

Memorandum

To: TJPA Board of Directors
From: Maria Ayerdi-Kaplan, Executive Director
Date: For the Board Meeting of July 1, 2013
Re: Transbay Joint Powers Authority Quarterly Reports

The following reports have been prepared as information items for the TJPA Board:

1. FY 2012-13 Third Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of March 31, 2013
3. Quarterly Investment & Earnings Report as of March 31, 2013
4. Inception to March 31, 2013 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

1. FY 2012-13 Third Quarter Budget vs. Actual Financial Report

The TJPA Board approved the FY 2012-13 TJPA budget in June 2012. There has been one amendment to the capital budget. Three amendments to the operations budget have been approved.

Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the end of the first quarter of the fiscal year 2012-13 (July 1, 2012 through March 31, 2013) unaudited for both the capital and operating budgets. The FY 2012-13 third quarter budget versus actual financial report is Attachment 1. This report indicates that expenditures and expenses through the fiscal year to-date are within the available budgets.

2. Status of Purchase Orders and Contracts Greater than \$25,000 as of March 31, 2013

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures as of March 31, 2013 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. The TJPA Anticipated DBE Participation Level (ADPL) for the three Federal Fiscal Years begun October 1, 2010, which has been calculated based on FTA-funded contracts planned to be awarded during the three year time period, is 8.3%. The actual DBE commitment for contracts awarded thus far is 14% and the actual SBE commitment is 22%. Actual payments to DBEs and SBEs through the second quarter of Federal Fiscal Year 2012-13 (October 1, 2012 – March 31, 2013) were \$77.9 million.

3. Quarterly Investment & Earnings Report as of March 31, 2013

The primary objectives, in priority order, for the TJPA's investment activities are safety, liquidity, and return on investment. The TJPA's permitted investment instruments are governed by California Government Code and the TJPA Board-approved Investment Policy. All cash, other than cash held in escrow for contractor retention, is currently held in one of three accounts: 1) an insured/collateralized checking account with U.S. Bank, 2) the City & County of San Francisco Treasurer's investment pool, or 3) a trust account with Deutsche Bank National Trust. The Investment & Earnings report (Attachment 3) from the TJPA Chief Financial Officer identifies the balance in each of these accounts at the end of the quarter.

For the quarter ending March 31, 2013, interest earnings from the City Treasurer's Pool were \$11,340. The annualized rate of return for the quarter was 0.84% with an ending cash balance in the pool of \$5,411,647.

Due to the low interest rate environment, the U.S. Bank account is currently set up with an earnings credit rate, meaning that any earnings offset bank fees. Thus no interest earnings are reported in the investment report for the bank account. TJPA holds only the amount necessary for upcoming cash disbursements in the bank account.

The TJPA has a trust account with Deutsche Bank for Gross Sales Proceeds from former state parcels. At March 31, TJPA held \$188,387,000 (par value) of U.S. Treasury Notes and Bills that mature on a rolling quarterly basis, plus a cash balance of \$501,458 that was subsequently invested in a money market fund. Further details on investments are shown in Attachment 3.

4. Inception to March 31, 2013 Capital Expenditures and Revenues

The Inception to Date Expenditures and Revenues (unaudited) report (Attachment 4) provides an overview of the TJPA's capital expenditures and revenues from the beginning of the program through March 31, 2013. Summary level information is provided by major expenditure category and revenue source.

Information only.

Transbay Joint Powers Authority
Fiscal Year 2012-13 Budget vs. Actual (Unaudited)
as of 3rd Quarter (July 2012 through March 2013)

As of March 14, 2013

	FY 2012-13 Budget Amendment 1 ³	FY 2012-13 1st-3rd Quarter Capital Outlay & Revenues	Unexpended FY 2012-13 Budget	Percent Expended through 3rd Quarter	Notes
EXPENDITURES ¹					
Salaries & Benefits					
Salaries	2,096,000	1,350,059	745,941	64%	
Benefits	705,000	432,252	272,748	61%	
Subtotal, Salaries & Benefits	2,801,000	1,782,311	1,018,689	64%	
Administration					
Rent	690,000	495,678	194,322	72%	
Insurance	94,000	80,223	13,777	85%	
Interest Expense	25,000	-	25,000	0%	
Office Expenses	83,000	46,477	36,523	56%	
Communications	77,000	41,580	35,420	54%	
Information Technology Support	36,000	14,518	21,482	40%	
Travel/Conferences	40,000	25,671	14,329	64%	
Professional Development	27,000	13,225	13,775	49%	
Board Expenses	10,000	8,034	1,966	80%	
Meeting Expenses	7,000	877	6,123	13%	
Benefits Administration/Payroll Services	19,000	11,948	7,052	63%	
Miscellaneous	33,000	7,363	25,637	22%	
Subtotal, Administration	1,141,000	745,593	395,407	65%	
Professional & Specialized Services					
Engineering & Design Services					
Program Management / Program Controls	9,200,000	6,251,202	2,948,798	68%	
Downtown Extension Preliminary Engineering & Design	6,400,000	196,280	6,203,720	3%	
Downtown Extension Interagency Coordination	277,000	-	277,000	0%	
Transit Center & Ramps Engineering & Design	18,221,000	14,970,552	3,250,448	82%	
Transit Center Traffic Signals	381,000	-	381,000	0%	
Transit Center Overhead Lines	876,000	173,002	702,998	20%	
Transit Center Commissioning Agent	140,000	10,929	129,071	8%	
Bus Ramps Design Reviews	350,000	8,213	341,787	2%	
Bus Storage Engineering & Design	1,205,000	639,615	565,385	53%	
Utility Relocation Engineering & Design	316,000	(16,665)	332,665	-5%	
Environmental Consultant / Building and Soil Haz. Mat.	386,000	46,213	339,787	12%	
Archaeological Support Services	100,000	-	100,000	0%	
Public Art Program	790,000	66,856	723,144	8%	
Other Engineering & Design Services	1,407,000	-	1,407,000	0%	
Permits and Fees					
Transit Center Building & Ramps Permits & Fees	2,446,000	99,265	2,346,735	4%	
Bus Storage Permits & Fees	200,000	-	200,000	0%	
Utility Relocation Permits & Fees	30,000	19,044	10,956	63%	
DTX Permits & Fees	10,000	2,048	7,953	20%	
Other Plan Checks / Permit Fees	134,000	-	134,000	0%	
Real Estate Services					
Property Management / Boarding	14,000	9,418	4,582	67%	
Appraisal Services	100,000	15,700	84,300	16%	
ALTA / Design Surveys	260,000	20,700	239,300	8%	
SF Redevelopment Successor Agency Administrative Fee	431,000	100,828	330,172	23%	
Miscellaneous Real Estate Services	40,000	-	40,000	0%	
Construction Management					
Construction Management & Oversight for Transit Center	8,490,000	4,360,035	4,129,965	51%	
Construction Management for Bus Storage	625,000	-	625,000	0%	
Construction					
Transit Center & Ramps CM/GC Pre-Construction Services	4,460,000	3,054,634	1,405,366	68%	
Transit Center Building & Ramps Construction	141,565,000	70,804,944	70,760,056	50%	
Utility Relocation Construction	4,889,000	2,135,954	2,753,046	44%	
Planning & Environmental Analysis					
Transbay Transit District Plan	75,000	32,735	42,265	44%	
Economic and Real Estate Analysis	350,000	41,598	308,402	12%	
Environmental Review	1,500,000	75,297	1,424,703	5%	
Other Planning Studies	96,000	-	96,000	0%	
Administration					
Outside Legal Counsel & City Attorney	2,947,000	2,236,292	710,708	76%	
Financial & Grant Management	600,000	327,567	272,433	55%	
New Revenue Consultation	25,000	-	25,000	0%	
Accounting Software & Installation	20,000	13,567	6,433	68%	
Audit Services	50,000	33,000	17,000	66%	
Credit Rating Analysis	35,000	35,000	-	100%	
Loan Servicing Fees	13,000	-	13,000	0%	
TIFIA Project Monitoring	75,000	-	75,000	0%	
Trustee Account Services	2,000	1,250	750	63%	
Community & Public Relations	180,000	135,000	45,000	75%	
Legislative Services	513,000	364,250	148,750	71%	
Disadvantaged & Small Business Program	100,000	-	100,000	0%	
Other Intergovernmental Agreements	30,000	-	30,000	0%	
Other Consulting Assistance	50,000	30,000	20,000	60%	
Subtotal, Professional & Specialized Services	210,404,000	106,296,454	104,107,546	51%	

	FY 2012-13 Budget Amendment 1 ³	FY 2012-13 1st-3rd Quarter Capital Outlay & Revenues	Unexpended FY 2012-13 Budget	Percent Expended through 3rd Quarter	Notes
Right of Way Acquisition					
Property Acquisition	4,441,000	182,500	4,258,500	4%	
Title/Closing Costs	70,000	2,573	67,427	4%	
Relocation Services Consultation	136,000	13,999	122,001	10%	
Relocation Services Assistance	335,000	102,297	232,703	31%	
Goodwill Loss Assistance	290,000	10,680	279,320	4%	
Other Right of Way Expenses	42,000	-	42,000	0%	
Subtotal, Right of Way Acquisition	5,314,000	312,049	5,001,951	6%	
Other					
Caltrans Attorney Parking	100,000	60,480	39,520	60%	
Subtotal, Other	100,000	60,480	39,520	60%	
Reserves					
Fiscal Reserve	3,411,000	-	3,411,000	0%	
Emergency Reserve	500,000	-	500,000	0%	
Subtotal, Reserves	3,911,000	-	3,911,000	0%	
TOTAL EXPENDITURES + OTHER	223,671,000	109,196,887	114,474,113	49%	
CAPITAL REVENUE ²					
Committed Revenue					
Federal Alternatives Analysis Grant	1,240,000	51,955	1,188,045	4%	
Federal Bus Facility & PNRS Grants	8,946,000	4,782,670	4,163,330	53%	
Federal High Speed and Intercity Passenger Rail Program	152,943,000	86,547,621	66,395,379	57%	
Regional Measure 2	2,730,000	641,786	2,088,214	24%	
AB1171 Bridge Tolls	17,637,000	10,918,910	6,718,090	62%	
Proposition K San Francisco Sales Tax	6,926,000	1,946,984	4,979,016	28%	
San Mateo County Sales Tax	769,000	185,073	583,927	24%	
AC Transit Capital Contribution	7,646,000	3,169,191	4,476,809	41%	
Regional Transportation Improvement Program	500,000	280,776	219,225	56%	
Lease Income	62,000	46,256	15,744	75%	
Interest Income	44,000	59,396	(15,396)	135%	higher than expected due to higher average balance
Developer Transaction Costs Contribution	-	241,479	(241,479)	n/a	tower developer reimbursement for incurred costs
Subtotal, Committed Revenue	199,443,000	108,872,097	90,570,903	55%	
Planned Revenue					
Land Sales Proceeds	12,332,000	-	12,332,000	0%	
Subtotal, Planned Revenue	12,332,000	-	12,332,000	0%	
Other					
Fund Balance from Previous Year	4,396,000	324,790	4,071,210	7%	
Subtotal, Other	4,396,000	324,790	4,071,210	7%	
New Revenues to be Identified					
Revenue Source to be Determined	7,500,000	-	7,500,000	0%	
Subtotal, Revenue Source to be Determined	7,500,000	-	7,500,000	0%	
TOTAL REVENUE + OTHER	223,671,000	109,196,887	114,474,113	49%	

	FY 2012-13 Operating Budget Amendment 3 ³	FY 2012-13 1st-3rd Quarter Operating Expenses & Revenues	Unexpended FY 2012-13 Budget	Percent Expended through 3rd Quarter	Notes
OPERATING EXPENDITURES					
Professional & Specialized Services					
Temporary Terminal Facility Management & Security	1,134,000	640,887	493,113	57%	
Police Services as Needed	50,000	-	50,000	0%	
AC Transit Additional Operating Support & Staging Lease	2,658,000	1,071,292	1,586,708	40%	
Parking Control Officers	142,000	95,736	46,264	67%	
Utilities	45,000	25,192	19,808	56%	
Insurance	45,600	45,535	65	100%	
Operating Contingency	14,400	-	14,400	-	
Subtotal, Professional & Specialized Services	4,089,000	1,878,641	2,210,359	46%	
Reserves					
Operating Reserve	1,333,500	1,260,448	73,052	95%	
Subtotal, Reserves	1,333,500	1,260,448	73,052	95%	
TOTAL OPERATING EXPENDITURES	5,422,500	3,139,089	2,283,411	58%	
OPERATING REVENUE					
Regional Measure 2	4,089,000	1,878,641	2,210,359	46%	
AC Transit Reserve Contribution	995,500	995,308	192	100%	
Rents	288,000	227,640	60,360	79%	
Advertising, Interest, Other	50,000	37,500	12,500	75%	
Subtotal, Operating Revenue	5,422,500	3,139,089	2,283,411	58%	
TOTAL OPERATING REVENUE	5,422,500	3,139,089	2,283,411	58%	

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2: TJPAs capital grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

3: Capital Budget Amendment 1 and Operating Budget Amendments 1 and 3 approved by Executive Director under TJPA Budget Policy; Amendment 2 approved by the Board on 9/13/12.

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2012-13 through Third Quarter (July 2012 to March 2013)

as of June 27, 2013

Purpose	Vendor	Contract Value	Accrued to Mar. 31, 2013	Term	Funding Source	DBE Advisory	DBE Actual through Mar. 31, 2013	SBE Goal	SBE Actual through Mar. 31, 2013
Professional & Specialized Services									
Program Management / Program Controls	URS Corporation	Authorized: \$20,021,188 Total: \$28,400,000	\$16,822,390	7/1/11-6/30/14	AB1171, AC Transit, FRA	30%	29%	0%	48%
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Authorized: \$900,000 Total: \$4,200,000	\$701,457	7/1/11-6/30/14	Prop K	30%	3%	0%	3%
Bus Storage A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$5,622,062 Total: \$5,748,379	\$3,739,883	5/17/07-Bus Storage Warranty	RM-2, RTIP	16%	13%	0%	24%
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	Authorized: \$5,245,510 Total: \$5,593,515	\$5,189,817	9/20/07-Utility Warranty	FRA, RM-2	20%	6%	0%	14%
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$126,067,683 Total: \$152,000,000	\$116,584,177	5/16/08-Transit Ctr Warranty	AB1171, AC Transit, FRA, Prop K, RM-2, RTIP	0%	0%	0%	8%
Cast Steel Nodes Engineering & Administrative Services	Cast Connex, Inc.	Authorized: \$900,000 Total: \$1,200,000	\$801,000	6/26/12-6/25/16	AB1171	0%	0%	0%	0%
Commissioning Agent	Enovity Inc.	Authorized: \$271,585 Total: \$762,196	\$205,851	9/10/09-6/30/16	RM-2	5%	0%	90%	93%
Environmental Consulting Services During Construction	Langan Environmental (formerly Treadwell & Rollo)	Authorized: \$384,850 Total: \$650,000	\$352,344	6/10/10-6/9/17	Prop K	20%	29%	37%	48%
Supplemental EIR/EIS Preparation Services	AECOM	\$1,300,000	\$86,725	11/26/12-11/25/14	FTA, Prop K	0%	18%	21%	18%
Artwork: Grand Hall Terrazzo Floor	Julie Chang Olcott	Authorized: \$62,500 Total: \$125,000	\$62,500	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2012-13 through Third Quarter (July 2012 to March 2013)

as of June 27, 2013

Purpose	Vendor	Contract Value	Accrued to Mar. 31, 2013	Term	Funding Source	DBE Advisory	DBE Actual through Mar. 31, 2013	SBE Goal	SBE Actual through Mar. 31, 2013
Artwork: CityPark Bus Jet Fountain	Ned Kahn Studios	Authorized: \$50,000 Total: \$100,000	\$40,000	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	Authorized: \$62,100 Total: \$207,000	\$62,100	3/3/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Landmark Entry Sculpture at Mission Square	Tim Hawkinson	Authorized: \$60,000 Total: \$200,000	\$30,000	3/5/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Bus Level Enclosure LED Array	Jenny Holzer	\$104,000	\$78,000	6/21/12-12/31/17	Prop K	n/a	n/a	n/a	n/a
Lease Agreement	California Mission Street Partners	\$5,122,518	\$3,197,241	2003-2015	FTA, RTIP	n/a	n/a	n/a	n/a
Legal Bench 12-02		Authorized:							
General Counsel	Shute, Mihaly & Weinberger	\$1,640,000	\$1,142,369	9/1/12-8/31/17	FTA, developer contribution	0%	0%	100%	85%
Construction Counsel	Seyfarth Shaw	\$914,000	\$467,756	9/1/12-8/31/17	FRA, developer contribution	0%	0%	0%	0%
Labor Counsel	Renne Sloan Holtzman Sakai	\$75,000	\$19,701	9/1/12-8/31/17	FTA	0%	0%	0%	0%
Financial Counsel	Nixon Peabody	\$50,000	\$0	9/1/12-8/31/17	FTA	0%	0%	0%	0%
	Total Authorized:	\$2,679,000	Total Bench NTE:	\$8,000,000					
Retail Counsel	Sheppard Mullin Hampton & Richter	\$200,000	\$38,331	9/09/10-12/30/14	FTA	0%	0%	0%	0%
Legal Counsel	Thompson Coburn	Authorized: \$600,000 Total: \$1,000,000	\$395,485	11/1/10-10/31/15	FTA	0%	0%	0%	0%
Financial Consulting Services	Nancy Whelan Consulting	\$4,284,920	\$1,069,268	3/1/11-2/28/16	FRA, FTA	30%	58%	72%	67%
Federal Advocacy Services	Chambers, Conlon & Hartwell	\$403,500	\$330,157	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Manatt, Phelps & Phillips (formerly Holland + Knight)	\$403,500	\$321,000	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2012-13 through Third Quarter (July 2012 to March 2013)

as of June 27, 2013

Purpose	Vendor	Contract Value	Accrued to Mar. 31, 2013	Term	Funding Source	DBE Advisory	DBE Actual through Mar. 31, 2013	SBE Goal	SBE Actual through Mar. 31, 2013	
State Advocacy Services	Mercury Public Affairs	\$300,000	\$266,000	8/1/10-7/31/13	unrestricted revenue	n/a	0%	0%	0%	
State Advocacy Services	Townsend Public Affairs	\$300,000	\$266,000	8/1/10-7/31/13	unrestricted revenue	n/a	0%	0%	0%	
Integrated Financial System	New World Systems	\$409,577	\$279,753	2/21/08-5/31/13	FTA, RM-2	0%	0%	0%	0%	
Public Relations & Community Outreach	Singer Associates	Authorized: \$540,000 Total: \$900,000	\$360,000	4/1/11-3/31/16	FTA	18%	0%	40%	100%	
New Revenue/ Fundraising Consultation	CCS	\$67,000	\$42,000	12/2/08-12/1/14	unrestricted revenue	0%	0%	0%	0%	
Independent Auditor	Vavrinek, Trine, Day & Co	\$144,000	\$116,000	3/10/11-3/9/14	FTA	0%	0%	10%	11%	
Real Estate Related Professional Services										
Real Estate Appraiser	Carneghi-Blum & Partners	Authorized: \$172,853 Total: \$425,000	\$148,653	4/17/09-4/16/14	FTA, Prop K, RTIP	0%	0%	0%	0%	
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Authorized: \$19,980 Total: \$62,500	\$19,980	6/18/08-6/17/13	RTIP	0%	0%	0%	0%	
Machinery & Equipment Appraisers	Neale & Sons Inc.	Authorized: \$29,000 Total: \$62,500	\$29,000	5/26/08-5/25/13	RTIP	0%	0%	0%	0%	
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Authorized: \$24,611 Total: \$75,000	\$24,611	7/7/08-7/6/13	RTIP	0%	0%	0%	0%	
Goodwill Loss Appraisers	Donna Desmond Associates	Authorized: \$61,833 Total: \$75,000	\$34,333	5/5/08-5/4/13	FTA, RTIP	0%	1%	0%	100%	
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$164,060	5/17/07-5/16/15	Prop K, RM-2, RTIP	0%	0%	0%	0%	
Relocation Assistance Services	Associated Right of Way Services	\$600,000	\$569,357	10/1/08-9/30/13	Prop K	0%	0%	0%	0%	
On-Call Survey & Mapping Services	F3 & Associates	Authorized: \$111,384 Total: \$390,000	\$111,384	1/20/11-1/19/18	FRA, Prop K	15%	0%	100%	100%	
On-Call Survey & Mapping Services	Luk and Associates	Authorized: \$28,694 Total: \$390,000	\$28,694	5/11/11-5/10/18	FRA, RM-2	15%	100%	100%	100%	

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2012-13 through Third Quarter (July 2012 to March 2013)

as of June 27, 2013

Purpose	Vendor	Contract Value	Accrued to Mar. 31, 2013	Term	Funding Source	DBE Advisory	DBE Actual through Mar. 31, 2013	SBE Goal	SBE Actual through Mar. 31, 2013	
Real Estate Bench 11-03		Authorized:								
Real Estate Economics Advisory Services	The Concord Group	\$103,000	\$97,000	8/1/11-7/31/16	FTA	0%	0%	0%	0%	
Real Estate Economics Advisory Services	Jones Lang LaSalle	\$7,050	\$7,050	4/23/12-4/22/17	FTA	0%	0%	0%	0%	
Real Estate Economics Advisory Services	Seifel Consulting	\$150,000	\$130,017	8/1/11-7/31/16	FTA, Prop K	0%	0%	100%	100%	
Real Estate Economics Advisory Services	BAE	\$0	\$0			0%	0%	0%	0%	
Real Estate Economics Advisory Services	Keyser Marston	\$0	\$0			0%	0%	0%	0%	
	Total Authorized:	\$260,050	Total Bench NTE:	\$500,000						
Construction & Construction Management										
Construction Management Oversight	Turner Construction Company	Authorized: \$15,726,377 Total: \$38,500,000	\$12,554,000	6/10/10-6/9/16	AB1171, AC Transit, FRA, Prop K	35%	21%	35%	26%	
Construction Manager/General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$22,651,575 of \$23,151,821; Authorized Construction: \$416,917,538	\$223,955,186	3/12/09-Completion	AB1171, AC Transit, FRA, AT&T/PG&E, Prop K, RM-2	17%	<1%	17%	13%	
Construction Labor Compliance Software	Elation Systems	Authorized: \$53,500 Total: \$57,500	\$53,500	9/15/08-9/14/14	FRA, Prop K, RM-2	0%	0%	0%	0%	
Purpose	Vendor	Contract Value	Accrued to Mar. 31, 2013	Term	Funding Source	DBE Advisory	DBE Actual through Mar. 31, 2013	SBE Goal	SBE Actual through Mar. 31, 2013	
Operations										
Temporary Terminal Facility Manager	ABM Facility Services (formerly Linc)	\$5,542,812	\$2,516,710	8/20/09-12/31/14	RM-2	2%	3%	15%	8%	
Overall DBE and SBE Participation						DBE Goal	DBE Awarded	SBE Awarded*	DBE Payments	SBE Payments*
Life of the Transbay Transit Center Program, since 2004						n/a	16%	23%	\$42,627,752	\$119,489,567
Federal Fiscal Years October 2010 - September 2013						8.3%	14%	22%	\$12,998,883	\$77,867,548

*TJPA began tracking SBEs in FFY08-09

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2012-13 through Third Quarter (July 2012 to March 2013)

as of June 27, 2013

Agency	Contract or Budget Value	Accrued to Mar. 31, 2013	Term	Funding Source	Notes				
Interagency Agreements									
California Department of Transportation (Caltrans)	\$300,000	\$157,506	7/15/10-12/31/18	Prop K, RM-2	Design review and oversight services provided by Caltrans for project elements affecting State right-of-way; agreement approved by TJPA Board in resolution 10-023 at July 15, 2010 Board meeting.				
Office of Community Investment & Infrastructure (successor to SF Redevelopment)	\$1,503,949	\$1,105,065	on-going	FTA, RM-2, developer contribution, unrestricted revenue	Services for Preparation and Sale of State Conveyed Parcels provided on a Request for Services basis; work currently authorized for Blocks 8 and 9.				
SF City Attorney	\$2,857,961	\$2,611,792	on-going	FTA, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.				
SF Department of Public Works	Authorized: \$854,568 Total: \$1,174,500	\$610,897	2/11/08-2/10/17	FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board via resolution 08-005.				
SF Municipal Transportation Agency	Authorized: \$2,145,256 Total: \$3,280,677	\$1,916,690	8/20/09-12/31/17	Prop K, RM-2	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved at July 15, 2010 Board meeting.				
SF Art Commission	Authorized: \$568,058 Total: \$950,000	\$478,263	7/1/08-6/30/15	FTA, RTIP	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.				
SF Department of Building Inspection	\$5,036,443	\$4,014,317	3/10/10-termination	AB1171, FRA, Prop K	Plan review and field inspection services.				
SF Public Utilities Commission	Authorized: \$1,075,000 Total: \$1,150,000	\$570,536	9/14/09-9/13/17	Prop K, RM-2	Design Review Services related to hydraulics and wastewater via agreement approved by TJPA Board in resolution 09-010.				
Contract	Issued	Due Date	Funding Source	SBE Goal	Notes				
Invitations for Bids / Requests for Proposals									
Revised Structural Steel Superstructure, with East/West/Central Parts	4/29/13	6/20/13	RM-1, land sales	12%	Four bids received; recommended contract award to be brought to TJPA Board in July for approval.				
Glazing Design-Build	6/3/11	Fall 2013	AB1171	20%	Repackaging of exterior wall enclosure systems underway due to change to metal awning system (W-1) in lieu of glass. RFQ for bidders for W-1 issued June 25; qualifications due back July 30.				
Bus Ramps	TBD	TBD	Land sales	TBD	RFQ for bidders issued January 2013; seven bidders prequalified. Bid issuance pending Summer/Fall 2013.				
Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
Contracts Completed 1/1/13 - 3/31/13									
Real Estate Review Appraiser	David Tattersall & Company	Authorized: \$20,375 Total: \$75,000	\$20,375	10/27/09-4/7/13	FTA, Prop K	0%	0%	0%	0%

Transbay Joint Powers Authority
Investment & Interest Earnings Report¹
Fiscal Year 2013 3rd Quarter

Depository	Investment Type	Date of Investment	Balance	3rd Quarter Interest	Annualized Rate of Return
City Treasurer	Pooled Deposit on call	continuous	\$ 5,411,647	\$ 11,340	0.84%
U.S. Bank	Checking	continuous	\$ 1,665,388	\$ -	0.00%
Deutsche Bank	Trust Accounts	3/28/2013	\$ 191,263,784	\$ 28,276	0.06%

Deutsche Bank Trust Account Detail

	Maturity Date	Par Value	Carrying Value	Market Value	Yield to Maturity
Cash	n/a	\$ 501,458	\$ 501,458	\$ 501,458	0.00%
U.S. Treasury Note	6/30/2013	\$ 8,548,000	\$ 8,555,212	\$ 8,553,984	0.05%
U.S. Treasury Bill	7/18/2013	\$ 69,000	\$ 68,979	\$ 68,984	0.06%
U.S. Treasury Note	9/30/2013	\$ 6,905,000	\$ 7,011,812	\$ 7,007,470	0.06%
U.S. Treasury Note	12/31/2013	\$ 44,513,000	\$ 44,989,428	\$ 44,961,691	0.09%
U.S. Treasury Note	1/31/2014	\$ 128,352,000	\$ 130,136,895	\$ 130,056,515	0.17%

¹ Per California Government Code Section 53646(b)(2), the TJPA investment portfolio is in compliance with the TJPA Investment Policy. As required by California Government Code Section 53646(b)(3), TJPA has sufficient resources to cover expenditures for the next six months.

² Source of Market Value is Deutsche Bank portfolio statement issued for 3/31/13. TJPA holds securities until maturity.

Transbay Joint Powers Authority	
Inception to Date Capital Expenditures and Revenues	
As of March 31, 2013 (Unaudited)	
EXPENDITURES	INCEPTION TO DATE
<i>Salary and Benefits</i>	13,431,961
<i>Administration</i>	5,727,957
Professional & Specialized Services	
Transit Center/Bus Ramps Preliminary Engineering & Design	123,388,517
Temporary Terminal Preliminary Engineering & Design	3,263,825
Bus Storage Preliminary Engineering & Design	3,730,296
Utility Relocation Engineering & Design	5,410,268
Downtown Extension Preliminary Engineering & Design	25,681,934
Program Management/Program Control	72,509,150
Other Professional Services	33,864,367
Subtotal, Professional & Specialized	267,848,356
Construction & Construction Management	
Temporary Terminal Construction Management	1,749,247
Temporary Terminal Construction	20,729,570
Transit Center/Utilities CM/GC Pre-construction Services and Bonds	26,852,525
Former Terminal and Ramps Demolition	15,748,484
Construction Management and Construction Management Oversight	13,157,977
Transit Center Building Construction	186,251,214
Utility Relocation Construction	17,750,269
Subtotal, Construction & Construction Management	282,239,286
Right of Way	
Property Acquisition	114,194,310
Professional Services (closing costs, relocation, etc.)	4,631,169
Subtotal, Right of Way	118,825,479
Other (Caltrans Attorney Parking)	238,018
TOTAL EXPENDITURES	688,311,057
REVENUES	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	24,418,276
Federal Projects of National & Regional Significance	9,691,822
Federal High Speed Intercity Passenger Rail	281,396,131
Federal Homeland Security Transit Security Grant	100,000
Federal Rail Relocation Grant	2,650,000
AC Transit Capital Contributions	5,730,269
AB 1171	54,809,091
Regional Measure 1	6,600,000
Regional Measure 2	142,941,263
Proposition K SF Sales Tax	113,397,654
Regional Transportation Improvement Program (RTIP)	10,110,076
San Mateo Sales Tax	23,230,439
SF Redevelopment Agency In-Kind Contribution	798,689
Developer Transaction Cost Contribution	632,577
Lease Income, Other Unrestricted	3,009,417
TOTAL REVENUES	688,311,057

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of March 31, 2013 as well as accrued outstanding March 2013 invoices.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of March 31, 2013 as well as receivables related to accrued expenditures.

Report is for capital expenditures/revenues only; does not include operations.