



Webcor/Obayashi Joint Venture
Request for Qualifications

Shoring, Buttress, Internal Bracing, Temporary Trestle,
Temporary Cross-Street Decking, Excavation, Demolition,
Dewatering, and Tie-Downs
Construction Services Package

for the
Transbay Transit Center
San Francisco, California
Webcor/Obayashi Joint Venture Job # 30100

February 02, 2010

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Webcor/Obayashi Joint Venture
Shoring, Buttress, Internal Bracing, Temporary Trestle,
Temporary Cross-Street Decking, Excavation, Demolition,
Dewatering, and Tie-Downs
Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

ADVERTISEMENT

**Webcor/OBAYASHI JOINT VENTURE
NOTICE TO SUBCONTRACTORS
REQUEST FOR QUALIFICATIONS
SHORING, BUTTRESS, INTERNAL BRACING, TEMPORARY TRESTLE, TEMPORARY CROSS-STREET DECKING,
EXCAVATION, DEMOLITION, DEWATERING, AND TIE-DOWNS
CONSTRUCTION SERVICES
TRANSBAY TRANSIT CENTER
Webcor/OBAYASHI JOINT VENTURE JOB No. 30100
SAN FRANCISCO, CALIFORNIA**

Webcor/Obayashi Joint Venture seeks responses to a Request for Qualifications (RFQ) from Trade Subcontractors interested in bidding the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Construction Services on the contract for the Transbay Transit Center (TTC) Project located in San Francisco, California.

Webcor/Obayashi Joint Venture seeks Trade Subcontractors with significant experience with deep drilled shafts, deep excavations with design-build internal bracing, and complex projects which present significant coordination with adjacent properties and traffic relocation; located in an urban environment including the engineering, coordination, procurement and complete installation of their respective systems in the following trade:

TG03 Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs

Subcontractors will be required to have second-tier subcontractors in order to meet the Transbay Joint Powers Authority's (TJPA's) Small Business Enterprise (SBE) and Disadvantaged Business Enterprise (DBE) participation goals; these Second-tier subcontractors who will be involved with these requirements do not need to respond to this RFQ.

If Webcor/Obayashi Joint Venture chooses to recommend any of the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Services contract work to the TJPA for its approval, the Subcontractor will be asked to comply with all TJPA contract requirements.

Contract documents, including plans and specifications, for the project are anticipated to be available for bidding in March 2010. The estimated date for issuing the Notice to Proceed (NTP) for construction is May 2010.

Trade Subcontractors shall submit and will be evaluated on information with respect to their experience on projects of similar size, scope and complexity. The information shall include general and specific experience, claim and surety history, financials, ability to meet schedule and budget requirements and skills in general management and coordination. Resumes of key personnel who will manage and supervise the construction shall be provided in the RFQ response.

Trade Subcontractors must hold valid contractor licenses and must provide ability to obtain corporate surety (payment & performance) bonds and insurance in order to be eligible for the qualification (RFQ) evaluation. Starting February 02, 2010 RFQ packages will be available from Webcor/Obayashi Joint Venture and from the TJPA's website at <http://transbaycenter.org/tjpa/doing-business-with-the-tjpa/current-contract-opportunities>. Interested Subcontractors should download the RFQ directly from the TJPA website; or contact Webcor/Obayashi Joint Venture by e-mail at TransbayBidding@webcor-obayashi.com, or fax at (510) 476-3005 to obtain a package.

Trade Subcontractors shall submit four (4) copies of their RFQ responses by 2:00 p.m. by February 23, 2010, to Kurt Ricci, Webcor/Obayashi Joint Venture, located at 183 Fremont Street, San Francisco, CA 94105.

Webcor/Obayashi Joint Venture at its own discretion may conduct interviews with any or all of the Subcontractors responding to the RFQ to better determine their qualifications to perform work required under the project.

The evaluation of the RFQ is solely for the purpose of determining Trade Subcontractors who would be deemed qualified to bid for and perform the work outlined in this RFQ.

February 02, 2010
Webcor/Obayashi Joint Venture
Shoring, Buttress, Internal Bracing, Temporary Trestle,
Temporary Cross-Street Decking, Excavation, Demolition,
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Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

I. PROJECT DESCRIPTION

JOB No. 30100 SAN FRANCISCO CALIFORNIA

The Transbay Joint Powers Authority (TJPA) intends to build a new Transbay Transit Center (TTC) and associated Bus Ramps. The new Transit Center Building and Bus Ramps will have six levels:

- (1) Train Station Passenger Platform Level,
- (2) Train Mezzanine Level,
- (3) Ground Level,
- (4) Concourse Level,
- (5) Elevated Bus Level, and
- (6) Park Level.

Construction also involves demolition of the existing structure and the relocation of underground utilities in the areas surrounding the new TTC. Demolition of the existing structure is not part of Webcor-Obayashi Joint Venture's scope of work.

The TTC is located between Beale and 2nd Streets and between Minna and Natoma Streets in downtown San Francisco. The TTC will be approximately 1,600 feet long, 180 feet wide, 60 feet below grade, and 60 feet above grade. The below grade structure will service Caltrain and future high speed trains. At grade will be a facility for intercity bus service while an above grade level will serve as a central terminal for local bus services. The top level will function as a 5 acre park.

**End of Section I
Project Description**

II. Scope of Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Construction Services Work

JOB No. 30100 SAN FRANCISCO CALIFORNIA

The scope of work for the Shoring, Buttress (deep drilled shafts), Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Construction Services work will comprise of the following: The cost of all necessary labor, material, equipment, supplies, and supervision required to complete the work in accordance with the contract documents and as noted below:

Construction:

TG03 Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Construction:

The subcontract scope of work for phase 1 will consist of the following elements:

1. Construction of approximately 3,530 lineal feet of soil mix shoring wall. The shoring wall will be approximately 105 feet deep. Soldier piles will be inserted at 4-foot centers along the length of the soil mix wall. The installation of the soil mix shoring wall will require the pre-excavation of the wall to remove any obstructions. The shoring walls will cross three main city streets (Beale, Fremont, and First Streets) on both sides of the excavation. Installation of the shoring wall at the cross streets will require special means and methods to deal with the existing utilities.
2. Construction of four cross lot soil mix walls, approximately 180 lineal feet each. Depth may be as deep as 105 feet.
3. Furnish and install deep shaft Buttress, consisting of approximately 135 each 7-foot diameter overlapping drilled shafts / caissons beneath the future final excavation, to act as a buttress for the adjacent 301 Mission building, drilled up to a depth of approximately 235 feet and socketed in rock.
4. Demolition of the basement walls, basement footings and basement slab of the existing terminal building. Demolition of existing above-grade structures will be done by others.
5. Demolition of the existing reinforced concrete pile caps for the existing terminal building and elevated bus ramps, approximately 8,500 cy.
6. The removal of approximately 4,700 timber and 300 concrete piles for the existing terminal and elevated bus ramps. While some may be removed in advance of excavation, special considerations as to sequence of removal will be required to mitigate any movement of the new shoring walls and adjacent buildings.
7. Removal of approximately 35,000 sf of an existing soil mix and soldier pile wall at the western end of the project installed for the unconstructed 80 Natoma building.
8. Removal of five deep drilled shafts (approximately 235 feet deep) and cement deep soil mix shoring walls installed as part of the geotechnical test program in the vicinity of the 80 Natoma property.
9. The excavation of approximately 590,000 CY of soil from within the shoring walls to the final excavation limits. An estimated 96,000 CY will require disposal at Class 1 or Class 2 disposal sites.

10. Design and installation of dewatering wells within the perimeter shoring walls.
11. Dewatering of the site and maintenance of the dewatering system for the duration of the subcontract.
12. Design and installation of four levels of internal bracing. The bracing is expected to consist of steel walers, cross lot struts, pin piles and secondary bracing for the cross lot struts.
13. Design and installation of approximately 100,000 sf of temporary at-grade trestle and cross-street traffic decking. Support of existing utilities at the cross streets will be required.
14. The design of the internal bracing, temporary trestle, and cross-street traffic decking elements must be coordinated with all temporary works and designed to optimize the construction of the permanent works.
15. Installation of approximately 1,300 tie-downs, between 90 and 120 feet in depth, with an uplift design load in the range of 200 kips. Installation will be from the foundation level of the excavation.
16. Installation of a 3-inch-thick concrete mud slab covering the bottom of the excavation.
17. All incidental work, such as site preparation, temporary water, temporary electricity, lighting for night work, flagging for deliveries, safety work and other work required for the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs construction.
18. Design is not complete at this time; therefore, the Contract Document's work scope may vary from the above summary.

Estimated Value of Subcontract:

The value of the subcontract is dependent on the final scope of the design; however, it is anticipated to be valued between \$180,000,000 and \$235,000,000.

This is a LEED™ project and all aspects of design, construction, documentation and maintenance are balanced with first cost, sustainability and energy efficiency. The project has set a goal of achieving a minimum LEED™ certification of Silver. The Trade Subcontractors will be an integral part of the team that ensures that the project meets the established LEED™ requirements.

Payment and performance bonds will be required for this Trade Subcontractor, as will a 5% bid bond.

Insurance: In addition to general liability insurance, Trade Subcontractors shall carry pollution liability insurance in place for this project. The additional insureds will be identified in the bid documents.

Parking: Trade Subcontractor parking will not be provided. Trade Subcontractors are expected to obtain their own parking for the duration of their work on the project.

End of Section II

Scope of Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs Construction Services Work

February 02, 2010
Webcor/Obayashi Joint Venture
Shoring, Buttress, Internal Bracing, Temporary Trestle,
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Construction Services

Transbay Joint Powers Authority
Request for Qualifications (RFQ)

III. COMMUNICATION WITH WEBCOR/OBAYASHI

**JOB No. 30100
SAN FRANCISCO CALIFORNIA**

Interested Trade Subcontractors shall not contact any TJPA, PMPC, Webcor-Obayashi staff, or TJPA Consultants regarding this RFQ. All contact shall be made in writing as described below:

E-mail Webcor/Obayashi Joint Venture at TransbayBidding@webcor-obayashi.com.

Fax Webcor/Obayashi Joint Venture at (510) 476-3005.

**END OF SECTION III
COMMUNICATION WITH WEBCOR-OBAYASHI**

IV. QUALIFYING, BIDDING AND CONTRACTING

**JOB No. 30100
SAN FRANCISCO CALIFORNIA**

The TJPA approach to the procurement of construction services for the Transbay Transit Center is described in detail below.

Due to the unique complexities of the design and construction required for this type of building, the TJPA has determined that the construction of the Project requires specialized expertise and skill which should be procured with an alternative delivery method, referred to as Integrated Project Delivery. Integrated Project Delivery provides a means for the earliest practical engagement of qualified contractors and subcontractors with sustainable building construction experiences to interface with the architectural/engineering team to provide a better designed and constructed Project. With better design and construction-coordination and collaboration, Integrated Project Delivery should substantially reduce field and/or implementation errors and conflicts. Integrated Project Delivery should also eliminate or reduce wasted, redundant or erroneous project process steps by performing certain steps in parallel and by phasing the design and construction work.

Trade Subcontractors will be required to comply with the Federal procurement rules and guidelines set forth in Federal Transit Administration Circular 4220.1.F, the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local Governments, in the FTA Best Practices Procurement Manual, and at 49 CFR Part 18 and Part 26. Trade Subcontractors will also be required to comply with all provisions of section 1770 *et seq.* of the California Labor Code. Procurement will be without regard to race, gender, religion, affiliation, or sexual orientation.

The purpose of this RFQ is to identify Trade Subcontractors qualified to perform the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs scope of work for the Project. The contracts for the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs work will ultimately be awarded by Webcor/Obayashi Joint Venture to the qualified and responsible bidder submitting the lowest responsive bid, and subject to the approval of the TJPA.

**END OF SECTION IV
QUALIFYING, BIDDING & CONTRACTING**

February 02, 2010
Webcor/Obayashi Joint Venture
Shoring, Buttress, Internal Bracing, Temporary Trestle,
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V. QUALIFICATION AND BIDDING PROCESS

JOB No. 30100
SAN FRANCISCO CALIFORNIA

QUALIFICATION:

Qualification Requirement: All bidders who wish to submit a bid for the Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs scope of work shall be qualified. It is mandatory the respective Trade Subcontractor fully complete the qualification questionnaire and provide all materials requested herein. No bid will be accepted from a Trade Subcontractor who has failed to comply with these requirements.

Package Issuance Timeline: Starting February 02, 2010, RFQ packages will be available. Interested Trade Subcontractors should download the RFQ directly from the TJPA website; or contact Webcor/Obayashi Joint Venture by e-mail at TransbayBidding@webcor-obayashi.com, or fax at (510) 476-3005 to obtain a package.

Questions: Questions or clarifications regarding the qualification forms must be submitted in writing no later than **2:00 pm on February 16, 2010**, by e-mail to Webcor/Obayashi Joint Venture at TransbayBidding@webcor-obayashi.com, or by fax to (510) 476-3005. **No questions will be accepted after 2:00pm on February 16, 2010.**

Application Submittal and Due Date: Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the Trade Subcontractor on whose behalf that person is signing. If any information provided by a Trade Subcontractor becomes inaccurate, the Trade Subcontractor must immediately notify Kurt Ricci at Webcor/Obayashi Joint Venture and provide updated accurate information in writing, under penalty of perjury.

Each Trade Subcontractor shall submit one (1) original and three (3) copies of its response to this RFQ to Webcor/Obayashi Joint Venture, 183 Fremont Street, San Francisco, CA, 94105. Qualification documents must be submitted in writing no later than **2:00 pm on February 23, 2010**, by e-mail to Webcor/Obayashi Joint Venture at TransbayBidding@webcor-obayashi.com, or by fax to (510) 476-3005. **No qualifications documents will be accepted after 2:00pm on February 23, 2010.**

Webcor/Obayashi Joint Venture reserves the right to extend the date for qualification packages and/or request, receive, and evaluate supplemental information after the above time and date at its sole determination. Webcor/Obayashi Joint Venture reserves the right to waive minor irregularities and omissions in the information contained in the qualification application submitted, to make all final determinations.

Incomplete or Late Submittals: Webcor/Obayashi Joint Venture may refuse to grant qualification where the requested information and materials are not provided, or not provided by the last date to submit the questionnaire noted above. There is no appeal from a refusal for an incomplete or late

application. The closing time to accept RFQs will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

Interviews: Webcor/Obayashi Joint Venture at its own discretion may conduct interviews with any or all of the Trade Subcontractors responding to the RFQ to better determine their qualifications to perform work required under the project. Interviews will be for clarification of Trade Subcontractor's responses in the Qualification Application, for discussion of additional information, and to supplement Trade Subcontractor's responses in the Qualification Application.

Determination of Qualification:

The Trade Subcontractors Qualification Statement must be completed and submitted along with supplemental information required. Failure to do so will render the application incomplete. Trade Subcontractor may be deemed not qualified for falsification of information.

The review, evaluation, and score for each Trade Subcontractor's application will be performed by a panel consisting of representatives of TJPA, the Program Management/Program Controls Consultant (the "PMPC"), and Webcor/Obayashi Joint Venture. The scoring will be based on the following objective evaluation criteria:

Section I: Essential Requirements for Qualification:

To qualify, a Trade Subcontractor **will be required** to have a passing grade within this area. Trade Subcontractors are **disqualified** if the answer to any of questions in Part A are "no" or if the answer to questions in Part "B" are "yes".

Section II: Scoring Section:

Included in this section are questions, which will be scored. There are a maximum of 300 points possible. Trade Subcontractor who receives 250 or more points will pass this section. In addition to the questionnaire, Trade Subcontractor must provide the requested information for the project experience category. Trade Subcontractor must submit 3 relevant projects, and 3 of their largest projects.

Trade Subcontractor must qualify under both Section I and receive the minimum points under Section 2 to pass the Qualification requirement.

Trade Subcontractor will be notified in writing about their qualification status. Webcor/Obayashi Joint Venture reserves the right to adjust, increase, limit, suspend or rescind the qualification rating based on subsequently learned information. Trade Subcontractor whose rating changes sufficient to disqualify them will be notified, and given an opportunity for an appeal consistent with the appeal procedures described below for appealing a qualification rating.

While it is the intent of the qualification questionnaire and required documents to assist Webcor/Obayashi Joint Venture in determining bidder qualification prior to bid and to aid in the selection of the lowest responsible bidder, neither the fact of qualification, nor any qualification rating, will preclude Webcor/Obayashi Joint Venture, in its discretion, from a post-bid consideration and

determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

Appeals Process:

Where a timely and completed application results in a rating below that necessary to qualify, an appeal can be made. The Trade Subcontractor may file an appeal by delivering a completed Request for Appeal (Attachment 5) to Webcor/Obayashi Joint Venture, no later than five (5) days after the notification date. Without a timely appeal, the Trade Subcontractor waives any and all rights to challenge the decision of Webcor/Obayashi Joint Venture, whether by administrative process, judicial process or any other legal process or proceeding.

If the Trade Subcontractor files a complete and timely Request for Appeal, a review of the appeal shall be conducted so that it is concluded soon after receipt of the Request. The review shall be an informal process conducted by Webcor/Obayashi Joint Venture, PMPC, and the TJPA and will be based upon the information submitted by the Trade Subcontractor in its Request for Appeal. Webcor/Obayashi Joint Venture will notify the Trade Subcontractor in writing of their decision at the conclusion of the review. The decision of Webcor/Obayashi Joint Venture is final and is not subject to appeal or challenge whether by administrative process, judicial process or any other legal process or proceeding.

Financial Responsibility:

Webcor/Obayashi Joint Venture accepts no financial responsibility for any costs incurred by a firm in responding to this RFQ. Submissions to Webcor/Obayashi Joint Venture under this RFQ will become the property of the TJPA and may be used by the TJPA in any way deemed appropriate.

No Waiver:

No waiver by Webcor/Obayashi Joint Venture of any provision of this RFQ shall be implied from any failure by Webcor/Obayashi Joint Venture to recognize or take action on account of any failure by a Respondent to observe any provision of this RFQ.

No Representations or Warranties:

The information presented in this RFQ and in any report or other information provided by Webcor/Obayashi Joint Venture to respondents is provided solely for the convenience of the interested parties. It is the sole responsibility of interested parties to assure themselves that the information contained in this RFQ or other documents are accurate and complete. No representations, assurances or warranties pertaining to the accuracy of such information are or will be provided by the TJPA or its advisors.

Anticipated Bidding Process:

Webcor/Obayashi Joint Venture anticipates the bidding process will proceed as follows:

1. Bids will be accepted only from Trade Subcontractors who are pre-qualified, or who are able to be qualified at time of bid. Webcor/Obayashi Joint Venture will inform Trade Subcontractors where to buy plans. Webcor/Obayashi Joint Venture will distribute plans and specifications to Plan Rooms in the Bay Area to encourage second tier and SBE/DBE subcontractors to bid their work to the qualified Trade Subcontractors.

2. A pre-bid meeting will be held after the documents are distributed.
3. Trade Subcontractors will submit their bids. Bids will be opened publicly.
4. The construction contract, if awarded, will be awarded to the selected responsible and qualified Trade Subcontractor submitting the lowest responsive lump sum bid for the work.
5. A Trade Subcontractor wishing to be qualified should be aware that if they are the successful low bidder, they must comply with all bid conditions including, but not limited to:
 - a. Furnishing satisfactory corporate surety payment and performance bonds within 10 days notice of intent to award.
 - b. Furnishing of required insurance certificates within 10 days of notice of intent to award and maintaining required insurance
 - c. Complying with the TJPA's Small Business Enterprise (SBE) program, or as stipulated by Webcor/Obayashi Joint Venture.
 - d. Complying with the TJPA's Disadvantaged Business Enterprise (DBE) program, or as stipulated by Webcor/Obayashi Joint Venture.
 - e. Possession of a Business Tax Registration Certificate. Before any contract can be certified as to the availability of funds and before a Notice to Proceed can be issued, the Trade Subcontractor must provide the TJPA with a copy of a current Business Tax registration Certificate pursuant to San Francisco Ordinance 345-88. Trade Subcontractors can register for a current certificate with the Business Tax Division of the Tax Collector of the City of San Francisco.
 - d. Confirming the personnel who will be assigned to the project. Trade Subcontractors should anticipate potential penalties if the personnel designated to be assigned to the project are changed without the prior approval of Webcor/Obayashi Joint Venture.
 - e. Providing an audited financial statement verifying the ratios provided in the qualification form and confirming their financial worthiness to perform the work.
 - f. Applicable Federal Regulations.

End of Section V
Qualification and Bidding Process

VI. OTHER REQUIREMENTS

JOB No. 30100 SAN FRANCISCO CALIFORNIA

- A. Complete Response: All responses shall be complete, including all required information and attachments in order to be responsive and eligible for qualification.
- B. Small Business Enterprise (SBE) Program:
1. The TJPA Board Policy No. 015 Small Business Enterprise (SBE) Program will apply to all Trade Subcontractors. SBE Board Policy is available on the Transbay Transit Center website, documents page (<http://www.transbaycenter.org/tjpa/doing-business-with-the-tjpa>).
 2. There will be SBE subcontracting requirements for each trade package.
 3. Trade Subcontractors shall provide their strategy, plan, and approach to fulfill SBE requirements, specifically include:
 - a. Past experience
 - b. "Outreach" to Small Business Community
- C. Disadvantaged Business Enterprise (DBE) Program:
1. The TJPA Board Policy No. 010 Disadvantaged Business Enterprise (DBE) Program will apply to all Trade Subcontractors. DBE Board Policy is available on the Transbay Transit Center website, documents page (<http://www.transbaycenter.org/tjpa/doing-business-with-the-tjpa>).
 2. There will be DBE subcontracting participation targets for each trade package, but Trade Subcontractors should also note there will be mandatory SBE contracting goals.
 3. Trade Subcontractors shall provide their strategy, plan, and approach to fulfill DBE requirements, specifically include:
 - a. Past experience
 - b. "Outreach" to Small Business Community
- D. Bonding Requirements: At the time of execution of contract, the Trade Subcontractor shall file with the TJPA the following bonds:
1. A corporate surety bond, in a sum not less than 100 percent of the amount of the contract, to guarantee the faithful performance of the Contract.
 2. A corporate surety bond, in a sum not less than 100 percent of the amount of the contract, to guarantee the payment of wages for services engaged and of bills contracted for materials, supplies, and equipment used in the performance of the contract.

Corporate sureties on these bonds and on bonds accompanying bids shall be legally authorized and licensed through the California Department of Insurance to engage in the business of furnishing surety bonds in the State of California. All sureties shall have an "A, X (10)" rating or better in Best's Rating Guide and be satisfactory to the TJPA.

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Construction Services

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E. Trade Subcontractors are solely responsible for ensuring receipt of any and all addenda.

End of Section VI
Other Requirements

VII. WEBCOR/OBAYASHI JOINT VENTURE'S RIGHTS AND OPTIONS

JOB No. 30100 SAN FRANCISCO CALIFORNIA

Webcor/Obayashi Joint Venture reserves the right to postpone selection for its own convenience, to withdraw this Request for Qualifications at any time, and to reject any and all submittals without indicating any reason for such rejection. As a function of the RFQ process, Webcor/Obayashi Joint Venture reserves the right to remedy technical errors in response to the RFQ and to modify the published scope of services. Webcor/Obayashi Joint Venture will reserve the right to request that specific personnel with specific expertise be added to the team, if Webcor/Obayashi Joint Venture determines that specific expertise is lacking in the project team.

Statements and other materials submitted will not be returned.

Webcor/Obayashi Joint Venture reserves the right to abandon this RFQ process and/or change its procurement process for the contract at anytime if it is determined that abandonment and/or change would be in Webcor/Obayashi Joint Venture's best interest. In the event of an abandonment or change, Webcor/Obayashi Joint Venture will not be liable to any Trade Subcontractor for any costs or damages arising out of its response to the RFQ.

The issuance of this RFQ does not constitute an agreement by Webcor/Obayashi Joint Venture that any contract will actually be entered into. The Webcor/Obayashi Joint Venture expressly reserves the right at any time to:

- waive or correct any defect or informality in any response, proposal, or proposal procedure, as determined by Webcor/Obayashi Joint Venture in its sole discretion
- reissue an RFQ
- prior to the submission deadline for proposals, modify all or any portion of the selection procedures, including deadlines for accepting responses, the specifications or requirements for any materials, equipment or services to be provided under this RFQ, or the requirements for contents or format of the proposals
- request that one or more Respondents clarify, supplement, or modify the information submitted
- extend deadlines for accepting responses; request amendments to responses after the expiration of deadlines; request clarifications, revised proposals or best and final offers; or negotiate or approve final agreements, and
- consider any information about any Respondent that is not expressly contained in Respondent's response.

Any interpretation of, or change in, this Request for Qualifications will be made by addendum, and shall become part of the Request.

End of Section VII Webcor/Obayashi Joint Venture's Rights and Options

VIII. SUBMITTAL CHECKLIST

JOB No. 30100 SAN FRANCISCO CALIFORNIA

1. One (1) original and three (3) copies, of 8-1/2" x 11" format of responses with supporting material are due by **2:00 p.m. February 23, 2010** and should be mailed or delivered to:

Webcor/Obayashi Joint Venture
Attn: Kurt Ricci
183 Fremont Street
San Francisco, CA 94105
Re: TTC - Request for Qualifications (Shoring, Buttress, Internal Bracing,
Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition,
Dewatering, and Tie-Downs)

No responses will be received thereafter.

- ☐ Responses should be submitted in double-sided fashion.
- ☐ Responses should include the fully completed Trade Subcontractor Qualification Statement
- ☐ Three (3) representative projects addressing all issues utilizing Attachment #3
- ☐ Three (3) largest projects information addressing the issues utilizing Attachment #4
- ☐ All City and County of San Francisco projects from the last five years utilizing Attachment #5.
- ☐ Resumes for project managers and superintendents to be used on the project
- ☐ Organization chart of key members, showing the contractual and reporting relationship of each member. If a Joint Venture, indicate which firm the key members are associated, as well as the estimated percentage of each firm's involvement in the scope of work.
- ☐ If additional information is included, the information shall be limited to three (3) pages and shall be provided by the Trade Subcontractor only, incorporating information from major sub-tier contractors/consultants, as appropriate.

**End of Section VIII
Submittal Checklist**

February 02, 2010
 Webcor/Obayashi Joint Venture
 Shoring, Buttress, Internal Bracing, Temporary Trestle,
 Temporary Cross-Street Decking, Excavation, Demolition,
 Dewatering, and Tie-Downs
 Construction Services

Transbay Joint Powers Authority
 Request for Qualifications (RFQ)

IX. Trade Subcontractor Qualification Statement

Scope of Work: Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs

Firm Name (as it appears on license):

Address:

Contact:

Title:

Phone:

E-Mail:

Fax:

Website:

Section 1 – Essential Requirements for Qualification

PART A:

Trade Subcontractor will be immediately disqualified if the answer to any question in Part “A” is “No”.

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

Item	Question	SCORE Pass/Fail
A-1.	Contractor possesses a valid and current California Contractor’s license for the project or projects for which it intends to submit a bid with the appropriate classifications required. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-2.	Contractor is willing and able to provide both a 5% bid bond and a 100% payment and performance bond from a surety licensed in the state of California for work equal to your bid amount. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-3.	Contractor has or can obtain a liability insurance policy issued by an insurance company licensed in the state of California with limits and ratings as noted below and with a Waiver of Subrogation: All insurance companies shall have a current A.M. Best Rating not less than “A,X” and shall be satisfactory to Contractor. -Professional Liability (Errors and Omissions) Insurance: Professional Liability - \$25M each claim; Deductible not to exceed \$250,000 each claim -Worker’s Compensation & Employer’s Liability: WC – Statutory Limit; EL - \$1M, including coverage for U.S. Long Shore and Harbor Workers’ Act benefits, and Jones Act benefits, and Federal Employees Liability Act -General Liability + Umbrella or Excess Liability (Minimum Rating- A- and Class VI): Non Hazardous - \$100M (each occurrence and aggregate), including coverage for Contractual Liability, Independent Contractors, Explosion, Collapse, and Underground (XCU), Personal Injury, Broadform Property Damages, and completed operations -Automobile Liability (Minimum Rating- A- I): \$1M combined single limit <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
A-4.	Contractor provides health and pension benefits for its employees and their families through an ERISA approved program. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail

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A-5.	Contractor has an existing agreement with a registered apprenticeship program(s) which has been approved by the California Apprenticeship Council, and the program(s) has graduated apprentices in the preceding five years for the apprenticeable craft(s) which may be employed by your firm. <input type="checkbox"/> Yes <input type="checkbox"/> No No = Not Qualified, FAIL	Pass/Fail
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PART B

Contractor will be immediately disqualified if the answer to any question in Part "B" is "Yes".

NO POINTS ASSESSED, ALL QUESTIONS ARE PASS/FAIL.

B-1.	Has your contractor's license been revoked at any time in the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-2.	Has your contractor's license been suspended for any reason within the last five (5) years (other than Contractor State License Board bond related reasons of duration not exceeding 90 days)? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-3.	Has a surety completed a contract on your behalf, or paid for completion because your firm was default terminated within the last five (5) years? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-4.	At the time of submitting this qualification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a contractor on a public works contract? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-5.	Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-6.	Is your firm presently in bankruptcy? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail
B-7.	Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to a public agency or entity? <input type="checkbox"/> Yes <input type="checkbox"/> No Yes = Not Qualified, FAIL	Pass/Fail

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Section 1 Summary

All answers in Part A have been answered 'Yes', and Part B answered 'No' Pass _____
 If any questions in Part A were answered 'No', or Part B answered 'Yes' Fail _____

Section 2: Licensing; Surety; Insurance; Environmental Matters; Disputes, and Claims; Business Organization; Financial Information; Safety; Prevailing Wage; Project History

2.1 Licensing:

Provide the following information:

A. Contractor must have a current and valid State of California Contractor's License for the trade they are qualifying. List all license numbers and the name of the qualifying license holder as issued and as on file with the licensing board.

Issuing Agency	Class	License Number	Date issued	Exp. Date	Name
			/ /	/ /	
			/ /	/ /	

<u>Licensing Scoring Section:</u>	Total Score Possible	15 Pts
1. Has your Cal-OSHA certificate(s) or registration(s) been revoked at any time in the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Explain (if yes): 2. Has your firm had a complaint filed with the Contractors State License Board that required a formal hearing or inquiry within the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Explain (if yes): Yes <input type="checkbox"/> No <input type="checkbox"/> EXPLAIN (if yes):		
Section 2.1 Actual Points Earned		

2.2 Surety Information:

Provide the following information:

<u>Bonding Scoring Section:</u>	Total Score Possible	15 Pts
Surety Company: Bonding Agent Contact: Phone: Fax: e-mail: Single Project Bonding Capacity: Aggregate Bonding Capacity: Available Bonding Capacity: Average Project Size Last Year: Bond Rate: Current Backlog: Bonding Status: Attach a statement from your surety insurer (who must be approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states that your current bonding capacity is sufficient for the project. How long have you been with the present Surety? _____ Identify any conditions imposed and/or restrictions by the Surety: _____ 1. At any time during the past five years, has any surety company <u>made any payments</u> on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your		

<p>firm's behalf, in connection with a construction project, either public or private? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
Section 2.2 Actual Points Earned	

2.3 Insurance:

<u>Insurance Scoring Section:</u>	Total Score Possible	15 Pts
<p>1. Does your firm currently carry a workers' compensation insurance policy as required by the Labor Code or are they legally self-insured pursuant to current California labor laws and code in effect. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if no):</p> <p>2. In the last five years has there ever been a period when your firm had employees but was without workers compensation insurance or state-approved self-insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>		
Section 2.3 Actual Points Earned		

2.4 Environmental Matters:

<u>Environmental Matters Scoring Section:</u>	Total Score Possible	15 Pts
<p>1. Has your firm been cited by any governing agencies for violations to local ordinances or codes (i.e. BAAQMD, Regional Water Quality Control Boards, etc.)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>2. Has your firm ever received a citation or violation from the Environmental Protection Agency (EPA)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>3. Has your firm ever received a citation or violation from the Department of Toxic Substances Control (DTSC)? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>		
Section 2.4 Actual Points Earned		

2.5 Disputes, Arbitration, and Litigation:

<u>Disputes, Arbitration and Litigation Scoring Section:</u>	Total Score Possible	25 Pts
<p>1. At any time in the last five (5) years has your firm been assessed liquidated damages (regardless of final settlement) after completion of either a public or private project? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.</p>		

	<p>2. In the last five (5) years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? Note: "Associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position. Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page. State whether the firm involved was the firm applying for qualification here or another firm. Identify the name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and basis for the action.</p> <p>3. In the last five (5) years has your firm been denied an award of a public works contract based on a finding by a public agency, or their agent, that your company was not a responsible bidder? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.</p> <p>4. In the past five (5) years has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration with an owner or general contractor? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, how many times: If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).</p> <p>5. In the past five (5) years has your firm made any claim against a project owner or general contractor concerning work on a project or payment for a contract and filed that claim in court or arbitration? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p> <p>6. Are there any liens for labor or materials filed against your company, its officers, or any company associated with them? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):</p>	
	Section 2.5 Actual Points Earned	

2.6 Claims:	Claims Scoring Section:	Total Deductive Score Possible	-30 to 0 Pts
	<p>Note: The following questions refer only to disputes between your firm and the owner or general contractor of a project that progressed to arbitration or litigation. You need not include information about disputes between your firm and a supplier or Trade Subcontractor. Also, you may omit reference to all disputes where the amounts were \$10,000 or less.</p> <p>1. Enter the number of claims that were made through arbitration or litigation in excess of \$10,000 for additional compensation against owners or general contractors in the past five (5) years. Using the Attachment 2 form, provide detailed information for each current, pending and resolved dispute. No. of Claims: _____</p> <p>2. <u>Summary of Claims:</u> Include ALL claims as defined below for completing the following items a. thru f. as they will be used in the table below: A Claim is a demand or assertion by the Contractor seeking adjustment or interpretation of contract terms, payment of money, extension of time or other relief with respect to the terms of the contract, the Contractor's right to which was disputed by the owner or general contractor,</p>		

	<p>including, but not limited to, disputes subject to arbitration or litigation.</p> <p>a. Total Dollar Amount of Claims in past 5 yrs: _____</p> <p>b. Total Dollar Amount Recovered: _____</p> <p>c. Percentage of Recovery (b ÷ a): _____ %</p> <p>d. Total Number of Claims: _____</p> <p>e. No. of Projects over \$1million in 5 past yrs: _____</p> <p>f. Percentage of Claims Frequency (d ÷ e): _____ %</p> <p>The following table demonstrates how the information submitted above will be evaluated. The matrix below indicates the points that will be deducted based upon the contractors claim experience.</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th></th> <th colspan="3">% of Recovery</th> </tr> <tr> <th>% of Claims Frequency</th> <th>0 - 33%</th> <th>34 - 66%</th> <th>67 - 100%</th> </tr> </thead> <tbody> <tr> <td>50 - 100%</td> <td>-30pts</td> <td>-15pts</td> <td>-10pts</td> </tr> <tr> <td>25 - 50%</td> <td>-20pts</td> <td>-10pts</td> <td>-5pts</td> </tr> <tr> <td>0 - 25%</td> <td>-10pts</td> <td>-5pts</td> <td>0pts</td> </tr> </tbody> </table> <p>Scoring Range -30 to 0 Points</p>		% of Recovery			% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%	50 - 100%	-30pts	-15pts	-10pts	25 - 50%	-20pts	-10pts	-5pts	0 - 25%	-10pts	-5pts	0pts	
	% of Recovery																					
% of Claims Frequency	0 - 33%	34 - 66%	67 - 100%																			
50 - 100%	-30pts	-15pts	-10pts																			
25 - 50%	-20pts	-10pts	-5pts																			
0 - 25%	-10pts	-5pts	0pts																			
Section 2.6 Actual Points Deducted																						

Disputes, Arbitration, Litigation and Claims Point Summary: **Section 2.5 Actual Points Earned**

Section 2.6 Points Deducted

Section 2.5 and 2.6 Total

+
-

2.7 Business Organization and History

A. Is your company an:

☐ individual ☐ partnership ☐ corporation ☐ joint venture ☐ Subchapter S Corp ☐ Proprietorship ☐ LLC

B. If firm is a sole proprietor or partnership, list the owner(s) of the company:

C. Under the laws of which state is the company organized? State of _____

D. Date Founded: _____

E. Parent, Affiliate, and/or Subsidiary Companies:

Full Legal Name	Location	Ownership	Operations	Indemnity Available?	Endorsed for Obligation of:

F. When did present management assume control? _____

G. Name of Predecessor: _____ What happened to Predecessor? _____

H. What percentage of your work is public? _____% private? _____%

I. SBE Certification - Is your firm currently certified as a one of the following:

- a *California Certified Small Business (SB)* as certified by the California Department of General Services (DGS) – Procurement Division (PD) – Office of Small Business and Disable Veteran Business Enterprise Services (OSDS), Disabled Veteran Business Enterprise (DVBE), and/or
- a *City and County of San Francisco Local/Minority/Women-Owned Business Enterprise (L/M/WBE)* as certified by the San Francisco Human Rights Commission (HRC), and/or
- a *Disadvantaged Business Enterprise (DBE)* as certified by the California United Certification Program (CUCP)?
Yes ___ No ___. Expiration date: _____.

Please attach a copy of your Certification Letter(s).

If no, have you submitted your certification forms to one of the above entities? Yes _____ No _____.

If yes, expected certification date: _____.

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If no, please identify the date when you plan on submitting your certification request to one of the above entities:

Please note that the subcontracting goal can only be met with SBEs/DBEs certified on or before the bid opening date.

I. Number of Employees by Trade:

Trade:	2008	2007	2006

Number of Current Administrative Employees:

<u>Business Organization Scoring Section:</u>	Total Score Possible	15 Pts
1. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 2. Have there been any changes in the control or management of the company during the last 5 years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): 3. Continuity – Completion of Work? a) Is there a buy-sell agreement in effect? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes): b) Is the agreement funded by life insurance? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, amount of insurance \$ c) Who are the parties to the buy-sell agreement? Give details if copy not provided. What arrangements have been made to assure that contracts are completed if the owners are not available? 4. Has your firm been in bankruptcy or a voluntary or involuntary reorganization in the last three years? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain (if yes):		
Section 2.7 Actual Points Earned		

2.8 Financial Information:

Total Score Possible: 40			
Federal Tax ID No.:		General Tax Exemption No.:	
Dun and Bradstreet No.:		Income/Balance Sheet Preparation Method:	
Name of Bank:	Branch:	Line of Credit Amount: \$	
Revenue: 2010: (Projected) \$	2009: (Actual)\$	2008: (Actual)\$	2007: (Actual)\$
2006: (Actual)\$			
Current Backlog \$			
The apparent low bidder will be required to furnish their audited financial statement prior to the award of contract to confirm the ratios below. Confirm that it will be provided upon request: <input type="checkbox"/> Yes <input type="checkbox"/> No What is the name of your accounting firm? _____ Are they a (circle one) CPA, PA, or other? If other, please describe _____ How many years has this firm prepared your financial statements? _____ Is your company or its principals acting as Guarantor, Indemnitor, or Surety for others, or as endorser on their notes or accounts? Yes _____ No _____ Based on your most recent audited or reviewed financial statement(s), provide the following summary financial information: Note: If			

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<p>Trade Subcontractor is EXEMPT read definition below. The apparent low bidder will be required to provide actual financials prior to the award of contract.</p> <p><i>Public Contract Code section 20101(e)</i> (e) For the purposes of subdivision (a), a financial statement shall not be required from a contractor who has qualified as a Small Business Administration entity pursuant to paragraph (1) of subdivision (d) of Section 14837 of the Government Code, when the bid is no more than 25 percent of the qualifying amount provided in paragraph (1) of subdivision (d) of Section 14837 of the Government Code.</p> <p><i>Government Code section 14837 (d) (1)</i> (d) (1) "Small business" means an independently owned and operated business that is not dominant in its field of operation, the principal office of which is located in California, the officers of which are domiciled in California, and that, together with affiliates, has 100 or fewer employees, and average annual gross receipts of ten million dollars (\$10,000,000) or less over the previous three years, or is a manufacturer, as defined in subdivision(c), with 100 or fewer employees</p> <p><i>SF Administrative code Chapter 14B(3)(b) applies for HRC certified contractors</i></p> <p>Enter Financial Ratios Below</p> <table border="1"> <thead> <tr> <th>Working Capital</th> <th>Current Ratio</th> <th>Leverage</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;"> <div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div> </td> <td style="text-align: center;"> <div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div> </td> <td style="text-align: center;"> <div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div> </td> </tr> <tr> <td>Current Assets (minus) Current Liabilities (divided by) 2009 Volume</td> <td>Current Assets (divided by) Current Liabilities</td> <td>Total Liabilities (divided by) Equity</td> </tr> </tbody> </table>			Working Capital	Current Ratio	Leverage	<div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div>	<div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div>	<div style="border: 1px solid black; width: 100px; height: 40px; margin: 0 auto;"></div>	Current Assets (minus) Current Liabilities (divided by) 2009 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity
Working Capital	Current Ratio	Leverage									
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Current Assets (minus) Current Liabilities (divided by) 2009 Volume	Current Assets (divided by) Current Liabilities	Total Liabilities (divided by) Equity									
Section 2.8 Actual Points Earned											

2.9 Safety:

Safety Scoring Section:	Total Score Possible	30 Pts
<p>1. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years: NOTE: An EMR is issued to your firm annually by your workers' compensation insurance carrier. Current year EMR: Less than or = 1.00 = Well Qualified 1.01 TO 1.24 = Generally Qualified Equal or Greater than 1.25 = Not Qualified Year 2009: _____ Year 2008: _____ Year 2007: _____ Should you and/or your sub tier contractors current EMR exceed 1.0, you must demonstrate and document that you have or will initiate programs, policies and attitudes that will result in a safety conscious performance. Additional documentation and presentation to Webcor/Obayashi Joint Venture will be required.</p>		
<p>2. Rate Category _____ 2009 _____ 3 Yr. Avg. _____ OSHA Recordable/Injury Rate: OSHA Lost Workdays Incidence Rate: OSHA Lost Time Incidence Rate: Total Man-hours/Year (Field Personnel):</p>		
<p>3. OSHA Citations – Include both California and Federal:</p>		

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Year	Number	Code of State or Federal Regs. Sect. Nos.	
2009			
2008			
2007			
2006			
2005			
If any citations were noted above, please provide explanation on a separate sheet.			
4. Fatalities Category		Current Year	Total # over past 3 Yrs.
Total Number of Employee Fatalities:			
Total Number of Contractor Employee Fatalities:			
			Section 2.9 Actual Points Earned

2.10 Prevailing Wage and Apprentice Compliance

Prevailing Wage and Apprenticeship Compliance Scoring:	Total Score Possible	10 Pts
<p>1. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the State's prevailing wage requirements? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):</p> <p>2. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes):</p> <p>3. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works? Yes <input type="checkbox"/> No <input type="checkbox"/> Explain and note how often (if yes): If "yes," also provide the date(s) of such findings, and attach copies of the Department's final decision(s).</p>		
		Section 2.10 Actual Points Earned

2.11 Project and Personnel Experience:

Total Score Possible: **120 points**

- Contractor shall provide information on at least three (3) completed projects that are similar in nature to the size, complexity, and estimated value of this Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs construction. Projects shall have been completed within the last ten (10) years. Experience must reflect projects that included construction of:
 - Drilled Shafts - A minimum of 6-foot-diameter drilled shafts, using rotator/oscillator drill rigs.
 - Shoring System / Excavation - A minimum excavation depth of 50 feet in an urban environment, with a minimum of 80 feet deep soil mix shoring wall system constructed by treating in-situ soils using cement deep soil mixing (CDSM) technology in similar material, with a design-build internal bracing system.
Shoring wall construction must be one of the following methods:
 - Multi-Shaft auger systems with a minimum of three overlapping shafts to create primary and secondary panels;

- B. A system like the cutter-soil-mixing (CSM) method which creates rectangular panels with appropriate overlap between primary and secondary panels for wall continuity; or
 - C. A system like the trench cutting Remixing Deep Wall Method (TRD), which creates a continuous wall along the excavation alignment.
 - Trestle System / Temporary Roadways - Design, installation, and removal of an equipment support system (trestle). Design, installation, and removal of temporary road crossways over an active deep excavation, in an urban environment, while maintaining high traffic flow.
- 2. Submitted relevant project experience information shall include, at a minimum:
 - Drilled Shafts –
 - A. The depth of the drilled shaft system(s) installed,
 - B. The type of drilling equipment used (technology),
 - C. Quantity of drilled shafts installed,
 - D. A description of the subsurface material(s) in which the soil-mix shoring system was installed, and
 - E. Pertinent installation schedule information (overall installation time, typical hours worked per day, installation / production per work day).
 - Shoring System / Excavation –
 - A. The type of deep soil-mixing technology used for the shoring system,
 - B. The depth and overall length of the soil-mix shoring system(s) installed,
 - C. Description of the design-build internal bracing,
 - D. A description of the subsurface material(s) in which the soil-mix shoring system was installed,
 - E. The lineal footage of soil-mix shoring wall installed,
 - F. The depth of the excavation,
 - G. If dewatering was part of the project scope, provide a description of the dewatering system installed and its effectiveness in maintaining the water levels required to support the excavation,
 - H. If permanent tie downs were part of the project scope, provide the depth of permanent tie downs installed, as well as their tested upload resistance (in kips) and a description of the subsurface material in which the tie downs are founded,
 - Trestle System / Temporary Roadways –
 - A. A description of the design-build equipment support system (trestle),
 - B. A description of the temporary road crossways, including the traffic loads supported, and
 - C. Pertinent installation schedule information (overall installation time, typical hours worked per day, installation / production per work day).
- 3. Use of Attachment 3 is recommended to submit the project information. Subcontractor may submit standard project profiles, but the project profiles **must** contain all information requested in Attachment 3.
- 4. Provide what percentage of your work is usually subcontracted to others? _____ %
 - Types of work usually subcontracted? _____
 - What type/trade(s) of work is self-performed (if more than one type/trade identify all and corresponding percent of annual sales of each)? _____
- 5. Provide supplemental information on your firm's quality control system and identify projects where your firm successfully implemented it. Do you have a full-time QA/QC representative? Yes ____ No _____. If yes, how many are Corporate? _____ How many are Field? _____
- 6. Provide supplemental information on your firm's tracking systems for design, fabrication, and delivery of all required components.
- 7. Using Attachment 4, provide a list of all City and County of San Francisco projects that your firm has been involved in within the last five years.
- 8. Provide complete qualification information for a minimum of two (2) Project Managers and two (2) Superintendents, one of each who will be assigned to this project. Provide an organizational chart defining the relationship of the project specific personnel to the company. Trade Subcontractors should anticipate potential penalties if the personnel designated to be assigned to the project are changed without the prior approval of Webcor/Obayashi Joint Venture.

Section 2.11 Actual Points Earned: _____

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Summary and Scoring Totals

Section 1:

All answers in Part A have been answered 'Yes', and Part B answered 'No'
If any questions in Part A were answered 'No', or Part B answered 'Yes'

Pass _____
Fail _____

Section 2:

	<u>Score Possible</u>	<u>Score Received</u>
2.1 Licensing	15	_____
2.2 Surety/ Bonding	15	_____
2.3 Insurance	15	_____
2.4 Environmental Matters	15	_____
2.5 Disputes, Arbitration and Litigation	25	_____
2.6 Claims	0	_____
2.7 Business Organization	15	_____
2.8 Financial Information	40	_____
2.9 Safety	30	_____
2.10 Prevailing Wage and Apprentice Comp.	10	_____
2.11 Project and Personnel Experience	<u>120</u>	_____
Total Possible Score:		300

Contractor must receive 250 out of a total of 300 points to pass the qualification criteria.

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Declaration

The undersigned hereby agrees and declares that receipt of this submittal by the Webcor/Obayashi Joint Venture does not constitute either a direct or implied guarantee that qualification is or will be granted.

I, the undersigned, certify and declare that I have read all the foregoing answers to this qualification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

The undersigned is a legally authorized representative of the Trade Subcontractor for the legal name noted above.

Trade Subcontractor

Printed Name/Title

Signature

Date _____

Subscribed and sworn to before me this _____ day of _____, 20_____

Notary Public in and for the State of _____

Residing at _____

Expiration Date: _____

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**Attachment 1
Tentative Selection Schedule**

Qualification Schedule

<u>EVENT</u>	<u>TIME</u>	<u>DATE</u>	<u>LOCATION</u>
1. Advertise RFQ		02/02/2010	Webcor/Obayashi Joint Venture or TJPA Website
2. RFQ Packages Available	by appointment	02/02/2010 to 02/23/2010	Webcor/Obayashi Joint Venture 183 Fremont Street San Francisco, 94105
3. Last Date for Questions	2:00 p.m.	02/16/2010	Webcor/Obayashi Joint Venture
4. All RFQ Packages Due	2:00 p.m.	02/23/2010	Webcor/Obayashi Joint Venture 183 Fremont Street San Francisco, 94105
5. Evaluate RFQ		02/24/2010 to 03/16/2010	Webcor/Obayashi Joint Venture
6. Issue Notices to Qualified Trade Subcontractors		03/16/2010	

****The schedule above is preliminary and subject to change without notice****

End of Attachment 1

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Attachment 2
Mediation, Arbitration and Litigation History
(All Owners both Private and Public)
(Copy and attach this form for each claim)

Separately list all CURRENT, PENDING AND/OR RESOLVED disputes,

- Pursued via mediation, arbitration or litigation
- Initiated within the last five (5) years
- In which additional compensation is sought
- And/or breach of contract was alleged
- And/or indemnity is sought
- Between your firm (or any principal of your firm) and any Owner or any General Contractor

If none, indicate "NONE." Do not leave blanks

Project Name: _____

Project Location: _____

Owner: _____

General Contractor: _____

Nature of Claim: _____

Dollar Amount of Initial Claim: _____

Dollar Amount of Final Settlement: _____

Year Claim Filed: _____ Year Claim Resolved: _____

State of California Superior Court Action No. : _____

U.S. District Court Case No. : _____

How claim was resolved (arbitration, mediation, litigation, etc.): _____

Current Status: _____

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Attachment 3

Project Experience – Shoring, Buttress, Internal Bracing, Temporary Trestle, Temporary Cross-Street Decking, Excavation, Demolition, Dewatering, and Tie-Downs

(Copy and attach form for each of 6 projects – 3 for Relevant Experience and 3 Largest Projects)

Project Name: _____

Location: _____

Owner: _____

Owner Contact: _____ Phone # _____

General Contractor: _____ Phone # _____

General Contractor Contact: _____

Architectural Firm: _____

Architect Contact: _____ Phone# _____

Construction Manager: _____ Phone# _____

Description of Project/ Scope of Work Performed:

Trade Work: _____ Square footage: _____

Description of the Work: _____

Total Value of Contract at Time of Award: _____

Final Value of Contract (including change orders): _____

Duration in Months from Award to Delivery: _____

Original Scheduled Completion Date: _____

Time Extension Granted (number of days): _____

Actual Date of Completion of your work: _____

What work did your firm perform on this project? Identify trades and values.

_____ \$ _____

_____ \$ _____

Did you subcontract portions of your work (i.e. labor, materials, delivery, etc.) to Small Business or Disadvantaged Business enterprise(s)? If yes, please identify trades and values.

_____ \$ _____

_____ \$ _____

How did your company solicit and evaluate Small/Disadvantaged Business Enterprises to assist with the scope identified above?: _____

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Attachment 3 - Continued

What work did your firm self-perform?: _____

Why is this work relevant to the TTC Project?: _____

Name of your responsible Project Manager(s): _____

Name of your Superintendent(s) / Foreman(men): _____

Major Supplier: _____

Contact: _____ Phone# _____

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Attachment 4

City & County of San Francisco Project Experience

(Copy and attach this form as required for all CCSF projects completed in the last five years)

Project Name: _____
Location: _____
City Contact: _____ Phone # _____

Description of Project/ Scope of Work Performed:

Total Value of Contract at Time of Award: _____
Final Value of Contract (including change orders): _____

Original Scheduled Completion Date: _____
Time Extension Granted (number of days): _____
Actual Date of Completion of your work: _____

Was this project completed to the satisfaction of the City? If no, why?

Name of your responsible Project Manager(s): _____

Name of your Superintendent(s) / Foreman(men): _____

Major Supplier: _____
Contact: _____ Phone# _____

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Attachment 5

Request for Appeal

The Webcor/Obayashi Joint Venture must receive this document **no later than** (5) days after Webcor/Obayashi Joint Venture notifies the Trade Subcontractor of their qualification status.

Trade Subcontractor/Company Name: _____

Contact Person: _____

Telephone Number: _____

In the space provided below, describe in detail the basis for filing this Appeal.
(Add additional sheets if necessary.)

Please submit this form to: Webcor/Obayashi Joint Venture
183 Fremont Street
San Francisco, CA 94015
Attn: Kurt Ricci