

Memorandum

To: TJPA Board of Directors
From: Maria Ayerdi-Kaplan, Executive Director
Date: For the Board Meeting of December 9, 2010
Re: Transbay Joint Powers Authority Quarterly Financial Reports

The following financial reports have been prepared as information items for the TJPA Board:

1. FY 2010-2011 First Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2010
3. Quarterly Investment Report as of September 30, 2010
4. Inception to September 30, 2010 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

1. FY 2010-11 First Quarter Budget vs. Actual Financial Report

The TJPA Board approved the FY 2010-11 TJPA budget in June 2010. Two amendments to the capital budget have been approved: one by the Executive Director at September 20, and one by the TJPA Board on October 14. The overall budget did not increase through either budget amendment; amounts were just moved between line items.

Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the first quarter of fiscal year 2010-11 (July 1, 2010 through September 30, 2010) unaudited for both the capital and operating budgets. The FY 2010-11 first quarter budget versus actual financial report is Attachment 1. This report indicates that expenditures to date are within the available budget.

2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2010

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in attachment 2, expenditures as of September 30, 2010 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. The TJPA Annual Anticipated DBE Participation Level (AADPL) for the Federal Fiscal Year just finished September 30, 2010, which was calculated based on contracts planned to be awarded during that time period, was 25.4%. The actual DBE commitment for contracts awarded was 25.3% and the actual SBE commitment was 36%. Actual payments to DBEs and SBEs for Federal Fiscal Year 2009-10 were \$8,690,013.

3. Quarterly Investment Report as of September 30, 2010

The primary objectives, in priority order, for the TJPA's investment activities are safety, liquidity, and return on investment. The TJPA's permitted investment instruments are governed by California Government Code and the TJPA Board-approved Investment Policy. All cash is currently held in one of two accounts: 1) an insured and collateralized checking account with U.S. Bank, and 2) the City & County of San Francisco investment pool (City Treasury Pool).

The Investment & Earnings report identifies the balance in the City Treasury Pool and the bank account at the end of each quarter, and a cumulative amount for the fiscal year to date. The interest earnings amount for the City Treasury Pool is recorded in FAMIS, the City's accounting system. For the quarter ending June 30, 2010, interest earnings from the City Treasury Pool were \$10,250. The annualized rate of return for the quarter was 1% with an ending cash balance in the pool of \$3,657,925.

Due to the low interest rate environment, the bank account is currently set up with an earnings credit rate, meaning that any earnings offset bank fees. Thus no interest earnings are reported in the investment report for the bank account. TJPA holds only the amount necessary for upcoming cash disbursements in the bank account.

4. Inception to September 30, 2010 Capital Expenditures and Revenues

The Inception to Date Expenditures and Revenues (unaudited) report provides an overview of the TJPA's capital expenditures and revenues from the beginning of the program through September 30, 2010. Summary level information is provided by major expenditure category and revenue source.

ENCLOSURES:

1. FY 2010-11 First Quarter Budget vs. Actual Financial Report (unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2010
3. Quarterly Investment Report as of September 30, 2010
4. Inception to September 30, 2010 Capital Expenditures and Revenues (unaudited)

RECOMMENDATION:

Information only.

Transbay Joint Powers Authority
Fiscal Year 2010-11 Budget vs. Actual (Unaudited)
1st Quarter (July 2010 through June 2011)
As of November 19, 2010

	FY 2010-11 Budget Amendment 2*	FY 2010-11 1st Quarter Capital Outlay & Revenues	Remaining FY 2010-11 Balance	Percent Expended through 1st Quarter
EXPENDITURES ¹				
Salaries & Benefits				
<i>Salaries</i>	1,858,000	385,497	1,472,503	21%
<i>Benefits</i>	627,000	113,313	513,687	18%
Subtotal, Salaries & Benefits	2,485,000	498,810	1,986,190	20%
Administration				
<i>Rent</i>	650,000	153,922	496,078	24%
<i>Insurance</i>	109,000	83,495	25,505	77%
<i>Loan Origination Fees</i>	300,000	-	300,000	0%
<i>Interest Expense</i>	684,000	-	684,000	0%
<i>Office Expenses</i>	74,000	11,953	62,047	16%
<i>Communications</i>	206,000	64,766	141,234	31%
<i>Information Technology Support</i>	21,000	-	21,000	0%
<i>Travel/Conferences</i>	40,000	6,030	33,970	15%
<i>Professional Development</i>	24,000	3,460	20,540	14%
<i>Board Expenses</i>	10,000	1,037	8,963	10%
<i>Meeting Expenses</i>	146,608	131,766	14,842	90%
<i>Miscellaneous</i>	33,000	1,093	31,907	3%
Subtotal, Administration	2,297,608	457,520	1,840,088	20%
Professional & Specialized Services				
<i>Engineering & Design Services</i>				
<i>Program Management / Program Controls</i>	11,400,000	2,369,571	9,030,429	21%
<i>Downtown Extension Preliminary Engineering & Design</i>	1,210,000	282,740	927,260	23%
<i>Downtown Extension Interagency Coordination</i>	250,000	-	250,000	0%
<i>Transit Center & Ramps Engineering & Design</i>	57,000,000	6,970,927	50,029,073	12%
<i>Transit Center Retail Consulting Services</i>	200,000	-	200,000	0%
<i>Transit Center Traffic Signals</i>	256,000	-	256,000	0%
<i>Transit Center Overhead Lines</i>	480,000	-	480,000	0%
<i>Transit Center Commissioning Agent</i>	240,000	20,795	219,205	9%
<i>Bus Ramps Design Reviews</i>	400,000	-	400,000	0%
<i>Temporary Terminal Engineering & Design</i>	178,000	-	178,000	0%
<i>Temporary Terminal Roadways</i>	27,000	-	27,000	0%
<i>Temporary Terminal Security Plan</i>	25,000	-	25,000	0%
<i>Bus Storage Engineering & Design</i>	1,500,000	61,877	1,438,123	4%
<i>Utility Relocation Engineering & Design</i>	1,823,000	212,510	1,610,490	12%
<i>Environmental Consultant / Building and Soil Haz. Mat.</i>	750,000	20,823	729,177	3%
<i>Archaeological Support Services</i>	50,000	-	50,000	0%
<i>Public Art Program</i>	700,000	-	700,000	0%
<i>Other Engineering & Design Services</i>	301,000	-	301,000	0%
<i>Permits and Fees</i>				
<i>Transbay Terminal & Ramps Demolition Permits & Fees</i>	80,000	-	80,000	0%
<i>Transit Center Building & Ramps Permits & Fees</i>	6,101,000	-	6,101,000	0%
<i>Bus Storage Permits & Fees</i>	200,000	-	200,000	0%
<i>Utility Relocation Permits & Fees</i>	500,000	-	500,000	0%
<i>DTX Permits & Fees</i>	2,000	-	2,000	0%
<i>Other Plan Checks / Permit Fees</i>	100,000	-	100,000	0%

Notes

Transbay Joint Powers Authority
Fiscal Year 2010-11 Budget vs. Actual (Unaudited)
1st Quarter (July 2010 through June 2011)
As of November 19, 2010

	FY 2010-11 Budget Amendment 2*	FY 2010-11 1st Quarter Capital Outlay & Revenues	Remaining FY 2010-11 Balance	Percent Expended through 1st Quarter
Real Estate Services				
Property Management / Boarding	54,000	7,000	47,000	13%
Appraisal Services	300,000	22,300	277,700	7%
ALTA / Design Surveys	260,000	-	260,000	0%
San Francisco Redevelopment Agency Administrative Fee	200,000	-	200,000	0%
Miscellaneous Real Estate Services	50,000	-	50,000	0%
Construction Management				
Construction Management for Temporary Terminal	172,392	143,421	28,971	83%
Construction Management for Utility Relocation	464,000	-	464,000	0%
Construction Management for Existing Terminal & Ramps Demo	494,000	5,000	489,000	1%
Construction Management for Transit Center	6,909,000	335,219	6,573,781	5%
Construction				
Transit Center & Ramps CM/GC Pre-Construction Services	12,247,000	1,020,884	11,226,116	8%
Existing Terminal & Ramps Demolition	14,628,000	2,131,340	12,496,660	15%
Transit Center Building & Ramps Construction	140,225,000	-	140,225,000	0%
Temporary Terminal Construction	2,500,000	424,720	2,075,280	17%
Temporary Terminal Systems & Transit Testing	100,000	-	100,000	0%
Utility Relocation Construction	57,000,000	-	57,000,000	0%
Labor Standards Enforcement	100,000	-	100,000	0%
Planning & Environmental Analysis				
Transbay Transit District Plan	145,000	82,058	62,942	57%
Economic Analysis	250,000	21,416	228,584	9%
Environmental Review	-	-	-	
Other Planning Studies	4,000	-	4,000	0%
Administration				
Benefits Administration / Payroll Services	156,000	33,786	122,214	22%
Outside Legal Counsel	929,000	145,008	783,992	16%
City Attorney	282,000	-	282,000	0%
Financial & Grant Management	880,000	168,649	711,351	19%
New Revenue Consultation	25,000	-	25,000	0%
Accounting Software & Installation	18,000	13,819	4,181	77%
Audit Services	60,000	23,099	36,902	38%
Credit Rating Analysis	50,000	-	50,000	0%
Loan Servicing Fees	12,000	-	12,000	0%
TIFIA Project Monitoring	75,000	-	75,000	0%
Trustee Account Services	2,000	500	1,500	25%
Community & Public Relations	273,000	246,720	26,280	90%
Legislative Services	500,000	110,653	389,347	22%
Disadvantaged & Small Business Program	100,000	71,126	28,874	71%
Other Intergovernmental Agreements	50,000	-	50,000	0%
Other Consulting Assistance	50,000	7,100	42,900	14%
Subtotal, Professional & Specialized Services	323,337,392	14,953,060	308,384,332	5%

Notes

Transbay Joint Powers Authority
Fiscal Year 2010-11 Budget vs. Actual (Unaudited)
1st Quarter (July 2010 through June 2011)
 As of November 19, 2010

	FY 2010-11 Budget Amendment 2*	FY 2010-11 1st Quarter Capital Outlay & Revenues	Remaining FY 2010-11 Balance	Percent Expended through 1st Quarter
Right of Way Acquisition				
Property Acquisition	25,756,000	-	25,756,000	0%
Title/Closing Costs	250,000	66,477	183,523	27%
Relocation Services Consultation	240,000	33,949	206,051	14%
Relocation Services Assistance	3,500,000	61,572	3,438,428	2%
Goodwill Loss Assistance	740,000	-	740,000	0%
Other Right of Way Expenses	48,000	-	48,000	0%
Subtotal, Right of Way Acquisition	30,534,000	161,998	30,372,002	1%
Other				
Caltrans Attorney Parking	144,000	11,218	132,782	8%
Subtotal, Other	144,000	11,218	132,782	0
Reserves				
Fiscal Reserve	3,650,000	-	3,650,000	0%
Emergency Reserve	500,000	-	500,000	0%
Subtotal, Reserves	4,150,000	-	4,150,000	0%
TOTAL EXPENDITURES + OTHER	362,948,000	16,082,606	346,865,394	4%
REVENUE ²				
Committed Revenue				
Federal Bus Facility & PNRS Grants	7,943,000	1,713,792	6,229,208	22%
Federal High Speed and Intercity Passenger Rail Program	261,055,000	1,765,584	259,289,416	1%
Federal Homeland Security Transit Security Grant	25,000	-	25,000	0%
Regional Measure 1	-	-	-	
Regional Measure 2	16,890,000	1,997,162	14,892,838	12%
AB1171 Bridge Tolls	11,326,000	4,603,811	6,722,189	41%
Proposition K San Francisco Sales Tax	42,592,000	5,857,528	36,734,472	14%
San Mateo County Sales Tax	12,346,000	33,976	12,312,024	0%
Lease Income	60,000	56,958	3,042	95%
Interest Income	20,000	10,250	9,750	51%
Subtotal, Committed Revenue	352,257,000	16,039,061	336,217,939	5%
Planned Revenue				
Federal Rail Relocation Grants	2,650,000		2,650,000	
Regional Transportation Improvement Program (STIP)	2,762,000	-	2,762,000	0%
Other Planned Revenue (RM-1, AB1171, San Mateo Sales Tax, AC Transit Capital Contribution, Federal grants, Land Sales proceeds, other)	684,000	-	684,000	0%
Subtotal, Planned Revenue	6,096,000	-	6,096,000	0%
Other				
Redevelopment Agency Loan Repayment	142,000	35,394	106,606	25%
Fund Balance from Previous Year	4,453,000	8,151	4,444,849	0%
Subtotal, Other	4,595,000	43,545	4,551,455	1%
TOTAL REVENUE + OTHER	362,948,000	16,082,606	346,865,394	4%

Notes

Transbay Joint Powers Authority
Fiscal Year 2010-11 Budget vs. Actual (Unaudited)
1st Quarter (July 2010 through June 2011)
 As of November 19, 2010

	FY 2010-11 Budget Amendment 2*	FY 2010-11 1st Quarter Capital Outlay & Revenues	Remaining FY 2010-11 Balance	Percent Expended through 1st Quarter	
OPERATING EXPENDITURES					
Professional & Specialized Services					
Temporary Terminal Facility Management & Security	1,110,000	127,918	982,082	12%	
Police Services as Needed	50,000	-	50,000	0%	
AC Transit Additional Operating Support	1,500,000	-	1,500,000	0%	
Parking Control Officers	365,000	-	365,000	0%	
Utilities	24,000	-	24,000	0%	
Operating Contingency	305,000	-	305,000	0%	
<i>Subtotal, Professional & Specialized Services</i>	3,354,000	127,918	3,226,082	4%	
Reserves					
Operating Reserves	463,000	-	463,000	0%	
<i>Subtotal, Reserves</i>	463,000	-	463,000	0%	
TOTAL OPERATING EXPENDITURES	3,817,000	127,918	3,689,082	3%	
OPERATING REVENUE					
Regional Measure 2	3,817,000	126,203	3,690,797	3%	
Rents, Interest, Other	TBD	1,715	(1,715)	0%	
<i>Subtotal, Operating Revenue</i>	3,817,000	127,918	3,689,082	3%	
TOTAL OPERATING REVENUE	3,817,000	127,918	3,689,082	3%	

Notes

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.
 2: TJPA's grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.
 *Budget Amendment 2 approved by TJPA Board on October 14, 2010, as part of agenda item no. 10, increasing budget for Downtown Extension Preliminary Engineering & Design by \$460,000 to \$1,210,000.

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2010-11 through First Quarter (July 2010 to September 2010)

Attachment 2

as of November 20, 2010

Purpose	Vendor	Contract Value	Accrued to September 30, 2010	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010
Professional & Specialized Services									
Program Management / Program Controls	URS Corporation	Authorized: \$30,556,558 Total: \$36,670,000	\$24,894,432	3/20/08-3/19/11	AB1171, AC Transit, FTA grant, Prop K, RM-1, RM-2, San Mateo Sales Tax, Unrestricted	30%	26%	0%	33%
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Authorized: \$10,950,000 Total: \$11,910,000	\$10,547,577	7/1/08-6/30/11	Prop K, San Mateo Sales Tax	30%	23%	0%	23%
Temporary Terminal A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$1,910,000 Total: \$2,130,000	\$1,871,000	4/19/07- Temp Terminal Warranty	RM-2, RTIP	16%	19%	0%	22%
Bus Storage A&E Services	Jacobs (formerly Carter & Burgess)	Authorized: \$2,650,378 Total: \$4,450,378	\$1,696,498	5/17/07- Bus Storage Warranty	RM-2, RTIP	16%	2%	0%	12%
As Needed Environmental Consulting Services	ERM	Authorized: \$286,645 Total: \$1,147,000	\$286,645	8/23/07-8/22/11	Prop K, RTIP	14%	0%	0%	0%
As Needed Environmental Consulting Services	Geomatrix	Authorized: \$94,370 Total: \$500,000	\$94,370	11/16/07-11/15/10	Prop K	14%	0%	0%	0%
Utility Relocation A&E Services	AECOM (formerly Metcalf & Eddy)	Authorized: \$4,337,909 Total: \$5,198,015	\$4,204,458	9/20/07- Utility Warranty	FRA, RM-2	20%	7%	0%	16%
Transit Center Bldg & Related Structures A&E Services	Pelli Clarke Pelli Architects	Authorized: \$74,058,786 Total: \$132,000,000	\$63,041,327	5/16/08- Transit Ctr Warranty	AC Transit, AB1171, FRA, Prop K, RM-2	0%	0%	0%	8%
Commissioning Agent	Enovity Inc.	Authorized: \$166,104 Total: \$762,196	\$78,546	9/10/09-6/30/16	RM-2	5%	0%	90%	100%
Environmental Consulting Services During Construction	Treadwell & Rollo	Authorized: \$322,000 Total: \$650,000	\$21,195	6/10/10-6/9/17	Prop K	20%	53%	37%	62%

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2010-11 through First Quarter (July 2010 to September 2010)

as of November 20, 2010

Purpose	Vendor	Contract Value	Accrued to September 30, 2010	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010
Professional & Specialized Services - Administration									
Lease Agreement	California Mission Street Partners	\$5,122,518	\$1,564,221	2003-2015	FTA grant, RTIP	n/a	n/a	n/a	n/a
Information Technology Management and Support	Bluecrane	\$133,341	\$95,177	8/1/04-7/31/10	FTA grant, RTIP, San Mateo Sales Tax	0%	65%	n/a	100%
Legal Counsel	Shute, Mihaly & Weinberger	Authorized: \$325,000 Total: \$4,000,000	\$52,427	9/9/10-9/8/15	FTA grant	0%	0%	100%	100%
Financial Consulting Services	Nancy Whelan Consulting	\$3,743,000	\$3,401,425	10/26/06-10/25/11	FTA grant, RTIP, San Mateo Sales Tax	0%	55%	0%	55%
Auditor	Macias, Gini, & O'Connell	\$270,000	\$242,415	1/18/07-1/17/11	FTA grant, RTIP, San Mateo Sales Tax	0%	0%	0%	0%
Federal Advocacy Services	Chambers, Conlon & Hartwell	\$403,500	\$0	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Holland + Knight	\$403,500	\$0	10/1/10-9/30/13	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Mercury Public Affairs	\$96,000	\$16,000	8/1/10-7/31/11	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Townsend Public Affairs	\$96,000	\$16,000	8/1/10-7/31/11	unrestricted revenue	n/a	0%	0%	0%
HR Payroll & Benefits Administration	Local Government Services	\$500,000 (Administration Fee only)	\$350,142	10/18/07-10/17/12	FTA grant	n/a	n/a	n/a	n/a
Integrated Financial System	New World Systems	\$296,015	\$243,564	2/21/08-5/31/13	FTA grant, RM-2	0%	0%	0%	0%
Public Relations & Community Outreach	Singer Associates	\$990,000	\$797,852	3/20/08-3/19/13	FTA grant, RTIP	15%	16%	20%	100%
DBE/SBE Outreach	Business Development Inc.	\$500,000	\$100,000	4/23/10-4/22/15	FTA grant	16%	100%	100%	100%
New Revenue/ Fundraising Consultation	CCS	\$67,000	\$42,000	12/2/08-12/1/14	unrestricted revenue	0%	0%	0%	0%
Retail Counsel	Sheppard Mullin Hampton & Richter	\$200,000	\$33,891	9/10/09-9/9/11	FTA grant, RTIP	0%	0%	0%	0%

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2010-11 through First Quarter (July 2010 to September 2010)

as of November 20, 2010

Purpose	Vendor	Contract Value	Accrued to September 30, 2010	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010
Real Estate Related Professional Services									
Real Estate Appraiser	Clifford Associates	Authorized: \$209,029 Total: \$350,000	\$209,029	7/20/06-7/19/11	FTA grant, Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Appraiser	Carneghi-Blum & Partners	Authorized: \$78,350 Total: \$425,000	\$60,985	4/17/09-4/16/12	FTA grant, Prop K, RTIP	0%	0%	0%	0%
Real Estate Review Appraiser	David Tattersall & Company	Authorized: \$7,275 Total: \$75,000	\$7,275	10/27/09-10/26/12	Prop K	0%	0%	0%	0%
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Authorized: \$19,980 Total: \$62,500	\$19,980	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Machinery & Equipment Appraisers	Neale & Sons Inc.	Authorized: \$29,000 Total: \$62,500	\$29,000	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Authorized: \$17,121 Total: \$75,000	\$17,121	2/20/08-2/19/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Donna Desmond Associates	Authorized: \$37,583 Total: \$75,000	\$33,833	2/20/08-2/19/13	FTA grant, RTIP	0%	0%	0%	100%
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$140,881	5/17/07-5/16/12	Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$100,000	\$72,438	5/28/09-5/27/11	FTA grant, Prop K	0%	0%	0%	100%
Real Estate Economics Advisory Services	Keyser Marston Associates	Authorized: \$100,000 Total: \$125,000	\$5,925	5/22/09-5/21/11	FTA grant	0%	0%	0%	0%
Real Estate Economics Advisory Services	The Concord Group	\$100,000	\$78,524	5/29/09-5/28/11	FTA grant	0%	0%	0%	0%
Real Estate Economics Advisory Services	Jones Lang LaSalle, et. al.	Authorized: \$68,000 Total: \$100,000	\$55,591	6/23/09-6/22/11	FTA grant	0%	0%	0%	0%
Planning & Advisory Services	Dean Macris	\$80,000	\$18,100	4/13/10-4/12/11	Prop K	n/a	0%	0%	0%
Relocation Assistance Services	Associated Right of Way Services	Authorized: \$490,638 Total: \$500,000	\$343,156	9/30/08-9/29/11	Prop K	0%	0%	0%	0%
On-Call Survey & Mapping Services	F3 & Associates	Total: \$390,000 (not yet NTP'd)	\$0	6/10/10-6/9/17	Prop K	15%		100%	
On-Call Survey & Mapping Services	Luk and Associates	Total: \$390,000 (not yet NTP'd)	\$0	6/10/10-6/9/17	Prop K	15%		100%	

Report to Transbay Joint Powers Authority Board
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as of November 20, 2010

Purpose	Vendor	Contract Value	Accrued to September 30, 2010	Term	Funding Source	DBE Advisory	DBE Actual through September 30, 2010	SBE Goal	SBE Actual through September 30, 2010	
Construction & Construction Management										
Construction Mgmt - Temporary Terminal	Townsend Management, Inc.	\$1,371,200	\$1,224,387	4/17/08-4/16/11	RM-2	36%	95%	0%	95%	
Construction - Temporary Terminal	McGuire and Hester	\$20,142,977	\$19,091,638	11/1/08-Completion	RM-2	20%	29%	0%	29%	
Construction Management Oversight	Turner Construction Company	Authorized: \$2,700,000 Total: \$38,500,000	\$335,219	6/10/10-6/9/16	AB1171, FRA, Prop K	35%	1%	35%	1%	
Construction Manager/ General Contractor - TTC & Ramps	Webcor/Obayashi	Authorized Pre-Construction: \$11,156,575 of \$17,149,421 Authorized Construction: \$5,907,481	\$10,646,217	3/12/09-Completion	FRA, Prop K, RM-2	17%	0%	17%	1%	
Demolition - Transbay Terminal & Ramps	Evans Brothers Inc.	\$10,925,900	\$2,302,148	1/4/10-5/31/11	Prop K, AB1171	8%	12%	8%	12%	
Construction Labor Compliance Software	Elation Systems	Authorized: \$26,000 Total: \$57,500	\$26,000	9/15/08-9/14/12	Prop K, RM-2	0%	0%	0%	0%	
Operations										
Temporary Terminal Facility Manager	Linc Facility Services	\$5,542,812	\$201,557	8/20/09-12/31/14	RM-2	2%	0%	15%	9%	
Overall DBE and SBE Participation						DBE Goal	DBE Awarded	SBE Awarded*	DBE Payments	SBE Payments*
Life of the Transbay Transit Center Program, since 2004						n/a	20%	23%	\$28,319,220	\$38,397,322
Federal Fiscal Year (October 2009 - September 2010)						25.4%	25%	36%	\$5,112,586	\$8,690,013
*TJPA began tracking SBEs in FFY08-09										

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2010-11 through First Quarter (July 2010 to September 2010)

as of November 20, 2010

Agency	Contract Value	Accrued to September 30, 2010	Term	Funding Source	Notes
Interagency Agreements					
SF City Attorney	\$2,417,234	\$2,205,329	on-going	FTA grant, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
SF Redevelopment Agency	Fee: \$968,849 Loan: \$2,500,000	Fee: \$875,462 Loan Balance: \$141,578	on-going	FTA grant, RM-2, unrestricted revenue	Services for Preparation and Sale of State Conveyed Parcels provided on a Request for Services basis; work previously authorized for Parcels B, C, C* and F. Final loan amount totaled \$283,155; quarterly repayments began 12/31/09.
SF Department of Public Works Bureau of Street Use & Mapping	Authorized: \$398,961 Total: \$410,000	\$370,745	4/6/07-4/5/11	Prop K, RTIP	Survey & Mapping Services provided via agreement approved by TJPA Board in resolution 07-008.
SF Department of Public Works	Authorized: \$327,565 Total: \$599,500	\$168,562	2/11/08-2/10/13	RM-2, FTA, SFCTA, RTIP, FRA	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board via resolution 08-005.
SF Municipal Transportation Agency	Authorized: \$1,228,000 Total: \$2,282,979	\$573,009	8/20/09-12/31/14	Prop K, RM-2	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018; amendment to include Temp Terminal traffic control services by PCOs approved at July 15, 2010 Board meeting.
SF Department of City Planning	\$875,000	\$767,553	9/20/07-9/19/10	Prop K	Scope is Planning Services associated with the Transbay Transit District Plan; agreement with option to extend for one year approved by TJPA Board in resolution 07-039; amendment to extend term and increase compensation approved at July 15, 2010 Board meeting.
SF Art Commission	Authorized: \$265,413 Total: \$950,000	\$213,179	7/1/08-6/30/15	FTA grant, RTIP	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.
SF Public Utilities Commission	Authorized: \$150,000 Total: \$225,000	\$0	9/14/09-9/13/14	Prop K, RM-2	Design Review Services related to hydraulics and wastewater via agreement approved by TJPA Board in resolution 09-010.

Report to Transbay Joint Powers Authority Board
Status of Purchase Orders and Contracts greater than \$25,000
Fiscal Year 2010-11 through First Quarter (July 2010 to September 2010)

as of November 20, 2010

Contract	Issued	Due Date	Funding Source	SBE Goal	Notes
Invitations for Bids / Requests for Proposals					
Buttress/Shoring/Excavation (BSE)	7/30/10	11/9/10	ARRA	24%	Trade Subcontract to be recommended to TJPA Board for approval at December Board meeting.
Security and Preparedness Planning Services	8/13/10	9/8/10	FEMA	0%	Contract under negotiation and to be awarded in late November.
Site Utility Relocation (Howard Street Water)	10/13/10	11/12/10	ARRA	100%	Trade Subcontract to be recommended to TJPA Board for approval at December Board meeting.
Site Utility Relocation (Natoma Water/Sewer)	10/13/10	11/12/10	ARRA	100%	Trade Subcontract to be recommended to TJPA Board for approval at December Board meeting.
Site Utility Relocation (Natoma/Fremont Water/Sewer)	11/9/10	12/8/10	ARRA	100%	Trade Subcontract to be recommended to TJPA Board for approval at January Board meeting.
Site Utility Relocation (Mission Street AWSS)	11/9/10	12/8/10	ARRA	100%	Trade Subcontract to be recommended to TJPA Board for approval at January Board meeting.
Public Relations & Community Outreach	11/8/10	12/8/10	FTA	40%	Contract to be recommended to TJPA Board for approval at January Board meeting.
Site Logistics Survey & Control	11/18/10	1/7/11	ARRA	TBD	Trade Subcontract to be recommended to TJPA Board for approval at February Board meeting.
Glazing Design-Build	11/12/10	12/10/10	TBD	TBD	Five firms qualified via RFQ; Request for Design Proposals issued; final step will be competitive cost proposals after validation of proposed designs.
Site Logistics Temporary Power	10/15/10	11/12/10	ARRA	100%	Qualifications under review; Invitation for Bids expected to be issued before end of year.

Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	Notes				
Contracts Completed 7/1/10 - 9/30/10										
Legal Counsel	Mosccone, Emblidge & Quadra with Shute, Mihaly & Weinberger; Hanson Bridgett; and Ruiz & Sperow	\$3,300,000	\$3,296,239	4/1/05-9/8/10	FTA grant, RM-2, RTIP, San Mateo Sales Tax	5%	0%	0%	0%	97%
Federal Advocacy Services	Chambers, Conlon & Hartwell	\$259,500	\$259,304	10/1/07-9/30/10	unrestricted revenue	n/a	0%	0%	0%	0%
Federal Advocacy Services	Holland + Knight	\$505,500	\$500,500	10/1/07-9/30/10	unrestricted revenue	n/a	0%	0%	0%	0%
State Advocacy Services	Brownstein Hyatt Farber Schreck	\$150,000	\$150,000	8/1/09-7/31/10	unrestricted revenue	n/a	0%	0%	0%	0%

Transbay Joint Powers Authority Investment & Interest Earnings Report

For 1st Quarter Ending September 30, 2010

<u>Investment</u>	<u>Balance as of 9/30/2010</u>	<u>Interest Earnings</u>	<u>Approximate Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 3,657,925	\$ 10,250	0.28%	1.12%
Bank Account	\$ 702,916	-	n/a	n/a
Total	<u><u>\$ 4,360,841</u></u>	<u><u>\$ 10,250</u></u>	0.24%	0.94%

Cumulative For Fiscal Year Ending June 30, 2011

<u>Investment</u>	<u>Average Balance FY09-10</u>	<u>Interest Earnings</u>	<u>Approximate Annual Rate of Return</u>
City Treasury Pool	\$ 3,657,925	\$ 10,250	1.12%
Bank Accounts	\$ 702,916	-	n/a
Total	<u><u>\$ 4,360,841</u></u>	<u><u>\$ 10,250</u></u>	0.94%

Transbay Joint Powers Authority
Inception to Date Expenditures and Revenues
As of September 30, 2010

includes invoices accrued through September (Unaudited)

EXPENDITURES	INCEPTION TO DATE
Salary and Benefits	7,650,807
Administration	3,445,836
Professional & Specialized Services	
Transit Center/Bus Ramps Preliminary Engineering & Design	63,677,585
Temporary Terminal Preliminary Engineering & Design	3,207,814
Bus Storage Preliminary Engineering & Design	1,743,898
Utility Relocation Engineering & Design	4,436,957
Downtown Extension Preliminary Engineering & Design	24,433,248
Program Management/Program Control	47,865,637
Other Professional Services	22,201,740
Subtotal, Professional & Specialized	167,566,879
Construction & Construction Management	
Temporary Terminal Construction Management	1,458,010
Temporary Terminal Construction	19,141,206
Transit Center Project CM/GC Pre-construction Services	16,389,686
Existing Terminal and Ramps Demolition	2,414,235
Demolition, Transit Center & Utility Relocation Construction Management	14,515
Subtotal, Construction & Construction Management	39,417,652
Right of Way	
Property Acquisition	93,049,810
Professional Services (closing costs, relocation, etc.)	3,173,477
Subtotal, Right of Way	96,223,287
Other (Caltrans Attorney Parking)	11,218
Loan to San Francisco Redevelopment Agency	141,578
TOTAL EXPENDITURES	314,446,038
REVENUES	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	16,785,837
Federal Projects of National & Regional Significance	3,203
Federal High Speed Intercity Passenger Rail	27,064,852
Regional Measure 1	6,573,493
Regional Measure 2	133,967,294
AB 1171	16,118,335
Proposition K SF Sales Tax	84,005,461
Regional Transportation Improvement Program (RTIP)	7,382,432
San Mateo Sales Tax	10,564,214
SF Redevelopment Agency In-Kind	798,689
Lease Income, Other Unrestricted	2,386,873
TOTAL REVENUES	314,446,038

Notes: Inception to Date Capital Outlay is based on payments made and reported in the LOGOS Financial System as of September 30, 2010 as well as accrued outstanding 9/30/10 invoices.
Revenues are based on revenue received and recorded in the LOGOS Financial System as of September 30, 2010 as well as accrued outstanding 9/30/10 receivables.