

# Memorandum

**To:** TJPA Board of Directors

**From:** Maria Ayerdi-Kaplan, Executive Director

**Date:** For the Board Meeting of June 11, 2009

**Re:** Transbay Joint Powers Authority Quarterly Financial Reports

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The following financial reports have been prepared as information items for the TJPA Board:

1. FY 2008-09 Third Quarter Budget vs. Actual Financial Report (Unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of March 31, 2009
3. Quarterly Investment Report as of March 31, 2009
4. Inception to March 31, 2009 Expenditures and Revenues (Unaudited)

This memorandum describes the reports.

## **1. FY 2008-09 Third Quarter Budget vs. Actual Financial Report**

The TJPA Board approved the FY 2008-09 TJPA budget in June 2008. FY 2008-09 Budget Amendment 1 was approved by the Executive Director on May 26, 2009. In accordance with the TJPA Budget Policy, the Executive Director has the authority to approve any administrative budget amendments and reallocate funding within and among expenditure categories so long as the aggregate amount reallocated in a fiscal year does not exceed ten percent of the original appropriation for a budget category. Accounting reports have been summarized to show budget versus actual amounts for expenditures and revenues through the third quarter of fiscal year 2008-09 (July 1, 2008 through March 31, 2009) unaudited.

The FY 2008-09 third quarter budget versus actual financial report is shown in Attachment 1. This report indicates that expenditures to fiscal year end 2009 are within the available budget.

For one revenue line item, the San Mateo County Sales Tax, the expended amount exceeds the budget. Revenues were drawn down according to the eligible expenditures, which were slower than anticipated for FY 2007-08, resulting in additional revenue rolling forward to FY 2008-09. The TJPA is not required to spend the grant in a particular fiscal year. Thus, the rate of expenditure does not impact the overall funding plan for the Transbay program. All other revenue receipts are at anticipated levels.

Budget versus actual variances in salaries, benefits and administration expenses are due largely to unfilled positions or budgeted costs that have not yet been realized. Budget versus actual variances for Professional & Specialized Services expenses are due largely to budgeted costs that have not yet been realized and/or invoiced by vendors. In some cases, planned expenditures for the fiscal year have not yet occurred as contracts have not yet been awarded or invoiced against.

**2. Status of Purchase Orders and Contracts Greater than \$25,000 as of March 31, 2009**

TJPA staff periodically reports to the Board on the status of contracts greater than \$25,000. As shown in attachment 2, expenditures as of March 31, 2009 are within the contract certification amounts. In general, procurement activities are proceeding as planned. Additional details for each contract are noted in the report.

**3. Quarterly Investment Report as of March 31, 2009**

The TJPA has two permitted investment instruments: 1) Insured savings account, money market or interest-bearing checking account in a qualified public depository as established by state law, and 2) City & County of San Francisco investment pool (City Treasury Pool).

The Investment & Earnings report identifies the balance in the City Treasury Pool and the bank accounts at the end of each quarter, and a cumulative amount for the fiscal year end of June 30, 2009. The interest earnings amount for the City Treasury Pool is recorded in FAMIS (City's accounting system) and the interest earnings amount for the bank accounts are obtained from the TJPA's Union Bank of California money market statement (now closed) and US Bank statement (current account).

For the quarter ending March 31, 2009, interest earnings from the City Treasury Pool were \$21,435. Interest earnings from the bank accounts for the quarter were \$91 (interest earned is offset against analysis fees) with total fiscal year earnings for both investment instruments of \$110,314. The annualized rate of return was 5.02% with an ending cash balance of \$4,687,722.

**4. Inception to March 31, 2009 Expenditures and Revenues**

The Inception to March 31, 2009 Expenditures and Revenues (unaudited) report provides an overview of the TJPA's expenditures and revenues from the beginning of the program through March 31, 2009. Summary level information is provided by major expenditures category and revenue source.

**ENCLOSURES:**

1. FY 2008-09 Third Quarter Budget vs. Actual Financial Report (unaudited)
2. Status of Purchase Orders and Contracts Greater than \$25,000 as of March 31, 2009
3. Quarterly Investment Report as of March 31, 2009
4. Inception to March 31, 2009 Expenditures and Revenues (unaudited)

**RECOMMENDATION:**

Information only.

**Transbay Joint Powers Authority**  
**Fiscal Year 2008-09 Budget vs. Actual (Unaudited)**  
**3rd Quarter (January through March 2009)**  
As of 5/15/09

	FY 2008-09 Budget Amendment 1*	FY 2008-09 Capital Outlay & Revenues	Remaining FY 2008-09 Balance	Percent Expended through 3rd Quarter	Notes
<b>EXPENDITURES <sup>1</sup></b>					
<b>Salaries &amp; Benefits</b>					
Salaries	1,818,000	1,031,505	786,495	57%	
Benefits	616,200	300,460	315,740	49%	
<b>Subtotal, Salaries &amp; Benefits</b>	<b>2,434,200</b>	<b>1,331,965</b>	<b>1,102,235</b>	<b>55%</b>	
<b>Administration</b>					
Rent	593,700	211,727	381,973	36%	
Insurance	51,400	35,183	16,217	68%	
Loan Origination Fees	35,000	30,000	5,000	86%	
Interest Expense	50,000	-	50,000	0%	
Office Moving Expenses and One-Time Costs	364,000	366,408	(2,408)	100.7%	Move complete. No further expenses.
Office Expenses	95,900	77,694	18,206	81%	
Communications	67,400	36,413	30,987	54%	
Travel/Conferences	39,600	17,000	22,600	43%	
Professional Development	22,300	12,527	9,773	56%	
Board Expenses	9,300	4,802	4,498	52%	
Meeting Expenses	30,000	16,156	13,844	54%	
Miscellaneous	32,500	1,405	31,095	4%	
<b>Subtotal, Administration</b>	<b>1,391,100</b>	<b>809,313</b>	<b>581,787</b>	<b>58%</b>	
<b>Professional &amp; Specialized Services</b>					
<b>Engineering &amp; Design Services</b>					
Program Management / Program Controls	13,200,000	7,511,898	5,688,102	57%	
Downtown Extension Preliminary Engineering & Design	9,000,000	3,963,315	5,036,685	44%	
Downtown Extension PE Third Party Design Checks	1,500,000	-	1,500,000	0%	
Downtown Extension Interagency Coordination	2,000,000	760	1,999,240	0%	
Transit Center & Ramps Engineering & Design	38,290,000	20,748,638	17,541,362	54%	
Transit Center Retail Consulting Services	250,000	50,851	199,149	20%	
Transit Center Traffic Signals	400,000	-	400,000	0%	
Transit Center Overhead Lines	200,000	-	200,000	0%	
Transit Center Commissioning Agent	100,000	-	100,000	0%	
Bus Ramps Design Reviews	200,000	-	200,000	0%	
Temporary Terminal Engineering & Design	368,600	165,600	203,000	45%	
Temporary Terminal Roadways	82,000	-	82,000	0%	
Temporary Terminal Overhead Lines	527,200	221,889	305,311	42%	
Bus Storage Engineering & Design	2,306,000	572,637	1,733,363	25%	
Utility Relocation Engineering & Design	4,334,700	1,292,475	3,042,225	30%	
Environmental Consultant / Building and Soil Haz. Mat.	826,100	285,256	540,844	35%	
Archaeological Support Services	100,000	-	100,000	0%	
Artwork Coordination	400,000	38,900	361,100	10%	
Other Engineering & Design Services	370,400	-	370,400	0%	
<b>Permits and Fees</b>					
Transit Center & Ramps Permits & Fees	462,700	15,659	447,041	3%	
Temporary Terminal Permits & Fees	208,400	69,420	138,980	33%	
Bus Storage Permits & Fees	-	-	-	0%	
Utility Relocation Permits & Fees	1,052,000	-	1,052,000	0%	
Other Plan Checks / Permit Fees	17,600	760	16,840	4%	
<b>Real Estate Services</b>					
Consulting Services for Design & Development Option Agreement	128,000	48,845	79,155	38%	
Property Management	83,200	1,844	81,356	2%	
Appraisal Services	556,200	146,882	409,318	26%	
ALTA / Design Surveys	251,600	44,125	207,475	18%	
Geotechnical Analysis	10,000	-	10,000	0%	
San Francisco Redevelopment Agency Administrative Fee	844,900	501,390	343,510	59%	
Miscellaneous Real Estate Services	18,700	-	18,700	0%	
<b>Construction Management</b>					
Construction Management for Temporary Terminal	900,000	399,599	500,401	44%	
Construction Management for Bus Storage	-	-	-	0%	
Construction Management for Utility Relocation	300,000	-	300,000	0%	
Construction Management for Existing Terminal & Ramps Demo	300,000	-	300,000	0%	
<b>Construction</b>					
Transit Center & Ramps CM/GC Pre-Construction Services	4,000,000	151,420	3,848,580	4%	
Temporary Terminal Construction	16,000,000	6,449,456	9,550,544	40%	
Existing Terminal & Ramps Demolition	1,000,000	-	1,000,000	0%	
<b>Planning &amp; Environmental Analysis</b>					
Transbay Transit District Plan	365,000	200,604	164,396	55%	
Economic Analysis	122,000	87,336	34,664	72%	
Environmental Review	2,700,000	-	2,700,000	0%	
Other Planning Studies	31,900	-	31,900	0%	
<b>Administration</b>					
Benefits Administration / Payroll Services	144,400	101,097	43,303	70%	
Outside Legal Counsel	750,000	450,203	299,797	60%	

**Transbay Joint Powers Authority**  
**Fiscal Year 2008-09 Budget vs. Actual (Unaudited)**  
**3rd Quarter (January through March 2009)**  
As of 5/15/09

	FY 2008-09 Budget Amendment 1*	FY 2008-09 Capital Outlay & Revenues	Remaining FY 2008-09 Balance	Percent Expended through 3rd Quarter	Notes
City Attorney	232,900	167,205	65,695	72%	
Financial & Grant Management	940,000	682,910	257,090	73%	
New Revenue Consultation	100,000	42,000	58,000	42%	
Accounting Software & Installation	171,000	59,781	111,219	35%	
Audit Services	60,800	45,564	15,236	75%	
Credit Rating Analysis	50,000	50,000	-	100%	
Loan Servicing Fees	200,000	-	200,000	0%	
Trustee Account Services	1,000	-	1,000	0%	
SFMTA Grants Administration	5,000	-	5,000	0%	Grant closed in FY08
Community & Public Relations	220,000	162,233	57,767	74%	
Legislative Services	463,300	344,418	118,882	74%	
Information Technology Support	19,000	3,152	15,848	17%	
Other Intergovernmental Agreements	50,000	-	50,000	0%	
Other Consulting Assistance	50,000	-	50,000	0%	
<b>Subtotal, Professional &amp; Specialized Services</b>	<b>107,264,600</b>	<b>45,078,122</b>	<b>62,186,478</b>	<b>42%</b>	
<b>Right of Way Acquisition</b>					
Property Acquisition	44,900,000	5,818,500	39,081,500	13%	
Title/Closing Costs	130,000	43,569	86,431	34%	
Relocation Services Consultation and Assistance	2,515,800	524,423	1,991,377	21%	
Property Boarding and Demolition	55,000	2,942	52,058	5%	
Other Right of Way Expenses	27,000	-	27,000	0%	
<b>Subtotal, Right of Way Acquisition</b>	<b>47,627,800</b>	<b>6,389,435</b>	<b>41,238,365</b>	<b>13%</b>	
<b>Other</b>					
Fiscal Reserve	4,353,700	-	4,353,700	0%	
Emergency Reserve	500,000	-	500,000	0%	
Contingency Reserve	-	-	-	0%	
<b>Subtotal, Other</b>	<b>4,853,700</b>	<b>-</b>	<b>4,853,700</b>	<b>0%</b>	
<b>TOTAL EXPENDITURES + OTHER</b>	<b>163,571,400</b>	<b>53,608,835</b>	<b>109,962,565</b>	<b>33%</b>	
<b>REVENUE <sup>2</sup></b>					
<b>Committed Revenue</b>					
Federal SAFETEA-LU Earmark Grants	6,927,600	3,832,353	3,095,247	55%	
Regional Measure 2	28,815,700	25,065,489	3,750,211	87%	
Proposition K San Francisco Sales Tax	42,139,300	22,971,338	19,167,962	55%	
San Mateo County Sales Tax	200,000	290,304	(90,304)	145%	
Regional Transportation Improvement Program (STIP)	3,305,600	989,392	2,316,208	30%	
Lease Income	442,000	459,960	(17,960)	104%	
Interest Income	140,000	-	140,000	0%	
<b>Subtotal, Committed Revenue</b>	<b>81,970,200</b>	<b>53,608,835</b>	<b>28,361,365</b>	<b>65%</b>	
<b>Planned Revenue</b>					
SAFETEA-LU Earmark Grants	2,309,200	-	2,309,200	0%	
Regional Measure 2	21,800,000	-	21,800,000	0%	
Proposition K San Francisco Sales Tax	7,350,000	-	7,350,000	0%	
San Mateo County Sales Tax	19,385,000	-	19,385,000	0%	
Other Planned Revenue (RM-2, Prop K, San Mateo Sales Tax, other)	25,022,000	-	25,022,000	0%	
<b>Subtotal, Planned Revenue</b>	<b>75,866,200</b>	<b>-</b>	<b>75,866,200</b>	<b>0%</b>	
<b>Other</b>					
Fund Balance from Previous Year	4,735,000	-	4,735,000	0%	
<b>Subtotal, Other</b>	<b>4,735,000</b>	<b>-</b>	<b>4,735,000</b>	<b>0%</b>	
<b>New Revenues to be Identified</b>					
Revenue Source to be Determined	1,000,000	-	1,000,000	0%	
<b>Subtotal, Revenue Source to be Determined</b>	<b>1,000,000</b>	<b>-</b>	<b>1,000,000</b>	<b>0%</b>	
<b>TOTAL REVENUE + OTHER</b>	<b>163,571,400</b>	<b>53,608,835</b>	<b>109,962,565</b>	<b>33%</b>	

1: Italics indicate those line items for which the approval of the expenditure amount provides expenditure authorization from the Board. Other line items are generally authorized through contract approvals.

2: TJPA's grant revenues are multi-year, and do not lapse at the end of a fiscal year. Revenues that are budgeted in one fiscal year, but not utilized, will carry forward into the following year.

\*Budget Amendment 1 approved by Executive Director pursuant to the TJPA Board Policy 003: Budget Policy, "Once an annual budget has been adopted, the Executive Director may augment an existing line-item appropriation by re-allocating funding within and among expenditure categories without further Board action, so long as the aggregate amount reallocated during the fiscal year does not exceed 10 percent of the original appropriation for the category, and the total amount of spending does not vary from the total amount of the approved annual budget." (TJPA Board Policy 003, Section III.C.1.)

FY08 actuals less than anticipated; grant rolled over to FY09. Over-budget amount does not impact grant.

**Report to Transbay Joint Powers Authority Board  
Status of Purchase Orders and Contracts greater than \$25,000**

As of May 26, 2009

Accrued to Mar. 31, 2009						
Purpose	Vendor	Contract Value	Status	Funding Source	Notes	
<b>Contracts</b>						
Benefits Administration / Financial Services	Local Government Services	\$500,000 (Administration Fee only)	\$158,231	on-going	FTA grant & local match	Contract start date of November 2007; administrative fee for three years at varied rate depending on number of TJPA staff.
Information Technology Management and Support	Bluecrane	\$133,341	\$93,395	on-going	FTA grant & local match, RTIP, San Mateo Sales Tax	Contract start date of August 1, 2004; term of contract extended to six years by Board on July 19, 2007.
Program Manager / Program Controls	URS Corporation	Certified for: \$14,004,243 Total: \$36,670,000	\$9,883,757	on-going	RM-2, Prop K, FTA grant & local match, San Mateo Sales Tax, Unrestricted	Second contract term start date of March 20, 2008; not to exceed three years (with one remaining three-year option); certification for less than contract amount based on revenue availability.
Early Starts Engineering	Parsons Transportation Group	Certified for: \$6,236,660 Total: \$10,710,000	\$3,963,315	on-going	Prop. K, San Mateo Sales Tax	Second contract term start date of July 1, 2008; term of contract not to exceed three years (with one remaining three-year option).
Public Relations Services	Singer Associates	\$900,000	\$266,658	on-going	FTA grant & local match	Contract start date of April 8, 2008; term of contract not to exceed three years
Legal Counsel	Moscone, Emblidge & Quadra with Shute, Mihaly & Weinberger; Hanson Bridgett; and Ruiz & Sperow	Request for Services issued: \$2,445,786 (MEQ: \$75,786, SMW: \$2,330,000, HB:\$40,000) Total Contract Value: \$3,000,000	\$2,278,159	on-going	FTA grant & local match, RM-2, San Mateo Sales Tax	Contract start date of April 1, 2005; term of contract extended three years by Board on January 17, 2008; Request for Services less than contract amount based on revenue availability. Invoiced by Moscone Emblidge & Quadra: \$75,786; invoiced by Shute, Mihaly & Weinberger: \$2,045,571; invoiced by Hanson Bridgett: \$34,405.
Real Estate Appraiser	Clifford Associates	Certified for: \$208,904 Total: \$350,000	\$164,654	on-going	FTA grant, RM-2, RTIP	Contract start date of July 7, 2006 with a term not to exceed three years. Two-year extension being recommended for approval on April 9, 2009.
Real Estate Appraiser	Carneghi-Blum & Partners	Contract 1 Certified for: \$349,000 Total: \$350,000 Contract 2 Certified for: \$4,000 Total: \$425,000	Contract 1: \$334,983 Contract 2: \$0	on-going	FTA grant, RM-2, RTIP	Contract start date of July 7, 2006 with a term not to exceed three years. New contract approved on April 9, 2009.

**Report to Transbay Joint Powers Authority Board  
Status of Purchase Orders and Contracts greater than \$25,000**

As of May 26, 2009

Purpose	Vendor	Contract Value	Accrued to		Status	Funding Source	Notes
			Mar. 31,	2009			
Real Estate Review Appraiser	David Tattersall & Company	Certified for: \$40,600 Total Contract Value: \$50,000	\$37,975		on-going	FTA grant, RM-2, RTIP	Contract start date of October 27, 2006 for a term not to exceed three years with an option to extend two years.
Financial Consulting Services	Nancy Whelan Consulting	\$3,402,812	\$1,830,181		on-going	FTA grant & local match, San Mateo Sales Tax	Contract start date of November 1, 2006; option to extend for two years authorized by Board on March 12, 2009.
Relocation Assistance Services	Associated Right of Way Services	Certified for: \$240,000 Total: \$500,000	\$112,269		on-going	Prop K	Contract start date of October 1, 2008; term of contract not to exceed three years, with two one-year options.
Auditor	Macias, Gini, & O'Connell	\$210,613	\$156,803		on-going	FTA grant & local match, RTIP, San Mateo Sales Tax	Contract start date of Jan. 22, 2007; term of contract not to exceed three years, with option to extend two years. Board approved extending for one fiscal year audit in October 2008.
Temporary Terminal A/E Services	Carter & Burgess	Certified for: \$1,743,200 Total: \$1,760,000	\$1,455,200		on-going	RM-2, RTIP	Contract approved by Board on April 19, 2007. Amended June 21 and Sept 1 to increase contract amount by \$60,000 and \$20,000 respectively. Contract to remain in effect no later than one year after final completion of construction of Temporary Terminal.
Bus Storage A/E Services	Carter & Burgess	Certified for: \$2,167,000 Total: \$4,365,000	\$890,490		on-going	RM-2, RTIP	Contract approved by Board on May 17, 2007. Amended May 15, 2008 to add scope and budget for Caltrans-required PSR. Contract to remain in effect no later than one year after final completion of construction of Bus Storage.
Real Estate Transaction Title and Escrow Services	Chicago Title	\$300,000	\$58,773		on-going	Prop K, RM-2, RTIP	Contract approved by Board on May 17, 2007, for a term of five years with option for additional three years.
As Needed Environmental Consulting Services	ERM	Certified for: \$234,007 Total: \$1,147,000	\$207,737		on-going	Prop K, RTIP	Contract approved by Board on July 19, 2007, for a term of three years with option for additional two years. NTP issued August 24, 2007.
As Needed Environmental Consulting Services	Treadwell & Rollo	Certified for: \$286,904 Total: \$333,992	\$207,292		on-going	Prop K, RTIP	Contract approved by Board on July 19, 2007, for a term of three years with option for additional two years. NTP issued August 6, 2007.
Real Estate Economics Advisory Services	Seifel Consulting	\$140,740	\$135,414		on-going	RM-2	Contract start date of September 6, 2007, for a term of two years with option for additional two years. Contract amended July 21, 2008, to increase budget for previously negotiated scope.
Real Estate Economics Advisory Services	Keyser Marston Associates	\$130,125	\$127,506		on-going	FTA grant, RTIP	Contract approved by Board on September 20, 2007, for a term of two years with option for additional two years.
Real Estate Economics Advisory Services	The Concord Group	\$135,000	\$134,989		on-going	FTA grant	Contract start date of September 4, 2007, for a term of two years with option for additional two years; contract amended by Executive Director January 25, 2008 to increase amount from \$95,000 to \$100,000. Contract amended by the Board on July 21, 2008 to increase budget for previously negotiated scope.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

Attachment 2

As of May 26, 2009

Purpose	Vendor	Accrued to		Status	Funding Source	Notes
		Contract Value	Mar. 31, 2009			
Federal Advocacy Services	Chambers, Conlon & Hartwell	Certified for: \$167,000 Total: \$256,500	\$123,860	on-going	unrestricted revenue	Contract approved by Board on September 20, 2007, for a term of three years with option for additional three years.
Federal Advocacy Services	Holland + Knight	Certified for: \$329,000 Total: \$505,500	\$242,500	on-going	unrestricted revenue	Contract approved by Board on September 20, 2007, for a term of three years with option for additional three years.
State Advocacy Services	Suter, Wallauch, Corbett & Associates	Certified for: \$320,750 Total: \$362,250	\$285,000	on-going	unrestricted revenue	Contract approved by Board on September 20, 2007, for a term of three years with option for additional three years.
Utility Relocation Design Services	Metcalf & Eddy	Certified for: \$3,647,339 Total: \$5,198,015	\$2,114,489	on-going	RM-2	Contract approved by Board on September 20, 2007; contract to remain in effect through end of utility construction and warranty period. Value of Basic Services: \$4,328,327; Additional Services: \$869,688.
As Needed Environmental Consulting Services	Geomatrix	Certified for: \$94,370 Total: \$500,000	\$94,370	on-going	Prop K	Contract approved by Board on November 16, 2007; NTP issued April 10, 2008.
Machinery & Equipment Appraisers	Desmond, Marcello & Amster	Certified for: \$23,000 Total: \$62,500	\$19,980	on-going	RTIP	Contract start date of January 25, 2008; term of five years.
Machinery & Equipment Appraisers	Neale & Sons Inc.	Certified for: \$29,000 Total: \$62,500	\$29,000	on-going	RTIP	Contract start date of January 25, 2008; term of five years.
Goodwill Loss Appraisers	Desmond, Marcello & Amster	Certified for: \$25,000 Total: \$75,000	\$15,134	on-going	RTIP	Contract start date of February 20, 2008; term of five years.
Goodwill Loss Appraisers	Donna Desmond Associates	Certified for: \$37,479 Total: \$75,000	\$23,750	on-going	RTIP	Contract start date of February 20, 2008; term of five years.
Integrated Financial System	New World Systems	\$296,015	\$205,935	on-going	FTA grant, RM-2	Contract start date of February 21, 2008; perpetual software license with software maintenance term of five years.
Construction Management - Temporary Terminal	Townsend Management, Inc.	\$1,010,000	\$376,921	on-going	RM-2	Contract approved by Board on April 17, 2008. Value of Basic Services: \$822,000; Additional Services: \$188,000. Term of contract three years with option to extend for additional two years.
A&E - Transit Center Bldg & Related Structures	Pelli Clarke Pelli Architects	Certified for: \$43,438,500 Total: \$110,000,000	\$22,049,220	on-going	Prop K, RM-2	Contract approved by Board on May 15, 2008. Value of Basic Services: \$102,000,000; Additional Services: \$3,000,000. Contract amended April 9, 2009, to add \$5,000,000 for geotechnical testing scope.
Labor Compliance Software	Elation Systems	Certified for: \$8,000 Total: \$57,500	\$6,000	on-going	RM-2	Two-year contract awarded September 15, 2008, for electronic certified payroll submission system from vendor used by CCSF; annual license fee paid per construction project; Temporary Terminal is first TJPA project.
Construction - Temporary Terminal	McGuire and Hester	\$18,065,000	\$6,446,206	on-going	RM-2	Contract approved by Board on October 17, 2008. Notice to Proceed issued November 1, 2008.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

**Attachment 2**

As of May 26, 2009

Accrued to Mar. 31, 2009						
Purpose	Vendor	Contract Value	Status	Funding Source	Notes	
New Revenue/Fundraising Consultation	CCS	\$67,000	\$42,000	on-going	unrestricted revenue	One-year contract awarded December 2, 2008; option to extend up to additional five years.
Construction Manager/General Contractor - TTC & Ramps	Webcor/Obayashi	Pre-construction: \$5,490,000 Certified for: \$1,000,000	\$151,420	on-going	Prop K	Contract approved by Board on March 12, 2009. Notice to Proceed issued March 17, 2009. Contract amended on April 9, 2009 to include a Small Business Enterprise utilization goal.
<b>Invitations for Bids / Requests for Proposals</b>						
Temporary Terminal Facility Manager	TBD	N/A	N/A	Proposal Due Date 4/28/09	RM-2 (Operations funding)	Proposals received April 28, 2009; negotiations on-going; contract award anticipated June or July 2009.
<b>Interagency Agreements</b>						
Legal Services	SF City Attorney	\$2,024,500	\$1,958,805	on-going	FTA grant & local match, RM-2	Services provided via Administrative Services agreement dated October 2001 between TJPA and City and County of San Francisco.
Preparation and Sale of State Owned Parcels	SF Redevelopment Agency	Fee: \$968,849 Loan: \$2,500,000	Fee: \$875,462 Loan: \$283,155	on-going	FTA grant & local match, RM-2, unrestricted revenue	Services provided on a Request for Services basis; work currently authorized for Parcels B, C, C" and F. No further loan amount anticipated at this time.
Survey & Mapping	San Francisco Department of Public Works Bureau of Street Use & Mapping	Certified for: \$321,786 Total: \$410,000	\$273,615	on-going	Prop K	Intergovernmental Agreement approved by TJPA Board on Feb. 15, 2007; term of agreement for one year; agreement amended March 2008 to extend term to four years.
Addtl. Survey & Mapping, Sewer, Roadway Resurfacing, AWSS and General Services	San Francisco Department of Public Works	Certified for: \$270,963 Total: \$599,500	\$34,140	on-going	RM-2	Intergovernmental Agreement approved by TJPA Board on January 17, 2008; term of agreement for five years.
Trolley Line Relocation & Street Modification	San Francisco Municipal Transportation Agency	\$811,962	\$754,281	on-going	RM-2	Intergovernmental Agreement approved by TJPA Board on July 19, 2007; agreement expires December 31, 2009. New additional agreement approved on April 9, 2009, awaiting approval by MTA Board in June. Amendment to original agreement being brought to Board on June 11, 2009.
Transbay Area Plan	SF Department of City Planning	\$730,000	\$398,301	on-going	Prop K	Intergovernmental Agreement approved by TJPA Board on September 20, 2007; term of agreement for three years with option for one year.
Public Art Program Implementation	SF Art Commission	Certified for: \$150,000 Total: \$950,000	\$38,900	on-going	RTIP	Intergovernmental Agreement approved by TJPA Board on May 15, 2008; term of agreement for seven years.

**Report to Transbay Joint Powers Authority Board  
Status of Purchase Orders and Contracts greater than \$25,000**

Attachment 2

As of May 26, 2009

Accrued to						
Mar. 31,						
Purpose	Vendor	Contract Value	2009	Status	Funding Source	Notes
<b>Other</b>						
Lease Agreement	201 Mission St.	\$5,122,518	\$659,028	on-going	FTA grant & local match	Value is combination of initial five-year lease plus second seven-year lease for additional square footage through 2015. Five-year lease brokered through BT Commercial and approved by TJPA Board in November 2003. Lease amended in February 2008 to extend for seven years.
<b>Completed Items</b>						
Program Grant Administration, Budgeting, Financial Management, and Cost Control	Transbay Financial Consulting	\$856,000	\$855,980	complete	FTA grant & local match	Contract start date of February 19, 2004. Complete October 31, 2006.
Environmental Documentation / Planning	SF City Planning	\$50,000	\$49,993	complete	FTA grant & local match	Interagency agreement of June 18, 2003.
Environmental Documentation / Planning	Caltrain / Parsons Transportation Group	\$2,110,626	\$2,002,921	complete	FTA grant & local match	Parsons Transportation Group under contract with Caltrain to provide services. Caltrain reimbursed by TJPA per interagency agreement of June 18, 2003.
Environmental Documentation / Planning	Caltrain staff	\$208,740	\$183,070	complete	FTA grant & local match	Interagency agreement of June 18, 2003.
Procurement Assistance	SF Municipal Transportation Agency	\$64,800	\$64,800	complete	FTA grant & local match	MOU approved by TJPA Board Oct. 27, 2006. Complete Oct. 26, 2007.
Grant Administration	MUNI	\$109,678	\$109,678	complete	FTA grant & local match	Interagency agreement of April 1, 2004, for administration of Federal 1601 grant with TJPA as subrecipient to MTA.
Ridership Analysis	SF Water Transit Authority	\$60,000	\$60,000	complete	RM-2, FTA grant & local match	MOU approved by TJPA Board Oct. 27, 2006. Complete July 13, 2007.
Benefits Administration / Financial Services	Local Government Services	\$330,776 (Administration Fee only)	\$327,717	complete	FTA grant & local match	Contract start date of December 2003; Administrative fee for three years at varied rate depending on number of TJPA staff; amended by Board on Nov. 16, 2006 to extend contract on a month-to-month basis; amended by Board on Sept. 20, 2006 to increase total contract value to \$3,498,573, which includes budgeted pass-through costs for salaries and benefits for TJPA staff and payment of routine administrative costs. Complete November 2007; new contract approved October 18, 2007.
Public Relations Services	Singer Associates	\$462,000	\$461,994	complete	FTA grant & local match, San Mateo Sales Tax	Contract start date of April 8, 2005; complete April 7, 2008. New contract approved March 20, 2008.
Program Manager / Project Control	URS Corporation	Certified for: \$27,332,208 Total: \$37,611,121	\$23,139,965	complete	RM-2, Prop K, FTA grant & local match, San Mateo Sales Tax	Contract start date of November 12, 2004; term of contract not to exceed three years (with 2 three-year options); certification for less than contract amount based on revenue availability. Contract renewed for new three year term in March 2008 and remaining certified funds rolled over to new term.

**Report to Transbay Joint Powers Authority Board**  
**Status of Purchase Orders and Contracts greater than \$25,000**

**Attachment 2**

As of May 26, 2009

		Accrued to				
		Mar. 31,				
Purpose	Vendor	Contract Value	2009	Status	Funding Source	Notes
Early Starts Engineering	Parsons Transportation Group	Certified for: \$14,164,701 Total: \$23,035,142	\$13,811,557	complete	RM-2, Prop. K, San Mateo Sales Tax	Contract start date of January 7, 2005; term of contract not to exceed three years (with 2 three-year options); certification for less than contract amount based on revenue availability. Contract renewed for new three year term in June 2008.
Financial System Procurement Consulting Services	Schafer Consulting	\$52,619	\$52,614	complete	RM-2	Contract approved by Board on July 19, 2007, for a term of 1 year with option for additional 1 year and option to engage for additional task concurrent with system implementation. Contract amended by Executive Director on Oct. 12, 2007 to increase amount from \$48,000 to \$50,953 and on Nov. 1 to increase amount to \$52,619. Contract closed upon expiration without engaging consultant for optional scope.
Relocation Assistance Services	Associated Right of Way Services	\$308,855	\$308,855	complete	Prop K, RM-2, unrestricted revenue	Contract start date of February 15, 2007; complete September 30, 2008. New contract approved September 29, 2008.
Financial and Accounting Services	Fred Clarke Consulting	\$365,150	\$365,150	complete	FTA grant, RM-2	Contract approved by Board on September 20, 2007; completed November 2008.

**TRANSBAY JOINT POWERS AUTHORITY  
INVESTMENT & INTEREST EARNINGS REPORT**

For 1st Quarter Ending September 30, 2008

<u>Investment</u>	<u>Balance as of 9/30/2008</u>	<u>Interest Earnings</u>	<u>Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 4,601,839	\$ 62,437	1.36%	5.43%
Money Market	\$ 327,720	\$ 59	0.02%	0.07%
<b>Total</b>	<b><u>\$ 4,929,559</u></b>	<b><u>\$ 62,496</u></b>	1.27%	5.07%

For 2nd Quarter Ending December 31, 2008

<u>Investment</u>	<u>Balance as of 12/31/2008</u>	<u>Interest Earnings</u>	<u>Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 4,645,858	\$ 26,195	0.56%	2.26%
Money Market	\$ 59,987	\$ 98	0.16%	0.65%
<b>Total</b>	<b><u>\$ 4,705,845</u></b>	<b><u>\$ 26,293</u></b>	0.56%	2.23%

For 3rd Quarter Ended March 31, 2009

<u>Investment</u>	<u>Balance as of 3/31/2009</u>	<u>Interest Earnings</u>	<u>Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 4,667,293	\$ 21,435	0.46%	1.84%
Bank Accounts	\$ 20,430	\$ 91	0.15%	0.60%
<b>Total</b>	<b><u>\$ 4,687,722</u></b>	<b><u>\$ 21,525</u></b>	0.46%	1.84%

*Cumulative For Fiscal Year Ending June 30, 2009*

<u>Investment</u>	<u>Balance as of 3/31/2009</u>	<u>Interest Earnings</u>	<u>Rate of Return</u>	<u>Annualized Rate of Return</u>
City Treasury Pool	\$ 4,667,293	\$ 110,067	2.36%	4.72%
Bank Accounts	\$ 20,430	\$ 247	0.15%	0.30%
<b>Total</b>	<b><u>\$ 4,687,722</u></b>	<b><u>\$ 110,314</u></b>	2.51%	5.02%

**Transbay Joint Powers Authority**  
**Inception to Date Expenditures and Revenues**  
**As of March 31, 2009**  
**includes March accruals (Unaudited)**

<b>EXPENDITURES</b>	<b>INCEPTION TO DATE</b>
<i>Salary and Benefits</i>	<b>4,934,196</b>
<i>Administration</i>	<b>1,824,429</b>
<b><i>Professional &amp; Specialized Services</i></b>	
Transit Center/Bus Ramps Preliminary Engineering & Design	22,203,778
Temporary Terminal Preliminary Engineering & Design	2,352,434
Bus Storage Preliminary Engineering & Design	890,489
Utility Relocation Engineering & Design	2,127,666
Downtown Extension Preliminary Engineering & Design	17,775,004
Program Management/Program Control	33,024,997
Other Professional Services	15,925,725
<b><i>Subtotal, Professional &amp; Specialized</i></b>	<b>94,300,093</b>
<b><i>Construction &amp; Construction Management</i></b>	
Temporary Terminal Construction Management	436,767
Temporary Terminal Construction	6,449,456
Transit Center Project CM/GC Pre-construction Services	152,883
<b><i>Subtotal, Construction &amp; Construction Management</i></b>	<b>7,039,106</b>
<b><i>Right of Way</i></b>	
Property Acquisition	84,447,220
Professional Services (closing costs, relocation, etc.)	2,214,333
<b><i>Subtotal, Right of Way</i></b>	<b>86,661,553</b>
<b><i>Loan to San Francisco Redevelopment Agency</i></b>	<b>283,155</b>
<b>TOTAL EXPENDITURES</b>	<b>195,042,532</b>
<b>REVENUES</b>	
Federal Demonstration Section 1601	8,795,355
Federal High Priority Project 5309	11,048,787
Toll Bridge 5% Reserve (RM-1)	1,400,000
SF Redevelopment Agency In-Kind	798,689
Regional Measure 2	88,540,573
Proposition K SF Sales Tax	71,080,888
San Mateo Sales Tax	7,277,063
Regional Transportation Improvement Program (RTIP)	4,861,283
Lease Income, Other Unrestricted	1,239,894
<b>TOTAL REVENUES</b>	<b>195,042,532</b>

Notes: Inception to Date Capital Outlay is based on payments made and reported in the GAS Financial System as of March 31, 2009 as well as accrued outstanding 3/31/09 invoices  
Revenues are based on revenue received and recorded in the GAS Financial System as of March 31, 2009 as well as accrued outstanding 3/31/09 invoice receivables.

Sources: FAMIS report of Aug, 23, 2007 for period ending July 30, 2007  
LOGOS report for period ending March 31, 2009  
PRISM Report for period ending March 31, 2009  
All March accruals to March 31, 2009