

Memorandum

To: TJPA Board of Directors
From: Maria Ayerdi-Kaplan, Executive Director
Date: For the Board Meeting of December 8, 2011
Re: Transbay Joint Powers Authority Quarterly Financial Reports

The following financial reports have been prepared as information items for the TJPA Board:

1. FY 2011-2012 First Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2011
3. Quarterly Investment & Earnings Report as of September 30, 2011
4. Inception to September 30, 2011 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

1. FY 2011-12 First Quarter Budget vs. Actual Financial Report

The TJPA Board approved the FY 2011-12 TJPA budget in June 2011. One amendment to the capital budget has been approved, by the Executive Director at September 20. The overall budget did not increase through this budget amendment; amounts were just transferred between line items.

Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the first quarter of fiscal year 2011-12 (July 1, 2011 through September 30, 2011) unaudited for both the capital and operating budgets. The FY 2011-12 first quarter budget versus actual financial report is Attachment 1. This report indicates that expenditures to date are within the available budget.

2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2011

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in Attachment 2, expenditures as of September 30, 2011 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. The TJPA Annual Anticipated DBE Participation Level (AADPL) for the three Federal Fiscal Years begun October 1, 2010, which was calculated based on contracts planned to be awarded during the three year time period, is 18.5%. The actual DBE commitment for contracts awarded thus far is 15% and the actual SBE commitment is 39%. Actual amounts to DBEs and SBEs for Federal Fiscal Year 2010-11 (October 1, 2010 – September 30, 2011) were \$27.2 million.

3. Quarterly Investment & Earnings Report as of September 30, 2011

The primary objectives, in priority order, for the TJPA's investment activities are safety, liquidity, and return on investment. The TJPA's permitted investment instruments are governed by California Government Code and the TJPA Board-approved Investment Policy. All cash, other than cash held in escrow for contractor retention, is currently held in one of three accounts: 1) an insured and collateralized checking account with U.S. Bank, 2) the City & County of San Francisco Treasurer's investment pool, or 3) a trust account with Deutsche Bank National Trust.

The Investment & Earnings report identifies the balance in the City Treasurer's Pool, the checking account and the trust account at the end of each quarter, and a cumulative amount for the fiscal year to date. The interest earnings amount for the City Treasurer's Pool is recorded in FAMIS, the City's accounting system. For the quarter ending September 30, 2011, interest earnings from the City Treasury Pool were \$2,732. The annualized rate of return for the quarter was 0.26% with an ending cash balance in the pool of \$1,033,568.

Due to the low interest rate environment, the bank account is currently set up with an earnings credit rate, meaning that any earnings offset bank fees. Thus no interest earnings are reported in the investment report for the bank account. TJPA holds only the amount necessary for upcoming cash disbursements in the bank account.

The first deposit into the trust account was made after September 30, 2011. Information on the investment(s) held in the trust account will be included in the next quarterly Investment & Earnings Report.

4. Inception to September 30, 2011 Capital Expenditures and Revenues

The Inception to Date Expenditures and Revenues (unaudited) report provides an overview of the TJPA's capital expenditures and revenues from the beginning of the program through September 30, 2011. Summary level information is provided by major expenditure category and revenue source.

ENCLOSURES:

1. FY 2011-12 First Quarter Budget vs. Actual Financial Report (unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2011
3. Quarterly Investment & Earnings Report as of September 30, 2011
4. Inception to September 30, 2011 Capital Expenditures and Revenues (unaudited)

RECOMMENDATION:

Information only.

Transbay Joint Powers Authority
Fiscal Year 2011-12 Budget vs. Actual (Unaudited)
1st Quarter (July 2011 through September 2011)
As of November 18, 2011

	FY 2011-12 Budget Amendment 1 ³	FY 2011-12 1st Quarter Capital Outlay & Revenues	Remaining FY 2011-12 Balance	Percent Expended through 1st Quarter
EXPENDITURES¹				
Salaries & Benefits				
Salaries	2,023,000	449,221	1,573,779	22%
Benefits	681,000	125,724	555,276	18%
Subtotal, Salaries & Benefits	2,704,000	574,946	2,129,054	21%
Administration				
Rent	670,000	158,246	511,754	24%
Insurance	114,000	84,133	29,867	74%
Interest Expense	25,000	-	25,000	0%
Office Expenses	86,000	22,376	63,624	26%
Communications	87,000	5,774	81,226	7%
Information Technology Support	34,000	5,426	28,574	16%
Travel/Conferences	40,000	10,999	29,001	27%
Professional Development	24,000	3,424	20,576	14%
Board Expenses	10,000	404	9,596	4%
Meeting Expenses	7,000	1,799	5,201	26%
Miscellaneous	33,000	188	32,812	1%
Subtotal, Administration	1,130,000	292,770	837,231	26%
Professional & Specialized Services				
Engineering & Design Services				
Program Management / Program Controls	10,400,000	2,449,435	7,950,565	24%
Downtown Extension Preliminary Engineering & Design	2,533,000	133,979	2,399,021	5%
Downtown Extension Interagency Coordination	165,000	-	165,000	0%
Transit Center & Ramps Engineering & Design	14,750,000	5,918,371	8,831,629	40%
Transit Center Traffic Signals	295,000	-	295,000	0%
Transit Center Overhead Lines	680,000	7,331	672,669	1%
Transit Center Commissioning Agent	127,000	12,055	114,945	9%
Bus Ramps Design Reviews	200,000	1,209	198,791	1%
Temporary Terminal Engineering & Design	15,000	-	15,000	0%
Temporary Terminal Security Plan	50,000	-	50,000	0%
Bus Storage Engineering & Design	1,733,000	303,587	1,429,413	18%
Utility Relocation Engineering & Design	1,275,000	-	1,275,000	0%
Environmental Consultant / Building and Soil Haz. Mat.	433,000	23,365	409,635	5%
Archaeological Support Services	100,000	-	100,000	0%
Public Art Program	790,000	-	790,000	0%
Other Engineering & Design Services	1,677,000	-	1,677,000	0%
Permits and Fees				
Transit Center Building & Ramps Permits & Fees	4,328,000	2,390,557	1,937,443	55%
Bus Storage Permits & Fees	200,000	-	200,000	0%
Utility Relocation Permits & Fees	20,000	-	20,000	0%
DTX Permits & Fees	10,000	-	10,000	0%
Other Plan Checks / Permit Fees	228,000	-	228,000	0%
Real Estate Services				
Property Management / Boarding	25,000	2,250	22,750	9%
Appraisal Services	100,000	40,320	59,680	40%
ALTA / Design Surveys	322,000	25,016	296,984	8%
San Francisco Redevelopment Agency Administrative Fee	200,000	-	200,000	0%
Miscellaneous Real Estate Services	32,000	-	32,000	0%
Construction Management				
Construction Management for Utility Relocation	430,000	-	430,000	0%
Construction Management for Existing Terminal & Ramps Demo	60,000	27,150	32,850	45%
Construction Management for Transit Center	5,690,000	1,254,983	4,435,017	22%
Construction Management for Bus Storage	200,000	-	200,000	0%

	FY 2011-12 Budget Amendment 1 ³	FY 2011-12 1st Quarter Capital Outlay & Revenues	Remaining FY 2011-12 Balance	Percent Expended through 1st Quarter
Construction				
Transit Center & Ramps CM/GC Pre-Construction Services	2,846,000	757,676	2,088,324	27%
Existing Terminal & Ramps Demolition	1,500,000	787,618	712,382	53%
Transit Center Building & Ramps Construction	137,580,000	14,365,617	123,214,383	10%
Utility Relocation Construction	12,266,000	796,996	11,469,004	6%
Planning & Environmental Analysis				
Economic Analysis	350,000	36,963	313,038	11%
Environmental Review	2,000,000	-	2,000,000	0%
Other Planning Studies	118,000	-	118,000	0%
Administration				
Benefits Administration / Payroll Services	161,000	29,770	131,230	18%
Outside Legal Counsel	1,022,000	575,677	446,323	56%
City Attorney	311,000	-	311,000	0%
Financial & Grant Management	860,000	138,896	721,104	16%
New Revenue Consultation	25,000	-	25,000	-
Accounting Software & Installation	20,000	12,888	7,112	64%
Audit Services	50,000	-	50,000	0%
Credit Rating Analysis	35,000	-	35,000	0%
Loan Servicing Fees	12,000	-	12,000	0%
TIFIA Project Monitoring	75,000	-	75,000	0%
Trustee Account Services	2,000	500	1,500	25%
Community & Public Relations	180,000	45,000	135,000	25%
Legislative Services	466,000	110,022	355,978	24%
Disadvantaged & Small Business Program	100,000	-	100,000	0%
Other Intergovernmental Agreements	30,000	-	30,000	0%
Other Consulting Assistance	50,000	20,600	29,400	41%
Subtotal, Professional & Specialized Services	207,127,000	30,267,831	176,859,169	15%
Right of Way Acquisition				
Property Acquisition	2,502,000	-	2,502,000	0%
Title/Closing Costs	155,000	-	155,000	0%
Relocation Services Consultation	132,000	28,364	103,636	21%
Relocation Services Assistance	1,900,000	140,496	1,759,504	7%
Goodwill Loss Assistance	500,000	-	500,000	0%
Other Right of Way Expenses	134,000	410	133,590	0%
Subtotal, Right of Way Acquisition	5,323,000	169,269	5,153,731	3%
Other				
Caltrans Attorney Parking	100,000	15,120	128,880	15%
Subtotal, Other	100,000	15,120	128,880	15%
Reserves				
Fiscal Reserve	3,412,000	-	3,650,000	0%
Emergency Reserve	500,000	-	500,000	0%
Subtotal, Reserves	3,912,000	-	4,150,000	0%
TOTAL EXPENDITURES + OTHER	220,296,000	31,319,935	188,976,065	14%

	FY 2011-12 Budget Amendment 1 ³	FY 2011-12 1st Quarter Capital Outlay & Revenues	Remaining FY 2011-12 Balance	Percent Expended through 1st Quarter
CAPITAL REVENUE ²				
Committed Revenue				
Federal Bus Facility & PNRS Grants	7,507,000	1,749,085	5,757,915	23%
Federal High Speed and Intercity Passenger Rail Program	172,996,500	21,146,583	151,849,917	12%
Federal Rail Line Relocation Grant	420,400	389,544	30,856	93%
Federal Homeland Security Transit Security Grant	50,000	15,000	35,000	30%
Regional Measure 2	3,100,000	394,342	2,705,658	13%
AB1171 Bridge Tolls	13,870,100	4,197,802	9,672,298	30%
Proposition K San Francisco Sales Tax	11,297,000	2,128,231	9,168,769	19%
San Mateo County Sales Tax	769,000	-	769,000	0%
Regional Transportation Improvement Program	1,700,000	1,178,084	521,916	69%
Lease Income	102,000	20,558	81,442	20%
Interest Income	43,000	2,732	40,268	6%
Subtotal, Committed Revenue	211,855,000	31,221,963	180,633,037	15%
Planned Revenue				
AC Transit Capital Contribution	2,148,000	-	2,148,000	0%
Federal Alternatives Analysis Grant	1,240,000	-	1,240,000	0%
Subtotal, Planned Revenue	3,388,000	-	3,388,000	0%
Other				
Redevelopment Agency Loan Repayment	35,000	35,394	(394)	101%
Fund Balance from Previous Year	4,258,000	51,457	4,206,543	1%
Subtotal, Other	4,293,000	86,851	4,206,149	2%
New Revenues to be Identified				
Revenue Source to be Determined	760,000	-	760,000	0%
Subtotal, Revenue Source to be Determined	760,000	-	760,000	0%
TOTAL REVENUE + OTHER	220,296,000	31,308,814	188,987,186	17%

	FY 2011-12 Operating Budget	FY 2011-12 1st Quarter Operating Expenses	Remaining FY 2011-12 Balance	Percent Expended through 1st Quarter
OPERATING EXPENDITURES				
Professional & Specialized Services				
Temporary Terminal Facility Management & Security	1,080,000	225,589	854,411	21%
Police Services as Needed	50,000	-	50,000	0%
AC Transit Additional Operating Support	1,553,000	-	1,553,000	0%
Parking Control Officers	140,000	-	140,000	0%
Utilities	42,000	6,506	35,494	15%
Operating Contingency	287,000	-	287,000	-
<i>Subtotal, Professional & Specialized Services</i>	3,152,000	232,095	2,919,905	7%
Reserves				
Operating Reserve	1,087,000	73,392	1,013,608	7%
<i>Subtotal, Reserves</i>	1,087,000	73,392	1,013,608	7%
TOTAL OPERATING EXPENDITURES	4,239,000	305,487	3,933,513	7%
OPERATING REVENUE				
Regional Measure 2	3,951,000	232,095	3,718,905	6%
Rents, Interest, Other	288,000	73,392	214,608	0%
Advertising, Interest, Other	TBD			
<i>Subtotal, Operating Revenue</i>	4,239,000	305,487	3,933,513	7%
TOTAL OPERATING REVENUE	4,239,000	305,487	3,933,513	7%

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2: TJPA's capital grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

3: Capital Budget Amendment 1 dated September 20, 2011, approved by Executive Director under TJPA Budget Policy.

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2011-12 through First Quarter (July 2011 to September 2011)

as of November 21, 2011

Purpose	Vendor	Contract Value	Accrued to September 30, 2011	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2011	SBE Goal	SBE Actual through September 30, 2011
Professional & Specialized Services									
Program Management / Program Controls	URS Corporation	Authorized: \$7,100,000 Total: \$28,400,000	\$1,383,346	7/1/11-6/30/14	AB1171, FRA	30%	17%	0%	44%
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Authorized: \$4,200,000 Total: \$400,000	\$73,613	7/1/11-6/30/14	Prop K	30%	0%	0%	0%
Temporary Terminal A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$1,910,000 Total: \$2,130,000	\$1,897,510	4/19/07- Temp Terminal Warranty	RM-2, RTIP	16%	19%	0%	22%
Bus Storage A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$3,843,203 Total: \$4,450,378	\$2,650,114	5/17/07- Bus Storage Warranty	RM-2, RTIP	16%	11%	0%	23%
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	\$5,198,015	\$4,915,182	9/20/07- Utility Warranty	FRA, RM-2	20%	6%	0%	15%
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$99,893,679 Total: \$132,000,000	\$88,103,773	5/16/08- Transit Ctr Warranty	AC Transit, AB1171, FRA, Prop K, RM-2, RTIP	0%	0%	0%	8%
Commissioning Agent	Enovity Inc.	Authorized: \$166,104 Total: \$762,196	\$140,555	9/10/09-6/30/16	RM-2	5%	0%	90%	100%
Environmental Consulting Services During Construction	Langan Environmental (formerly Treadwell & Rollo)	Authorized: \$322,000 Total: \$650,000	\$193,749	6/10/10-6/9/17	Prop K	20%	51%	37%	59%
Artwork: Grand Hall Terrazzo Floor	Julie Chang Olcott	Authorized: \$62,500 Total: \$125,000	\$50,000	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: CityPark Bus Jet Fountain	Ned Kahn Studios	Authorized: \$50,000 Total: \$1,000,000	\$40,000	1/6/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Shaw Alley Pedestrian Passage	James Carpenter Design Associates	Authorized: \$62,100 Total: \$207,000	\$62,100	3/3/11-12/31/17	Prop K	n/a	n/a	n/a	n/a
Artwork: Landmark Entry Sculpture at Mission Square	Tim Hawkinson	Authorized: \$60,000 Total: \$200,000	\$30,000	3/5/11-12/31/17	Prop K	n/a	n/a	n/a	n/a

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2011-12 through First Quarter (July 2011 to September 2011)

as of November 21, 2011

Purpose	Vendor	Contract Value	Accrued to September 30, 2011	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2011	SBE Goal	SBE Actual through September 30, 2011
Lease Agreement	California Mission Street Partners	\$5,122,518	\$2,302,207	2003-2015	FTA, RTIP	n/a	n/a	n/a	n/a
Legal Counsel	Shute, Mihaly & Weinberger	Authorized: \$2,337,000 Total: \$4,000,000	\$1,820,243	9/9/10-9/8/15	FTA	0%	0%	75%	76%
Financial Consulting Services	Nancy Whelan Consulting	\$4,284,920	\$359,106	3/1/11-2/28/16	FTA	30%	73%	72%	73%
Federal Advocacy Services	Chambers, Conlon & Hartwell	Authorized: \$122,500 Total: \$403,500	\$121,275	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Holland + Knight	Authorized: \$122,500 Total: \$403,500	\$120,000	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Mercury Public Affairs	\$198,000	\$113,000	8/1/10-7/31/12	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Townsend Public Affairs	\$198,000	\$113,000	8/1/10-7/31/12	unrestricted revenue	n/a	0%	0%	0%
HR Payroll & Benefits Administration	Local Government Services	\$585,000 (Administration Fee only)	\$457,612	10/18/07-10/17/12	FTA	n/a	n/a	n/a	n/a
Integrated Financial System	New World Systems	\$299,515	\$264,593	2/21/08-5/31/13	FTA, RM-2	0%	0%	0%	0%
Public Relations & Community Outreach	Singer Associates	Authorized: \$180,000 Total: \$900,000	\$75,000	4/1/11-3/31/16	FTA	18%	0%	40%	100%
New Revenue/Fundraising Consultation	CCS	\$67,000	\$42,000	12/2/08-12/1/14	unrestricted revenue	0%	0%	0%	0%
Retail Counsel	Sheppard Mullin Hampton & Richter	\$200,000	\$33,891	9/09/10-12/30/14	FTA	0%	0%	0%	0%
Security & Preparedness Planning Services (Temp Terminal/Bus Storage)	Risk and Strategic Management	\$100,000	\$100,000	11/30/10-5/31/12	FEMA	0%	0%	0%	0%
Legal Counsel	Thompson Coburn	Authorized: \$200,000 Total: \$1,000,000	\$170,029	11/1/10-10/31/15	FTA	0%	0%	0%	0%
Independent Auditor	Vavrinek, Trine, Day & Co	Authorized: \$48,000 Total: \$144,000	\$18,000	3/10/11-3/9/14	FTA	0%	0%	10%	21%

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2011-12 through First Quarter (July 2011 to September 2011)

as of November 21, 2011

Purpose	Vendor	Contract Value	Accrued to September 30, 2011	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010
Real Estate Related Professional Services									
Real Estate Appraiser	Carneghi-Blum & Partners	Authorized: \$128,350 Total: \$425,000	\$104,736	4/17/09-4/16/12	FTA, Prop K, RTIP	0%	0%	0%	0%
Real Estate Review Appraiser	David Tattersall & Company	Authorized: \$8,275 Total: \$75,000	\$8,275	10/27/09-10/26/12	FTA, Prop K	0%	0%	0%	0%
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Authorized: \$23,000 Total: \$62,500	\$19,980	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Machinery & Equipment Appraisers	Neale & Sons Inc.	Authorized: \$29,000 Total: \$62,500	\$29,000	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Authorized: \$25,000 Total: \$75,000	\$24,611	2/20/08-2/19/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Donna Desmond Associates	Authorized: \$61,833 Total: \$75,000	\$34,333	2/20/08-2/19/13	FTA, RTIP	0%	100%	0%	100%
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$151,162	5/17/07-5/16/12	Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Economics Advisory Services	The Concord Group	\$100,000	\$0	8/1/11-7/31/16	FTA	0%	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$100,000	\$9,253	8/1/11-7/31/16	FTA	0%	0%	100%	100%
Real Estate Economics Advisory Services	Keyser Marston Associates	Authorized: \$100,000 Total: \$125,000	\$86,574	5/22/09-5/31/12	FTA	0%	0%	0%	0%
Planning & Advisory Services	Dean Macris	\$80,000	\$62,500	4/13/10-4/12/12	Prop K	n/a	0%	0%	0%
Relocation Assistance Services	Associated Right of Way Services	\$600,000	\$488,333	9/30/08-9/29/13	Prop K	0%	0%	0%	0%
On-Call Survey & Mapping Services	F3 & Associates	Authorized: \$107,093 Total: \$390,000	\$68,651	1/20/11-1/19/18	FRA, Prop K	15%	0%	100%	100%
On-Call Survey & Mapping Services	Luk and Associates	Authorized: \$28,700 Total: \$390,000	\$7,683	5/11/11-5/10/18	Prop K	15%	0%	100%	100%

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2011-12 through First Quarter (July 2011 to September 2011)

as of November 21, 2011

Purpose	Vendor	Contract Value	Accrued to September 30, 2011	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010	
Construction & Construction Management										
Construction Management Oversight	Turner Construction Company	Authorized: \$8,340,000 Total: \$38,500,000	\$3,460,360	6/10/10-6/9/16	AB1171, FRA, Prop K	35%	13%	35%	16%	
Construction Manager/General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$14,974,883 of \$17,149,421 Authorized Construction: \$260,526,329	\$67,012,503	3/12/09-Completion	AB1171, FRA, Prop K, RM-2	17%	<1%	17%	30%	
Demolition - Transbay Terminal & Ramps	Evans Brothers Inc.	\$15,602,608	\$13,877,394	1/4/10-9/4/11	AB1171, Prop K	8%	closeout in process; final % TBD	8%	closeout in process; final % TBD	
Construction Labor Compliance Software	Elation Systems	Authorized: \$41,000 Total: \$57,500	\$37,500	9/15/08-9/14/12	FRA, Prop K, RM-2	0%	0%	0%	0%	
Purpose	Vendor	Contract Value	Accrued to September 30, 2011	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010	
Operations										
Temporary Terminal Facility Manager	Linc Facility Services	\$5,542,812	\$1,141,165	8/20/09-12/31/14	RM-2	2%	0%	15%	7%	
Overall DBE and SBE Participation						DBE Goal	DBE Awarded	SBE Awarded*	DBE Payments	SBE Payments*
Life of the Transbay Transit Center Program, since 2004						n/a	17%	24%	\$33,897,329	\$69,117,237
Federal Fiscal Years October 2010 - September 2013						18.5%	15%	39%	\$3,830,872	\$27,213,148

*TJPA began tracking SBEs in FFY08-09

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2011-12 through First Quarter (July 2011 to September 2011)

as of November 21, 2011

Agency	Contract or Budget Value	Accrued to June 30, 2011	Term	Funding Source	Notes
Interagency Agreements					
SF City Attorney	\$2,417,134	\$2,395,430	on-going	FTA, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
SF Redevelopment Agency	Fee: \$1,051,332 Loan: \$2,500,000	Fee: \$1,026,145 Loan Balance: \$0	on-going	FTA, RM-2, unrestricted revenue	Services for Preparation and Sale of State Conveyed Parcels provided on a Request for Services basis; work previously authorized for Parcels B, C, C" and F. Final loan amount totaled \$283,155; quarterly repayments began 12/31/09 and loan was paid in full 8/29/11.
SF Department of Public Works	Authorized: \$825,200 Total: \$1,174,500	\$454,689	2/11/08-2/10/13	FRA, FTA, Prop K, RM-2, RTIP	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board via resolution 08-005.
SF Municipal Transportation Agency	Authorized: \$1,667,942 Total: \$3,280,677	\$1,288,857	8/20/09-12/31/14	Prop K, RM-2	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved at July 15, 2010 Board meeting.
SF Department of City Planning	\$875,000	\$860,288	9/20/07-10/31/11	Prop K	Scope is Planning Services associated with the Transbay Transit District Plan; agreement with option to extend for one year approved by TJPA Board in resolution 07-039; amendment to extend term and increase compensation approved at July 15, 2010 Board meeting.
SF Art Commission	Authorized: \$420,000 Total: \$950,000	\$347,454	7/1/08-6/30/15	FTA, RTIP	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.
SF Public Utilities Commission	Authorized: \$1,075,000 Total: \$1,150,000	\$321,790	9/14/09-9/13/14	Prop K, RM-2	Design Review Services related to hydraulics and wastewater via agreement approved by TJPA Board in resolution 09-010.

Contract	Issued	Due Date	Funding Source	SBE Goal	Notes
Invitations for Bids / Requests for Proposals					
Construction Mgmt for Bus Storage Facility RFP	11/10/11	1/11/12	RM-2	55%	Outreach event held November 8 and pre-proposal held December 2. Anticipated recommendation for award in February 2012.
Glazing Design-Build	6/3/11	1/11/12	AB1171	20%	Three firms submitted Design Proposals and qualified to submit bids.
Structural Concrete Substructure RFQ	11/9/11	1/12/12	FRA	TBD	Pre-qualification for below-grade structure bidding.

Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
Contracts Completed 7/1 - 9/30/11									
Real Estate Appraiser	Clifford Associates	\$350,000	\$216,829	7/20/06-7/19/11	FTA, Prop K, RM-2, RTIP	0%	0%	0%	0%
Information Technology Management and Support	Bluecrane	\$133,341	\$95,941	8/1/04-7/31/11	FTA, RTIP, San Mateo Sales Tax	0%	64%	n/a	100%
As Needed Environmental Consulting Services	ERM	\$1,147,000	\$313,645	8/23/07-8/22/11	Prop K, RTIP	14%	0%	0%	0%

Transbay Joint Powers Authority Investment & Interest Earnings Report

For 1st Quarter Ending September 30, 2011

Investment	Balance as of 9/30/2011	Interest Earnings	Approximate Rate of Return	Annualized Rate of Return
City Treasury Pool	\$ 1,033,568	\$ 2,732	0.26%	1.06%
Bank Account*	\$ 2,670,604	\$ -	n/a	n/a
Trustee Account	-	\$ -		
Total	\$ 3,704,172	\$ 2,732		

Cumulative For Fiscal Year Ending June 30, 2012

Investment	Average Balance FY11-12	Interest Earnings	Approximate Annual Rate of Return
City Treasury Pool	\$ 1,033,568	\$ 2,732	1.06%
Bank Account*	\$ 2,670,604	\$ -	n/a
Trustee Account	\$ -	\$ -	
Total	\$ 3,704,172	\$ 2,732	

*The amounts placed on deposit with the bank were covered by federal depository insurance or were collateralized by the pledging financial institution as required by Section 53652 of the California Government Code.

**Transbay Joint Powers Authority
Inception to Date Capital Expenditures and Revenues
As of September 30, 2011 (Unaudited)**

EXPENDITURES	INCEPTION TO DATE
<i>Salary and Benefits</i>	9,791,895
<i>Administration</i>	4,381,809
<i>Professional & Specialized Services</i>	
Transit Center/Bus Ramps Preliminary Engineering & Design	97,085,719
Temporary Terminal Preliminary Engineering & Design	3,250,384
Bus Storage Preliminary Engineering & Design	2,704,933
Utility Relocation Engineering & Design	5,189,163
Downtown Extension Preliminary Engineering & Design	25,124,446
Program Management/Program Control	58,248,196
Other Professional Services	27,082,978
<i>Subtotal, Professional & Specialized</i>	218,685,819
<i>Construction & Construction Management</i>	
Temporary Terminal Construction Management	1,749,247
Temporary Terminal Construction	20,729,570
Transit Center/Utilities CM/GC Pre-construction Services and Bonds	20,010,605
Existing Terminal and Ramps Demolition	14,013,517
Construction Management and Construction Management Oversight	3,874,223
Transit Center Building Construction	40,579,751
Utility Relocation Construction	12,276,990
<i>Subtotal, Construction & Construction Management</i>	113,233,902
<i>Right of Way</i>	
Property Acquisition	113,549,810
Professional Services (closing costs, relocation, etc.)	4,083,800
<i>Subtotal, Right of Way</i>	117,633,610
<i>Other (Caltrans Attorney Parking)</i>	101,938
TOTAL EXPENDITURES	463,828,972
REVENUES	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	23,373,946
Federal Projects of National & Regional Significance	609,639
Federal High Speed Intercity Passenger Rail	100,909,978
Federal Homeland Security Transit Security Grant	100,000
Federal Rail Relocation Grants	2,619,166
Regional Measure 1	6,600,000
Regional Measure 2	141,560,204
AB 1171	36,093,746
Proposition K SF Sales Tax	108,328,805
Regional Transportation Improvement Program (RTIP)	8,735,284
San Mateo Sales Tax	22,573,041
SF Redevelopment Agency In-Kind	798,689
Lease Income, Other Unrestricted	2,731,118
TOTAL REVENUES	463,828,972

Notes: Inception to Date capital outlay is based on payments made and reported in the LOGOS Financial System as of September 30, 2011 as well as accrued outstanding September 2011 invoices.

Revenues are based on revenue received and recorded in the LOGOS Financial System as of September 30, 2011 as well as accrued outstanding September 2011 receivables.

Report is for capital expenditures/revenues only; does not include operations.