY 2017-18 Budget	Percent Expended through Fiscal Year
<b>OPERATING EXPENSES</b>				
<b>Temporary Terminal</b>				
Temporary Terminal Facility Management, Security & Utilities	807,000	218,891	588,109	27%
AC Transit Additional Operating Support	1,950,000	533,339	1,416,661	27%
Parking Control Officers	130,000	33,486	96,514	26%
Insurance	59,300	59,300	-	100%
<b>Subtotal, Temporary Terminal</b>	<b>2,946,300</b>	<b>845,016</b>	<b>2,101,284</b>	<b>29%</b>
<b>Transit Center</b>				
Transit Center Maintenance	3,221,800	55,983	3,165,817	2%
Transit Center Janitorial	1,119,200	-	1,119,200	0%
Transit Center Utilities	384,300	-	384,300	0%
Park Maintenance & Programming	845,100	-	845,100	0%
Park Management Fee & Administration	798,000	626	797,374	0%
Digital Content Management & Wayfinding Systems	528,900	203,031	325,869	38%
Asset Management Fee & Administration	258,600	67,640	190,960	26%
Security	3,000,000	-	3,000,000	0%
Insurance	851,700	179,214	672,486	21%
<b>Subtotal, Transit Center</b>	<b>11,007,600</b>	<b>506,494</b>	<b>10,501,106</b>	<b>5%</b>
<b>Reserves</b>				
Emergency Reserve	500,000	-	500,000	0%
Operating Contingency	1,651,100	-	1,651,100	9%
O&M Reserve Contributions	-	156,389	(156,389)	200%
<b>Subtotal, Reserves</b>	<b>2,151,100</b>	<b>156,389</b>	<b>1,994,711</b>	<b>7%</b>
<b>TOTAL OPERATING EXPENSES</b>	<b>16,105,000</b>	<b>1,507,899</b>	<b>14,597,101</b>	<b>9%</b>
<b>OPERATING REVENUE &amp; FUNDING SOURCES</b>				
Regional Measure 2	4,856,100	1,351,510	3,504,590	28%
Other Regional Contributions	7,340,000	-	7,340,000	0%
Community Benefit District Park Payments	1,314,500	-	1,314,500	0%
Temporary Terminal Rents	330,500	142,011	188,489	43%
Temporary Terminal Advertising	38,400	14,378	24,022	37%
<b>Subtotal, Operating Revenue</b>	<b>13,879,500</b>	<b>1,507,899</b>	<b>12,371,601</b>	<b>11%</b>
Use of Fund Balance	500,000	-	500,000	0%
Use of Operating & Maintenance Reserve	1,725,500	-	1,725,500	0%
<b>Subtotal, Other Sources</b>	<b>2,225,500</b>	<b>-</b>	<b>2,225,500</b>	<b>0%</b>
<b>TOTAL OPERATING SOURCES</b>	<b>16,105,000</b>	<b>1,507,899</b>	<b>14,597,101</b>	<b>9%</b>

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through First Quarter (July 2017 to September 2017)**

as of December 5, 2017

Purpose	Vendor	Contract Value	Accrued to September 30, 2017	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2017	SBE Goal	SBE Actual through September 30, 2017	
<b>Professional &amp; Specialized Services</b>										
Program Management / Program Controls	AECOM (formerly URS)	Authorized: \$26,644,555 Total: \$27,160,000	\$21,978,333	7/1/14-6/30/18	Bridge loan, CFD (impact & bonds), developer contribution, Planning, Prop K, TIFIA	n/a	30%	37%	36%	
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Authorized: \$6,571,315 Total: \$6,800,000	\$4,965,316	7/1/11-6/30/19	CFD impact fees, Planning, Prop K	30%	7%	0%	7%	
Bus Storage A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$5,692,378 Total: \$5,733,696	\$5,400,972	5/21/07- Bus Storage Warranty	City Financing, RM-2, RTIP	16%	12%	0%	<b>26%</b>	
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	Authorized: \$5,578,071 Total: \$5,593,515	\$5,544,300	9/20/07- Utility Warranty	AB1171, FRA, RM-2	20%	7%	0%	15%	
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$153,193,560 Total: \$154,200,000	\$151,050,738	5/16/08- Transit Ctr Warranty	AB1171, AC Transit, bridge loan, City Financing, developer contrib, FRA, land sales, Prop K, RM-2, RTIP, TIFIA	0%	0%	0%	<b>7%</b>	
Commissioning Agent	Enovity Inc.	Authorized: \$687,685 Total: \$828,196	\$452,351	9/10/09-6/30/19	RM-2	5%	0%	90%	<b>100%</b>	
Environmental Consulting Services During Construction	Langan Environmental (formerly Treadwell & Rollo)	Authorized: \$484,136 Total: \$650,000	\$477,118	6/10/10-7/28/18	Prop K	20%	<b>22%</b>	37%	<b>52%</b>	
Supplemental EIS/EIR Preparation Services	AECOM	\$1,430,000	\$1,429,707	11/26/12-11/26/17	FTA, Prop K	0%	13%	21%	17%	
Artwork: Grand Hall Terrazzo Floor	Julie Chang Olcott	Authorized: \$87,500 Total: \$125,000	\$87,500	1/6/11-12/31/17	Bridge loan, Prop K	n/a	n/a	n/a	n/a	
Artwork: CityPark Bus Jet Fountain	Ned Kahn Studios	Authorized: \$70,000 Total: \$100,000	\$50,000	1/6/11-12/31/17	Bridge loan, Prop K	n/a	n/a	n/a	n/a	
Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	Authorized: \$124,200 Total: \$207,000	\$124,200	3/3/11-12/31/17	Bridge loan, Prop K	n/a	n/a	n/a	n/a	
Artwork: Bus Level Enclosure LED Array	Jenny Holzer	\$260,000	\$233,000	9/8/16-n/a	AB1171, City Financing, Prop K	n/a	n/a	n/a	n/a	
Lease Agreement (Rent Costs only)	California Mission Street Partners	\$8,629,300	\$6,027,851	12/1/03-3/31/21	FTA, bridge loan, CFD bonds, RTIP, sublease, TIFIA	n/a	n/a	n/a	n/a	

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through First Quarter (July 2017 to September 2017)**

as of December 5, 2017

Purpose	Vendor	Contract Value	Accrued to September 30, 2017	Term	Funding Source	DBE Advisory	DBE Actual through	SBE Goal	SBE Actual through
							September 30, 2017		September 30, 2017
<b>Legal Bench 12-02</b>		<b>Authorized:</b>							
General Counsel	Shute, Mihaly & Weinberger	\$8,779,600	\$8,379,746	9/1/12-8/31/19	FTA, developer contribution, Prop K, TIFIA	0%	0%	100%	84%
Construction Counsel	Seyfarth Shaw	\$3,554,000	\$3,464,660	9/19/12-9/18/19	FRA, FTA, City Financing, developer contribution, Prop K	0%	0%	0%	0%
Financial Counsel	Nixon Peabody	\$685,000	\$662,646	9/21/12-9/20/19	FTA, Prop K, TIFIA	0%	0%	0%	0%
Labor Counsel	Renne Sloan Holtzman Sakai	\$270,773	\$245,072	9/1/12-8/31/19	FTA, Prop K	0%	0%	0%	0%
<b>Total Authorized:</b>		<b>\$13,289,373</b>	<b>Total Bench NTE:</b>	<b>\$15,000,000</b>					
Legal Counsel	Thompson Coburn	Authorized: \$600,000 Total: \$1,000,000	\$581,952	11/1/10-10/31/18	FTA	0%	0%	0%	0%
Legal Counsel	Jones Day	\$3,500,000	\$2,718,935	12/14/16-12/13/21	City Financing, insurer contributions	n/a	0%	0%	0%
Financial Consulting Services	Sperry Capital (formerly Nancy Whelan Consulting)	\$4,284,920	\$3,802,969	3/1/11-2/28/18	FRA, FTA, Prop K, TIFIA	30%	15%	72%	75%
State Advocacy Services	Townsend Public Affairs	\$216,000	\$99,000	11/1/16-10/31/18	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Carpi & Clay	Authorized: \$160,000 Total: \$320,000	\$102,256	2/1/17-1/31/19	unrestricted revenue	n/a	0%	0%	0%
Integrated Financial System	Tyler Technologies (formerly New World Systems)	\$507,782	\$391,968	2/21/08-6/30/20	Bridge loan, FTA, RM-2, TIFIA	0%	0%	0%	0%
Independent Auditor	Vavrinek, Trine, Day & Co	\$144,000	\$68,000	3/1/16-3/10/19	Bridge loan, TIFIA	0%	0%	0%	0%
Information Technology Support Services	Decision Consultants	Authorized: \$220,000 Total: \$260,000	\$189,480	7/24/13-7/23/18	Bridge loan, FTA, TIFIA	0%	0%	0%	100%
<b>Real Estate Related Professional Services</b>									
On-Call Survey & Mapping Services	F3 & Associates	Authorized: \$220,286 Total: \$390,000	\$179,090	1/20/11-1/19/18	FRA, Prop K	15%	0%	100%	100%
On-Call Survey & Mapping Services	Luk and Associates	Authorized: \$36,218 Total: \$390,000	\$36,218	5/1/11-5/10/18	FRA, Prop K, RM-2	15%	100%	100%	100%
Phase 2 Real Estate Valuation Services	Runde & Partners	\$62,500	\$62,500	10/19/16-10/18/18	CFD impact fees	n/a	0%	0%	0%
<b>Real Estate Bench 11-03</b>		<b>Authorized:</b>							
Real Estate Economics Advisory Services	The Concord Group	\$219,993	\$219,993	8/1/11-12/31/18	FTA, Prop K	0%	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$250,871	\$245,464	8/1/11-12/31/18	FTA, Prop K	0%	0%	100%	100%
Real Estate Economics Advisory Services	Jones Lang LaSalle	\$7,050	\$7,050	4/23/12-4/22/17 (closed)	FTA	0%	0%	0%	0%
Real Estate Economics Advisory Services	Keyser Marston	\$8,995	\$8,995	6/4/15-6/3/18	Prop K	0%	0%	0%	0%
<b>Total Authorized:</b>		<b>\$486,908</b>	<b>Total Bench NTE:</b>	<b>\$500,000</b>					

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through First Quarter (July 2017 to September 2017)**

as of December 5, 2017

Purpose	Vendor	Contract Value	Accrued to September 30, 2017	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2017	SBE Goal	SBE Actual through September 30, 2017	
<b>Construction &amp; Construction Management</b>										
Construction Management Oversight	Turner Construction Company	\$72,500,000	\$68,052,798	6/28/10-6/30/18	AB1171, AC Transit, bridge loan, CFD bonds, FRA, land sales, Prop K, TIFIA	35%	42%	35%	46%	
Construction Manager/ General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$30,728,362 NTP'd Construction: \$1,332,027,095 Awarded Construction: \$1,359,106,405	\$1,261,975,950	3/12/09-Completion	AC Transit, bridge loan, bridge tolls, CFD bonds, City Financing, developer & utility reimbursements, FRA, land sales, OBAG, Prop K, TIFIA	17%	4%	17%	11%	
Construction Management - Bus Storage	Townsend Management	\$1,250,000	\$473,489	12/1/16-11/30/19	City Financing, RM-2	55%	100%	74%	100%	
Construction - Bus Storage	Ghilotti Construction	Authorized: \$19,596,436 Total \$21,608,720	\$6,636,008	5/1/17-Completion	City Financing	0%	4%	32%	4%	
Construction Labor Compliance Software	Elation Systems	\$102,500	\$87,500	9/15/08-12/31/17	AB1171, City Financing, FRA, Prop K, RM-2, TIFIA	0%	25%	0%	39%	
<b>Operations</b>										
Temporary Terminal Facility Manager	ABM Facility Services (formerly Linc)	\$9,500,000	\$7,153,491	8/20/09-6/30/18	RM-2	2%	5%	15%	11%	
Transit Center Asset Manager	LPC West Transit Management	based on services provided	\$1,378,104	5/8/17-5/7/23	RM-2	n/a	0%	n/a	1%	
Physical Security (PSIM) System & Emergency Communication (ECS/ MNS) Integration Svcs	G4S Technology Holdings USA, Inc.	Authorized: \$2,339,681 Total: \$5,606,664	\$112,155	6/8/17-6/7/19	City Financing	0%	n/a	0%	n/a	
<b>Overall DBE and SBE Participation</b>						<b>DBE Goal</b>	<b>DBE Awarded</b>	<b>SBE Awarded</b>	<b>DBE Payments + SBE Payments</b>	
Life of the Transbay Transit Center Program, since 2004*						n/a	9%	20%	\$122,439,187	\$121,267,143
Federal Fiscal Years October 2016 - September 2019						n/a	7%	32%	\$20,952,454	\$23,479,857
<i>*TJPA began tracking SBEs in FFY08-09</i>										
Agency	Contract or Budget Value	Accrued to Sept 30, 2017	Term	Funding Source	Notes					
<b>Interagency Agreements</b>										
California Department of Transportation (Caltrans)	\$300,000	\$268,955	7/15/10-12/31/18	Prop K, RM-2	Design review and oversight services provided by Caltrans for project elements affecting State right-of-way; agreement approved by TJPA Board in resolution 10-023.					
California Department of Transportation (Caltrans)	\$400,000	\$288,137	7/3/14-completion of Bus Ramps	Prop K	Quality assurance and construction oversight services for Bus Ramps elements within or affecting State right-of-way; agreement approved by TJPA Board in resolution 14-019; staff requesting approval of amendment to add					
California Department of Transportation (Caltrans)	\$289,920	\$108,720	5/15/17-completion of Bus Storage	City Financing	Bus Storage Facility 25-year Lease Agreement; agreement approved by the TJPA Board in resolution 17-008. TJPA responsible only through construction, then AC Transit assumes responsibility per Sublease Agreement with AC Transit, approved by the TJPA Board in resolution 17-018.					

**Transbay Joint Powers Authority**  
**Status of Purchase Orders and Contracts greater than \$25,000**  
**Fiscal Year 2017-18 through First Quarter (July 2017 to September 2017)**

as of December 5, 2017

Agency	Contract or Budget Value	Accrued to Sept 30, 2017	Term	Funding Source	Notes
SF City Attorney	\$2,712,133	\$2,703,192	on-going	FTA, Prop K, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
SF Public Works	Authorized: \$967,460 Total: \$1,174,500	\$694,081	2/11/08-12/10/17	FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board in resolution 08-005.
SF Public Works	\$4,491,461	\$898,174	1/4/16-Phase 1 Completion	Prop K	Construction Management and Oversight Services provided via agreement approved by TJPA Board in resolution 16-008.
SF Municipal Transportation Agency	Authorized: \$4,220,318 Total: \$5,393,677	\$3,538,113	8/01/09-6/30/18	Prop K, RM-2, TIFIA	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved by TJPA Board in resolution 10-027; amended to extend and redistribute budget in Board resolution 17-029.
SF Art Commission	\$950,000	\$903,538	7/1/08-6/30/18	FTA, RTIP, TIFIA	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.
SF Department of Building Inspection	Based on services; Authorized: \$5,036,443	\$4,774,643	3/10/10-termination	AB1171, FRA, Prop K	Plan review and field inspection services provided via agreement approved by TJPA Board in resolution 09-036.

Contract	Issued	Due Date	Notes
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Invitations for Bids / Requests for Proposals			
Contract	Issued	Due Date	Notes
General Contractor Services for Tenant Improvements	8/18/17	8/31/17	RFP advertised by LPC; award pending.
Transit Center Waste & Recycling Stream Management	8/16/17	9/1/17	RFP advertised by LPC; awarded to Landmark Industrial.
Transit Center HVAC Services	10/4/17	10/20/17	RFP advertised by LPC; proposals under evaluation.
Transit Center Plumbing Services Contractor	10/4/17	10/24/17	RFP advertised by LPC; proposals under evaluation.
Transit Center Generator Diesel Fuel Services; Generator Services; Fire Pump Testing	10/19/17	11/16/17	RFPs advertised by LPC; proposals under evaluation.
Transit Center Fire Life Safety Services; Sprinkler Services; MEP Consulting Services	10/23/17	11/16/17	RFPs advertised by LPC; proposals under evaluation.
Transit Center Civil Engineering Consulting Services; Warranty & Parts Software Services	10/26/17	11/16/17	RFPs advertised by LPC; proposals under evaluation.
Transit Center Computerized Maintenance Management Software Services	11/21/17	12/1/17	RFP advertised by LPC; proposals under evaluation.
Transit Center Electrical Services	11/21/17	12/15/17	RFP re-advertised by LPC.
Transit Center Information Security/Cybersecurity Services	11/14/17	12/21/17	RFP advertised by TJPA.

Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
<b>Contracts Completed 7/1/17 - 9/30/17</b>									
Design Review Services (Hydraulics / Wastewater)	SF Public Utilities Commission	\$1,150,000	\$915,338	4/9/09-9/13/17	FRA, Prop K, RM-2	n/a	n/a	n/a	n/a

**Transbay Joint Powers Authority**  
**Inception to Date Expenditures and Funding Sources**  
**As of September 30, 2017**  
(Unaudited)

<b>EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<b>Salary and Benefits</b>	<b>26,180,075</b>
<b>Administration</b>	<b>9,971,706</b>
<b>Professional &amp; Specialized Services</b>	
Transit Center/Bus Ramp Engineering & Design	163,935,544
Temporary Terminal Engineering & Design (complete)	3,263,825
Bus Storage Engineering & Design	5,632,458
Utility Relocation Engineering & Design	5,986,638
Downtown Extension Preliminary Engineering & Design	29,708,040
Program Management/Program Controls	105,200,868
Other Professional Services	57,027,059
<b>Subtotal, Professional &amp; Specialized</b>	<b>370,754,431</b>
<b>Construction &amp; Construction Management</b>	
Temporary Terminal Construction Management (complete)	1,749,247
Temporary Terminal Construction (complete)	20,729,570
Transit Center CM/GC Pre-Construction Services and Bonds	43,973,394
Former Terminal and Ramps Demolition (complete)	15,748,484
Construction Management and Construction Management Oversight	69,904,051
Transit Center Building Construction	1,182,334,792
Utility Relocation Construction	24,366,286
Bus Ramp Construction	61,968,701
Bus Storage Construction Management	481,305
Bus Storage Construction	6,744,728
<b>Subtotal, Construction &amp; Construction Management</b>	<b>1,428,000,558</b>
<b>Right of Way</b>	
Property Acquisition (complete for Phase 1)	117,982,980
Professional Services (closing costs, relocation, etc.)	5,067,734
<b>Subtotal, Right of Way</b>	<b>123,050,714</b>
<b>Other</b>	
Caltrans Attorney Parking (complete)	411,538
Financing Costs (Bridge Loan, TIFIA, City Financing)	19,353,800
<b>Subtotal, Other</b>	<b>19,765,338</b>
<b>TOTAL ACCRUED EXPENDITURES</b>	<b>1,977,722,822</b>
<b>FUNDING SOURCES</b>	
FTA: Federal Demonstration Section 1601	8,795,355
FTA: Federal High Priority Project 5309	29,689,674
FTA: Federal Projects of National & Regional Significance	24,367,745
FTA: Federal Congestion Management & Air Quality (One Bay Area Grant)	302,355
FRA: Federal High Speed Intercity Passenger Rail	400,000,000
FRA: Federal Rail Relocation Grant	2,650,000
FEMA: Federal Homeland Security Transit Security Grant	100,000
AC Transit Capital Contributions	39,467,474
AB 1171 Bridge Tolls	147,935,603
Regional Measure 1 Bridge Tolls	54,400,000
Regional Measure 2 Bridge Tolls	148,626,076
San Francisco (Proposition K) SF Sales Tax	184,447,371
San Mateo Sales Tax	23,359,514
Regional Transportation Improvement Program (RTIP)	10,153,000
Land Sales	501,273,391
Bridge Loan Proceeds	152,269,165
TIFIA Loan Proceeds	129,629,706
City Financing Proceeds	102,989,456
Lease, Interest Income & Other Unrestricted	8,839,448
Reimbursements by Others (Private Utilities, Adjacent Property Developers, etc.)	5,151,601
Net Tax Increment	2,246,053
Former SF Redevelopment Agency In-Kind Contribution	798,689
CFD Impact Fees	231,147
<b>TOTAL FUNDING SOURCES</b>	<b>1,977,722,822</b>

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of September 2017, as well as accrued outstanding September 2017 invoices, and accrued interest payable.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of September 30, 2017, as well as receivables related to accrued expenditures.

Report is for capital expenditures/revenues only; does not include operations.