

# Memorandum

**To:** TJPA Board of Directors

**From:** Maria Ayerdi-Kaplan, Executive Director

**Date:** For the Board Meeting of December 10, 2009

**Re:** Transbay Joint Powers Authority Quarterly Financial Reports

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The following financial reports have been prepared as information items for the TJPA Board:

1. FY 2009-10 First Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2009
3. Quarterly Investment Report as of September 30, 2009
4. Inception to September 30, 2009 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

## **1. FY 2009-10 First Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2009-10 TJPA budget in July 2009. Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the first quarter of fiscal year 2009-10 (July 1, 2009 through September 30, 2009) unaudited.

The FY 2009-10 first quarter budget versus actual financial report is shown in Attachment 1. This report indicates that expenditures and revenues through fiscal year 2010 to date are within the available budget.

Budget versus actual variances in salaries, benefits and administration expenses are due largely to unfilled positions or budgeted costs that have not yet been realized. Budget versus actual variances for Professional & Specialized Services expenses are due largely to budgeted costs that have not yet been realized and/or invoiced by vendors or contractors.

## **2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2009**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in attachment 2, expenditures as of September 30, 2009 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

Data on Disadvantaged and Small Business Enterprise (DBE/SBE) utilization is also included for each contract. The TJPA Annual Anticipated DBE Participation Level (AADPL) for Federal Fiscal Year 2008-09 (October 2008 – September 2009), which was calculated based on contracts planned to be awarded during that time period, was 29.2%. The DBE participation level for actual contracts awarded through September 30, 2009 was 28.4%. Actual payments to DBEs and SBEs for the Federal fiscal year was 17.2%, or \$11,110,553.

### **3. Quarterly Investment Report as of September 30, 2009**

The primary objectives, in priority order, for the TJPA's investment activities are safety, liquidity, and return on investment. The TJPA's permitted investment instruments are governed by California Government Code and the TJPA Board-approved Investment Policy. All cash is currently held in one of two accounts: 1) an insured and collateralized checking account with U.S. Bank, and 2) the City & County of San Francisco investment pool (City Treasury Pool).

The Investment & Earnings report identifies the balance in the City Treasury Pool and the bank account at the end of each quarter, and a cumulative amount for the fiscal year to date. The interest earnings amount for the City Treasury Pool is recorded in FAMIS, the City's accounting system. For the quarter ending September 30, 2009, interest earnings from the City Treasury Pool were \$10,554. The annualized rate of return was 1% with an ending cash balance in the pool of \$4,212,816.

Due to the low interest rate environment, the bank account is currently set up with an earnings credit rate, meaning that any earnings offset bank fees. Thus no interest earnings are reported in the investment report for the bank account. TJPA holds only the amount necessary for upcoming cash disbursements in the bank account.

### **4. Inception to September 30, 2009 Expenditures and Revenues**

The Inception to September 30, 2009 Expenditures and Revenues (unaudited) report provides an overview of the TJPA's expenditures and revenues from the beginning of the Program through September 30, 2009. Summary level information is provided by major expenditure categories and revenue sources.

#### **ENCLOSURES:**

1. FY 2009-10 First Quarter Budget vs. Actual Financial Report (unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of September 30, 2009
3. Quarterly Investment Report as of September 30, 2009
4. Inception to September 30, 2009 Expenditures and Revenues (unaudited)

#### **RECOMMENDATION:**

Information only.

**Transbay Joint Powers Authority**  
**Fiscal Year 2009-10 Budget vs. Actual (Unaudited)**  
**1st Quarter (July 2009 through September 2009)**  
As of 11/13/09

	FY 2009-10 Budget	FY 2009-10 1st Quarter Capital Outlay & Revenues	Remaining FY 2009-10 Balance	Percent Expended through 1st Quarter	Notes
<b>EXPENDITURES <sup>1</sup></b>					
<b>Salaries &amp; Benefits</b>					
Salaries	1,770,000	361,150	1,408,850	20%	
Benefits	595,000	109,045	485,955	18%	
<b>Subtotal, Salaries &amp; Benefits</b>	<b>2,365,000</b>	<b>470,195</b>	<b>1,894,805</b>	<b>20%</b>	
<b>Administration</b>					
Rent	620,000	151,273	468,727	24%	
Insurance	54,000	48,125	5,875	89%	
Loan Origination Fees	35,000	-	35,000	0%	
Interest Expense	100,000	-	100,000	0%	
Office Expenses	73,600	4,304	69,296	6%	
Communications	160,000	11,574	148,426	7%	
Travel/Conferences	39,600	8,917	30,683	23%	
Professional Development	23,500	2,865	20,635	12%	
Board Expenses	9,600	1,124	8,476	12%	
Meeting Expenses	41,500	3,138	38,362	8%	
Miscellaneous	32,500	550	31,950	2%	
<b>Subtotal, Administration</b>	<b>1,189,300</b>	<b>231,871</b>	<b>957,429</b>	<b>19%</b>	
<b>Professional &amp; Specialized Services</b>					
<b>Engineering &amp; Design Services</b>					
Program Management / Program Controls	12,275,600	2,417,658	9,857,942	20%	
Downtown Extension Preliminary Engineering & Design	6,000,000	1,135,416	4,864,584	19%	
Downtown Extension Interagency Coordination	500,000	-	500,000	0%	
Transit Center & Ramps Engineering & Design	39,320,000	6,901,634	32,418,366	18%	
Transit Center Traffic Signals	140,000	-	140,000	0%	
Transit Center Overhead Lines	200,000	-	200,000	0%	
Transit Center Commissioning Agent	300,000	4,572	295,428	2%	
Bus Ramps Design Reviews	300,000	-	300,000	0%	
Temporary Terminal Engineering & Design	500,000	144,262	355,738	29%	
Temporary Terminal Roadways	30,000	-	30,000	0%	
Temporary Terminal Security Plan	100,000	-	100,000	0%	
Temporary Terminal Operations Planning	300,000	-	300,000	0%	
Bus Storage Engineering & Design	2,079,000	226,053	1,852,947	11%	
Utility Relocation Engineering & Design	3,165,500	613,005	2,552,495	19%	
Environmental Consultant / Building and Soil Haz. Mat.	755,000	23,185	731,815	3%	
Archaeological Support Services	60,000	-	60,000	0%	
Artwork Coordination	200,000	-	200,000	0%	
Other Engineering & Design Services	331,100	-	331,100	0%	

**Transbay Joint Powers Authority**  
**Fiscal Year 2009-10 Budget vs. Actual (Unaudited)**  
**1st Quarter (July 2009 through September 2009)**  
As of 11/13/09

	FY 2009-10 Budget	FY 2009-10 1st Quarter Capital Outlay & Revenues	Remaining FY 2009-10 Balance	Percent Expended through 1st Quarter	Notes
<b><i>Permits and Fees</i></b>					
Transbay Terminal & Ramps Demolition Permits & Fees	50,000	-	50,000	0%	
Transit Center Building & Ramps Permits & Fees	200,000	7,640	192,360	4%	
Temporary Terminal Permits & Fees	50,000	-	50,000	0%	
Bus Storage Permits & Fees	100,000	-	100,000	0%	
Utility Relocation Permits & Fees	400,000	-	400,000	0%	
Other Plan Checks / Permit Fees	8,000	6,739	1,261	84%	
<b><i>Real Estate Services</i></b>					
Property Management / Boarding	92,100	4,500	87,600	5%	
Appraisal Services	773,500	4,438	769,062	1%	
ALTA / Design Surveys	200,000	17,616	182,384	9%	
San Francisco Redevelopment Agency Administrative Fee	411,800	-	411,800	0%	
Miscellaneous Real Estate Services	14,800	-	14,800	0%	
<b><i>Construction Management</i></b>					
Construction Management for Temporary Terminal	1,377,400	197,421	1,179,979	14%	
Construction Management for Utility Relocation	92,000	-	92,000	0%	
Construction Management for Existing Terminal & Ramps Demo	234,000	-	234,000	0%	
Construction Management for Transit Center	2,400,000				
<b><i>Construction</i></b>					
Transit Center & Ramps CM/GC Pre-Construction Services	8,700,000	2,306,434	6,393,567	27%	
Existing Terminal & Ramps Demolition	20,258,400	-	20,258,400	0%	
Transit Center Building & Ramps Construction	60,000,000	-	60,000,000	0%	
Temporary Terminal Construction	10,595,000	3,830,171	6,764,829	36%	
Temporary Terminal Systems & Transit Testing	500,000	-	500,000	0%	
Utility Relocation Construction	25,000,000	-	25,000,000	0%	
<b><i>Planning &amp; Environmental Analysis</i></b>					
Transbay Transit District Plan	191,000	14,230	176,770	7%	
Economic Analysis	250,000	81,168	168,833	32%	
Other Planning Studies	4,400	-	4,400	0%	
<b><i>Administration</i></b>					
Benefits Administration / Payroll Services	149,100	32,010	117,090	21%	
Outside Legal Counsel	844,500	237,286	607,214	28%	
City Attorney	256,200	31,105	225,096	12%	
Financial & Grant Management	800,000	229,359	570,641	29%	
New Revenue Consultation	25,000	-	25,000	0%	
Accounting Software & Installation	17,000	14,648	2,352	86%	
Audit Services	56,400	10,703	45,697	19%	
Loan Servicing Fees	200,000	-	200,000	0%	

**Transbay Joint Powers Authority**  
**Fiscal Year 2009-10 Budget vs. Actual (Unaudited)**  
**1st Quarter (July 2009 through September 2009)**  
As of 11/13/09

	FY 2009-10 Budget	FY 2009-10 1st Quarter Capital Outlay & Revenues	Remaining FY 2009-10 Balance	Percent Expended through 1st Quarter	Notes
Trustee Account Services	1,000	500	500	50%	
Community & Public Relations	265,000	69,429	195,571	26%	
Legislative Services	477,000	138,956	338,044	29%	
<i>Information Technology Support</i>	21,000	-	21,000	0%	
Labor Compliance Software	14,000	-	14,000	0%	
CityBuild Program Implementation	75,000	-	75,000	0%	
Other Intergovernmental Agreements	50,000	-	50,000	0%	
Other Consulting Assistance	50,000	-	50,000	0%	
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>201,759,800</b>	<b>18,700,138</b>	<b>183,059,662</b>	<b>9%</b>	
<b>Right of Way Acquisition</b>					
Property Acquisition	44,975,000	-	44,975,000	0%	
Title/Closing Costs	358,600	(695)	359,295	0%	refund of excess title fees paid
Relocation Services Consultation	240,000	38,794	201,206	16%	
Relocation Services Assistance	2,800,000	141,388	2,658,612	5%	
Goodwill Loss Assistance	700,000	-	700,000	0%	
Other Right of Way Expenses	41,000	-	41,000	0%	
<b>Subtotal, Right of Way Acquisition</b>	<b>49,114,600</b>	<b>179,486</b>	<b>48,935,114</b>	<b>0%</b>	
<b>Other</b>					
Caltrans Attorney Parking	120,000	-	120,000	0%	
<b>Subtotal, Other</b>	<b>120,000</b>	<b>-</b>	<b>120,000</b>	<b>-</b>	
<b>Reserves</b>					
<i>Fiscal Reserve</i>	4,586,300	-	4,586,300	0%	
<i>Emergency Reserve</i>	500,000	-	500,000	0%	
<i>Contingency Reserve</i>	-	-	-	0%	
<b>Subtotal, Reserves</b>	<b>5,086,300</b>	<b>-</b>	<b>5,086,300</b>	<b>0%</b>	
<b>TOTAL EXPENDITURES + OTHER</b>	<b>259,635,000</b>	<b>19,581,690</b>	<b>240,053,310</b>	<b>8%</b>	

**Transbay Joint Powers Authority**  
**Fiscal Year 2009-10 Budget vs. Actual (Unaudited)**  
**1st Quarter (July 2009 through September 2009)**  
As of 11/13/09

	FY 2009-10 Budget	FY 2009-10 1st Quarter Capital Outlay & Revenues	Remaining FY 2009-10 Balance	Percent Expended through 1st Quarter	Notes
<b>REVENUE <sup>2</sup></b>					
<b>Committed Revenue</b>					
Federal SAFETEA-LU Earmark Grants	7,749,000	1,388,307	6,360,693	18%	
Regional Measure 1	5,200,000	1,194,034	4,005,966	23%	
Regional Measure 2	33,018,900	6,861,422	26,157,478	21%	
Proposition K San Francisco Sales Tax	47,865,400	9,869,366	37,996,034	21%	
Regional Transportation Improvement Program (STIP)	1,873,400	129,605	1,743,795	7%	
Lease Income	183,200	138,956	44,244	76%	
Interest Income	91,900	-	91,900	0%	
<b>Subtotal, Committed Revenue</b>	<b>95,981,800</b>	<b>19,581,690</b>	<b>76,400,110</b>	<b>20%</b>	
<b>Planned Revenue</b>					
Federal SAFETEA-LU Grants	26,359,100	-	26,359,100	0%	
Regional Measure 1	5,226,000	-	5,226,000	0%	
San Mateo County Sales Tax	25,450,000	-	25,450,000	0%	
Regional Transportation Improvement Program (STIP)	2,762,000	-	2,762,000	0%	
Federal Homeland Security Transit Security Grant	100,000	-	100,000	0%	
Other Planned Revenue (RM-2, RM-1, Prop K, San Mateo Sales Tax, AC Transit Capital Contribution, Federal grants, Land Sales proceeds, other)	98,467,900	-	98,467,900	0%	
<b>Subtotal, Planned Revenue</b>	<b>158,365,000</b>	<b>-</b>	<b>158,365,000</b>	<b>0%</b>	
<b>Other</b>					
Redevelopment Agency Loan Repayment	70,800	-	70,800	0%	
Fund Balance from Previous Year	5,217,400	-	5,217,400	0%	
<b>Subtotal, Other</b>	<b>5,288,200</b>	<b>-</b>	<b>5,288,200</b>	<b>-</b>	
<b>TOTAL REVENUE + OTHER</b>	<b>259,635,000</b>	<b>19,581,690</b>	<b>240,053,310</b>	<b>8%</b>	

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2: TJPA's grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

As of November 10, 2009

Purpose	Vendor	Contract Value	Accrued to September 30, 2009	Term	Funding Source	DBE Advisory	DBE Actual through Sept 30, 2009	SBE Goal	SBE Actual through Sept 30, 2009
<b>Professional &amp; Specialized Services</b>									
Program Manager / Program Controls	URS Corporation	Certified for: \$19,927,968 Total: \$36,670,000	\$15,351,572	3/20/08-3/19/11	RM-2, Prop K, FTA grant & local match, San Mateo Sales Tax, Unrestricted	30%	27%	0%	29%
Downtown Extension Preliminary Engineering	Parsons Transportation Group	Certified for: \$9,236,660 Total: \$10,710,000	\$7,278,836	7/1/08-6/30/11	Prop K, San Mateo Sales Tax	30%	21%	0%	24%
Temporary Terminal A/E Services	Jacobs (formerly Carter & Burgess)	Certified for: \$1,743,200 Total: \$1,760,000	\$1,672,160	4/19/07-Temp Terminal Warranty	RM-2, RTIP	16%	20%	0%	20%
Bus Storage A/E Services	Jacobs (formerly Carter & Burgess)	Certified for: \$2,167,000 Total: \$4,365,000	\$1,237,753	5/17/07-Bus Storage Warranty	RM-2, RTIP	16%	10%	0%	10%
As Needed Environmental Consulting Services	Treadwell & Rollo	Certified for: \$286,904 Total: \$333,992	\$266,613	7/19/07-7/18/10	Prop K, RTIP	14%	39%	0%	39%
As Needed Environmental Consulting Services	ERM	Certified for: \$242,145 Total: \$1,147,000	\$228,870	8/24/07-8/23/10	Prop K, RTIP	14%	0%	0%	0%
Utility Relocation Design Services	AECOM (formerly Metcalf & Eddy)	Certified for: \$4,031,139 Total: \$5,198,015	\$2,709,542	9/20/07-Utility Warranty	RM-2	20%	15%	0%	15%
As Needed Environmental Consulting Services	Geomatrix	Certified for: \$94,370 Total: \$500,000	\$94,370	11/16/07-11/15/10	Prop K	14%	0%	0%	0%
A&E - Transit Center Bldg & Related Structures	Pelli Clarke Pelli Architects	Certified for: \$44,867,200 Total: \$105,850,000	\$37,297,209	5/16/08-Transit Ctr Warranty	Prop K, RM-2	0%	0%	0%	0%
Commissioning Agent	Enovity Inc.	Certified for: \$166,104 Total: \$762,196	\$4,572	9/10/09-6/30/16	RM-2	5%	0%	90%	100%

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

As of November 10, 2009

Purpose	Vendor	Contract Value	Accrued to September 30, 2009	Term	Funding Source	DBE Advisory	DBE Actual through Sept 30, 2009	SBE Goal	SBE Actual through Sept 30, 2009
<b>Professional &amp; Specialized Services - Administration</b>									
Lease Agreement	California Mission Street Partners	\$5,122,518	\$1,108,320	2003-2015	FTA grant & local match	n/a	n/a	n/a	n/a
Information Technology Management and Support	Bluecrane	\$133,341	\$93,395	8/1/04-7/31/10	FTA grant & local match, RTIP, San Mateo Sales Tax	0%	68%	n/a	68%
Legal Counsel	Moscone, Emblidge & Quadra with Shute, Mihaly & Weinberger; Hanson Bridgett; and Ruiz & Sperow	Certified for: \$2,755,786 (MEQ: \$75,786, SMW: \$2,640,000, HB:\$40,000) Total Contract Value: \$3,000,000	\$2,667,861	4/1/05-3/31/11	FTA grant & local match, RM-2, San Mateo Sales Tax	5%	0%	0%	96%
Financial Consulting Services	Nancy Whelan Consulting	\$3,402,812	\$2,357,708	10/26/06-10/25/11	FTA grant & local match, San Mateo Sales Tax	0%	57%	0%	57%
Auditor	Macias, Gini, & O'Connell	\$210,613	\$187,638	1/18/07-1/17/10	FTA grant & local match, RTIP, San Mateo Sales Tax	0%	0%	0%	0%
Federal Advocacy Services	Chambers, Conlon & Hartwell	\$259,500	\$166,860	9/20/07-9/19/10	unrestricted revenue	n/a	0%	0%	0%
Federal Advocacy Services	Holland + Knight	\$505,500	\$326,500	9/20/07-9/19/10	unrestricted revenue	n/a	0%	0%	0%
State Advocacy Services	Suter, Wallauch, Corbett & Associates	\$60,000	\$20,000	8/1/09-1/31/10	unrestricted revenue	n/a	0%	0%	0%
HR Payroll & Benefits Administration	Local Government Services	\$500,000 (Administration Fee only)	\$221,588	10/18/07-10/17/10	FTA grant & local match	n/a	n/a	n/a	n/a
Integrated Financial System	New World Systems	\$296,015	\$227,989	2/21/08-5/31/13	FTA grant, RM-2	0%	0%	0%	0%
Public Relations & Community Outreach	Singer Associates	\$990,000	\$395,137	4/8/08-4/7/11	FTA grant & local match	20%	19%	0%	100%
New Revenue/Fundraising Consultation	CCS	\$67,000	\$42,000	12/2/08-12/1/09	unrestricted revenue	0%	0%	0%	0%
Bond Counsel	Orrick Herrington & Sutcliffe	\$57,000	\$0	4/1/09-3/31/10	FTA grant	0%	0%	0%	0%
Website Design & Programming	Words Pictures Ideas	\$45,150	\$31,063	4/1/09-3/31/10	FTA grant	0%	0%	0%	WPI renewing State SBE cert
Retail Counsel	Sheppard Mullin Hampton & Richter	\$200,000	\$16,525	9/10/09-9/9/10	FTA grant	0%	0%	0%	0%

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

As of November 10, 2009

Purpose	Vendor	Contract Value	Accrued to September 30, 2009	Term	Funding Source	DBE Advisory	DBE Actual through Sept 30, 2009	SBE Goal	SBE Actual through Sept 30, 2009
<b>Real Estate Related Professional Services</b>									
Real Estate Appraiser	Clifford Associates	Certified for: \$212,279 Total: \$350,000	\$190,279	7/20/06-7/19/11	FTA grant, Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Review Appraiser	David Tattersall & Company	Certified for: \$47,300 Total Contract Value: \$50,000	\$41,125	10/27/06-10/26/09	FTA grant, RM-2, RTIP	0%	0%	0%	0%
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$63,722	5/17/07-5/16/12	Prop K, RM-2, RTIP	0%	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$100,000	\$25,848	5/28/09-5/27/10	FTA grant, Prop K	0%	0%	0%	100%
Real Estate Economics Advisory Services	Keyser Marston Associates	Certified for: \$100,000 Total: \$125,000	\$0	5/22/09-5/21/10	FTA grant	0%	0%	0%	0%
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Certified for: \$23,000 Total: \$62,500	\$19,980	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Machinery & Equipment Appraisers	Neale & Sons Inc.	Certified for: \$29,000 Total: \$62,500	\$29,000	1/25/08-1/24/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Certified for: \$25,000 Total: \$75,000	\$17,121	2/25/08-2/24/13	RTIP	0%	0%	0%	0%
Goodwill Loss Appraisers	Donna Desmond Associates	Certified for: \$42,479 Total: \$75,000	\$27,667	2/25/08-2/24/13	RTIP	0%	0%	0%	100%
Relocation Assistance Services	Associated Right of Way Services	Certified for: \$279,600 Total: \$500,000	\$192,941	10/1/08-9/30/11	Prop K	0%	0%	0%	0%
Real Estate Appraiser	Carneghi-Blum & Partners	Certified for: \$24,500 Total: \$425,000	\$7,000	4/9/09-4/8/12	Prop K, RTIP	0%	0%	0%	0%
Real Estate Economics Advisory Services	The Concord Group	\$100,000	\$18,000	5/29/09-5/28/10	FTA grant	0%	0%	0%	0%
Real Estate Economics Advisory Services	Jones Lang LaSalle, et. al.	Certified for: \$68,000 Total: \$100,000	\$55,591	6/23/09-6/22/10	FTA grant	0%	0%	0%	0%

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As of November 10, 2009

Purpose	Vendor	Contract Value	Accrued to September 30, 2009	Term	Funding Source	DBE Advisory	DBE Actual through Sept 30, 2009	SBE Goal	SBE Actual through Sept 30, 2009	
<b>Construction &amp; Construction Management</b>										
Construction Management - Temporary Terminal	Townsend Management, Inc.	\$1,010,000	\$757,921	4/17/08-4/16/11	RM-2	36%	95%	0%	95%	
Construction Labor Compliance Software	Elation Systems	Certified for: \$10,000 Total: \$57,500	\$10,000	9/15/08-9/14/10	RM-2	0%	0%	0%	0%	
Construction - Temporary Terminal	McGuire and Hester	\$18,507,457	\$16,023,206	11/1/08-Completion	RM-2	20%	32%	0%	32%	
Construction Manager/ General Contractor - TTC & Ramps	Webcor/Obayashi	Pre-construction: \$9,640,000 Certified for: \$8,381,116	\$3,802,880	3/12/09-Completion	Prop K, RM-2	17%	0%	17%	0%	
Demolition - Transbay Terminal & Ramps	Evans Brothers Inc.	\$10,502,400	\$0	actual award shall occur in December 2009	Prop K, federal funds	8%	0%	8%	0%	
<b>Operations</b>										
Temporary Terminal Facility Manager	Linc Facility Services	Certified for: \$25,000 Total: \$5,542,812	\$0	8/20/09-12/31/14	RM-2	2%	0%	15%	0%	
<b>Overall DBE and SBE Participation</b>						<b>DBE Goal</b>	<b>DBE Awarded</b>	<b>SBE Awarded*</b>	<b>DBE Payments</b>	<b>SBE Payments*</b>
Life of the Program, since 2004						n/a	18%	18%	\$22,856,417	\$24,074,814
Current Federal Fiscal Year (October 2008 - September 2009)						29%	28%	29%	\$9,892,155	\$11,110,553
*TJPA began tracking SBEs in FFY08-09										

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

As of November 10, 2009

Agency	Contract Value	Accrued to September 30, 2009	Term	Funding Source	Notes
<b>Interagency Agreements</b>					
SF City Attorney	\$2,238,614	\$2,013,519	on-going	FTA grant & local match, RM-2	Legal Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
SF Redevelopment Agency	Fee: \$968,849 Loan: \$2,500,000	Fee: \$875,462 Loan: \$283,155	on-going	FTA grant & local match, RM-2, unrestricted	Services for Preparation and Sale of State Conveyed Parcels provided on a Request for Services basis; work previously authorized for Parcels B, C, C" and F. No further loan amount anticipated at this time. Quarterly loan repayments to begin 12/31/09.
SF Department of Public Works Bureau of Street Use & Mapping	Certified for: \$393,489 Total: \$410,000	\$364,745	4/6/07-4/5/11	Prop K	Survey & Mapping Services provided via agreement approved by TJPA Board in resolution 07-008.
SF Department of Public Works	Certified for: \$270,963 Total: \$599,500	\$108,303	2/11/08-2/10/13	RM-2	Additional Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services provided via agreement approved by TJPA Board via resolution 08-005.
SF Municipal Transportation Agency	\$1,111,962	\$1,110,551	8/21/07-12/31/09	RM-2	Trolley Line Relocation and Street Modification Services provided via agreement approved by TJPA Board in resolution 07-026. Amendment to original agreement approved by Board in June 2009, increasing amount by \$300,000.
SF Municipal Transportation Agency	Certified for: \$865,000 Total: \$2,282,979	\$0	8/20/09-12/31/14	RM-2	Traffic Engineering, OCS Design and Construction Management Services provided via agreement approved by TJPA Board in resolution 09-018.
SF Department of City Planning	\$730,000	\$595,115	9/20/07-9/19/10	Prop K	Scope is Planning Services associated with the Transbay Transit District Plan; agreement with option to extend for one year approved by TJPA Board in resolution 07-039.
SF Art Commission	Certified for: \$150,000 Total: \$950,000	\$80,327	7/1/08-6/30/15	RTIP	Public Art Program Implementation Services provided via agreement approved by TJPA Board in resolution 08-026.
SF Public Utilities Commission	Certified for: \$150,000 Total: \$225,000	\$0	9/14/09-9/13/14	Prop K, RM-2	Design Review Services related to hydraulics and wastewater via agreement approved by TJPA Board in resolution 09-010.

Contract	Vendor	Award Value	Total Spent	Status	Funding Source	DBE Advisory/ SBE Goal	Notes
<b>Invitations for Bids / Requests for Proposals</b> <i>None at this time</i>							

Purpose	Vendor	Award Value	Total Spent	Term	Funding Source	DBE Advisory	DBE Actual	SBE Goal	SBE Actual
<b>Contracts Completed 6/30/09 - 9/30/09</b>									
State Advocacy Services	Suter, Wallauch, Corbett & Associates	\$362,250	\$355,000	9/20/07-9/19/10	unrestricted revenue	n/a	0%	0%	0%
Real Estate Economics Advisory Services	Seifel Consulting	\$140,740	\$140,704	9/6/07-6/30/09	RM-2, RTIP	0%	0%	0%	83%
Real Estate Economics Advisory Services	Keyser Marston Associates	\$130,125	\$129,336	9/20/07-9/19/09	FTA grant, RTIP	0%	0%	0%	0%

## Transbay Joint Powers Authority Investment & Interest Earnings Report

For 1st Quarter Ending September 30, 2009

<u>Investment</u>	<u>Balance as of 9/30/2009</u>	<u>Interest Earnings</u>	<u>Approximate Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 4,212,816	\$ 10,554	0.25%	1.00%
Bank Account	\$ 658,230	-	n/a	n/a
<b>Total</b>	<b><u>\$ 4,871,047</u></b>	<b><u>\$ 10,554</u></b>	0.22%	0.87%

*Cumulative For Fiscal Year Ending June 30, 2010*

<u>Investment</u>	<u>Average Balance FY09-10</u>	<u>Interest Earnings</u>	<u>Approximate Annual Rate of Return</u>
City Treasury Pool	\$ 4,212,816	\$ 10,554	1.00%
Bank Accounts	\$ 658,230	-	n/a
<b>Total</b>	<b><u>\$ 4,871,047</u></b>	<b><u>\$ 10,554</u></b>	0.87%

**Transbay Joint Powers Authority**  
**Inception to Date Expenditures and Revenues**  
**As of September 30, 2009**  
includes September accruals (Unaudited)

<b>EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<i>Salary and Benefits</i>	<b>5,759,095</b>
<i>Administration</i>	<b>2,253,273</b>
<b><i>Professional &amp; Specialized Services</i></b>	
Transit Center/Bus Ramps Preliminary Engineering & Design	37,581,265
Temporary Terminal Preliminary Engineering & Design	2,920,756
Bus Storage Preliminary Engineering & Design	1,237,753
Utility Relocation Engineering & Design	3,179,566
Downtown Extension Preliminary Engineering & Design	21,092,198
Program Management/Program Control	38,491,538
Other Professional Services	17,942,918
<b><i>Subtotal, Professional &amp; Specialized</i></b>	<b>122,445,994</b>
<b><i>Construction &amp; Construction Management</i></b>	
Temporary Terminal Construction Management	828,171
Temporary Terminal Construction	14,904,281
Transit Center Project CM/GC Pre-construction Services	3,802,880
<b><i>Subtotal, Construction &amp; Construction Management</i></b>	<b>19,535,332</b>
<b><i>Right of Way</i></b>	
Property Acquisition	89,562,535
Professional Services (closing costs, relocation, etc.)	1,839,901
<b><i>Subtotal, Right of Way</i></b>	<b>91,402,436</b>
<b><i>Loan to San Francisco Redevelopment Agency</i></b>	<b>283,155</b>
<b>TOTAL EXPENDITURES</b>	<b>241,679,285</b>
<b>REVENUES</b>	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	12,260,083
Regional Measure 1	2,594,034
Regional Measure 2	115,714,847
Proposition K SF Sales Tax	87,291,585
Regional Transportation Improvement Program (RTIP)	5,351,474
San Mateo Sales Tax	7,277,061
SF Redevelopment Agency In-Kind	798,689
Lease Income, Other Unrestricted	1,596,156
<b>TOTAL REVENUES</b>	<b>241,679,285</b>

Notes: Inception to Date Capital Outlay is based on payments made and reported in the LOGOS Financial System as of September 30, 2009 as well as accrued outstanding 9/30/09 invoices.  
Revenues are based on revenue received and recorded in the LOGOS Financial System as of September 30, 2009 as well as accrued outstanding 9/30/09 receivables.